The STAR Beam Use Request for Run-21, Run-22 and data taking in 2023-25

The STAR Collaboration



¹ Executive Summary

² This Beam Use Request outlines the physics programs that compels the STAR collaboration
 ³ to request data taking during the years 2021-2025.

STAR's highest scientific priorities for Run-21 and Run-22 are to complete the NSACendorsed second phase of the Beam Energy Scan (BES-II) program, and initiate the "mustdo" Cold QCD forward physics program enabled by the newly completed suite of forward detectors via the collection of transversely polarized p+p data at 510 GeV. From 2023-25 we will use a combination of soft and hard probes to explore the microstructure of the QGP and continue the forward physics program via the collection of high statistics Au+Au, p+Au

and p+p data at $\sqrt{s_{\rm NN}} = 200$ GeV.

The BES-II program has so far been very successful. As shown in Table 1, we have recorded collisions at $\sqrt{s_{\rm NN}} = 9.2-27$ GeV in collider mode, and $\sqrt{s_{\rm NN}} = 3-7.7$ GeV in fixed target (FXT) mode. We expect to complete data collection at $\sqrt{s_{\rm NN}} = 9.2$ GeV by the end of Run-20b. In Run-21, as shown in Table 2, our number one priority is to complete the BES-II by recording 100 M good events at $\sqrt{s_{\rm NN}} = 7.7$ GeV.

Table 1: Summary of all BES-II and FXT Au+Au beam energies, equivalent chemical potential, event statistics, run times, and date collected.

Beam Energy	$\sqrt{s_{\rm NN}}$	$\mu_{\rm B}$	Run Time	Number Events	Date
(GeV/nucleon)	(GeV)	(MeV)		Requested (Recorded)	Collected
13.5	27	156	24 days	(560 M)	Run-18
9.8	19.6	206	$36 \mathrm{days}$	400 M (582 M)	Run-19
7.3	14.6	262	$60 \mathrm{days}$	300 M (324 M)	Run-19
5.75	11.5	316	54 days	230 M (235 M)	Run-20
4.59	9.2	373	in progress	$160 \mathrm{M}^1$	$\operatorname{Run20+20b}$
31.2	7.7 (FXT)	420	$0.5{+}1.1 \mathrm{~days}$	$100 \text{ M} (50 \text{ M}{+}112 \text{ M})$	Run-19+20
9.8	4.5 (FXT)	589	$0.9 \mathrm{~days}$	100 M (108 M)	Run-20
7.3	3.9 (FXT)	633	$1.1 \mathrm{~days}$	100 M (117 M)	Run-20
19.5	6.2 (FXT)	487	$1.4 \mathrm{~days}$	100 M (118 M)	Run-20
13.5	5.2 (FXT)	541	$1.0 \mathrm{day}$	100 M (103 M)	Run-20
5.75	3.5 (FXT)	666	$0.9 \mathrm{~days}$	100 M (116 M)	Run-20
4.59	3.2 (FXT)	699	$2.0 \mathrm{~days}$	100 M (200 M)	Run-19
3.85	3.0 (FXT)	721	4.6 days	100 M (259 M)	Run-18
3.85	7.7	420	11-20 weeks	100 M	$\operatorname{Run-21}^2$

¹ Run-20b is still in progress at the time of submission of this BUR, we expect to reach our goals 2 Data not yet collected, Run-21 forms part of this year's BUR.

Based on guidance from the Collider-Accelerator Department (C-AD) and past experience we expect that the bulk of Run-21 will be devoted to Au+Au collisions at $\sqrt{s_{\rm NN}} = 7.7$ GeV,

Table 2: Proposed Run-21 assuming <u>24 - 28 cryo-weeks</u>, including an initial one week of cooldown, one week for CeC ,and a one week set-up time for each collider energy and 0.5 days for each FXT energy.

Single-Beam	$\sqrt{s_{ m NN}}$	Run Time	Species	Events	Priority
Energy (GeV/nucleon)	(GeV)			(MinBias)	
3.85	7.7	11-20 weeks	Au+Au	100 M	1
3.85	3 (FXT)	3 days	Au+Au	300 M	2
44.5	9.2 (FXT)	$0.5 \mathrm{~days}$	Au+Au	$50 \mathrm{M}$	2
70	11.5 (FXT)	$0.5 \mathrm{~days}$	Au+Au	$50 \mathrm{M}$	2
100	13.7 (FXT)	$0.5 \mathrm{~days}$	Au+Au	$50 \mathrm{M}$	2
100	200	1 wool		400 M	2
100	200	1 week		200 M (central)	5
8.35	17.1	2.5 weeks	Au+Au	$250 \mathrm{M}$	3
3.85	3 (FXT)	3 weeks	Au+Au	2 B	3

the lowest collider energy of the program. Collection of these events is our highest priority.
However, if we assume optimistic, but not overly so, rates and up-times, and 28 cryo-weeks,
we project that the opportunity to collect of other exciting datasets will arise.

The second highest priority for Run-21 identified by the STAR collaboration is four short 21 FXT runs; the collection of 300 M good events at $\sqrt{s_{\rm NN}}$ = 3 GeV and 50 M good events 22 at each of three higher beam energies ($\sqrt{s_{\rm NN}} = 9.2, 11.5, \text{ and } 13.7 \text{ GeV}$). In the second 23 highest priority block shown in Table 2, the 3 GeV FXT system is listed first for reasons 24 of logistics. It is recognized that the opportunity to address the topics listed as second and 25 third priorities will be contingent on the performance of the 7.7 GeV collider run. Should 26 it become evident early on in that run (in the first 4-8 weeks or so), that performance is 27 exceeding the conservative projections and that time will be available at the end of run 21, 28 then it would beneficial to take three days to complete the 3 GeV FXT run. This system 29 uses the same single beam energy (3.85 GeV) as the 7.7 GeV collider program, so there would 30 be no time lost transitioning and acquiring these data early in the run would give sufficient 31 time to analyze the results of the ExpressStream production to investigate the acceptance 32 and background for the search of the double- Λ hypernucleus and determine the statistics 33 necessary to pursue this physics topic (currently estimated to be three weeks). 300 M events 34 at 3 GeV with the enhanced iTPC and eTOF coverage gives access to the proton higher 35 moments, precision ϕ , hypernuclei, and dilepton measurements. The higher $\sqrt{s_{\rm NN}}$ FXT data 36 combined with the collider data at the same energy will provide full proton rapidity coverage 37 allowing us to probe in detail the mechanisms of stopping at play in heavy-ion collisions. We 38 estimate the total run time required to collect all these datasets is 6 days. 39

The STAR collaboration also finds important scientific opportunities are presented by the collection of our *third highest priority* datasets: • O+O data at $\sqrt{s_{\rm NN}} = 200$ GeV, in the context of understanding the early-time conditions of small systems. These data would allow for a direct comparison with a similarly proposed higher-energy O+O run at the LHC, and further motivate the case for a small system scan complementary to ongoing efforts by the NA61/SHINE collaboration at SPS energies, and other proposed light-ion species at the LHC.

- A sixth collider beam energy at $\sqrt{s_{\rm NN}} = 17.1$ GeV. These data will provide for a finer scan in a range where the energy dependence of the net-proton kurtosis and neutron density fluctuations appear to undergo a sudden change.
- 2 B good events at $\sqrt{s_{\rm NN}} = 3$ GeV in FXT mode. These enhanced statistics make possible the measurements of mid-rapidity proton 5-th/6-th order moments/cumulants, the system size dependence of ϕ meson production and the double- Λ hypernuclei.

The sequence with which we collect these datasets is currently somewhat fluid and are listed in the order of the requested run time; we do not want to take partial datasets. We expect to refine the ordering of our goals as Run-21 progresses. Collection of these data during future RHIC running periods is also of interest to the collaboration.

For Run-22, as shown in Table 3, we propose a dedicated 20 cryo-week transversely polarized p+p run at $\sqrt{s} = 510$ GeV. This run will take full advantage of STAR's new forward detection capabilities, consisting of a Forward Calorimeter System (FCS) and a Forward Tracking System (FTS) located between $2.5 < \eta < 4$, and further capitalizes on the recent BES-II detector upgrades.

These data will enable STAR to explore, with unprecedented precision, forward jet physics that probe both the high-x (largely valence quark) and low-x (primarily gluon) partonic regimes.

Table 3: Proposed Run-22 assuming 20 cryo-weeks, including an initial one week of cool-down and a two weeks set-up time.

\sqrt{s}	Species	Polarization	Run Time	Sampled	Priority
(GeV)				Luminosity	
510	p+p	Transverse	16 weeks	400 pb^{-1}	1

Looking further out, the STAR collaboration has determined that there is a compelling scientific program enabled by the first opportunity to capitalize on the combination of the BES-II and Forward Upgrades in the data collected from Au+Au, p+Au, and p+p collisions at $\sqrt{s_{\rm NN}} = 200$ GeV as outlined in Table 4.

⁶⁹ Significantly increased luminosities, the extended acceptance at mid-rapidity due to the ⁷⁰ iTPC, improved event plane and triggering capabilities of the EPD, and the ability to probe ⁷¹ the previously inaccessible forward region are all exploited in our Hot QCD program, that ⁷² informs on the microstructure of the QGP, and our Cold QCD program that will utilize ⁷³ transverse polarization that sets the stage for related future measurements at the EIC.

Table 4: Proposed Run-23 - Run-25 assuming 24 (28) cryo-weeks of running every year, and 6 weeks set-up time to switch species in 2024. Sampled luminosities assume a "take all" triggers.

$\sqrt{s_{\rm NN}}$	Species	Number Events/	Date
(GeV)		Sampled Luminosity	
200	Au+Au	$10B / 38 \text{ nb}^{-1}$	2023
200	p+p	235 pb^{-1}	2024
200	p+Au	$1.3 { m ~pb^{-1}}$	2024
200	Au+Au	$10{ m B}~/~52~{ m nb^{-1}}$	2025

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¹²⁹ 1 Highlights from the STAR Program

¹³⁰ 1.1 Highlights from the Heavy Ion Program

131 1.1.1 Heavy-Ion Jet Measurements

Jet quenching, the modification of parton showers due to interactions in the QGP, is manifest 132 in several distinct ways: energy transport to large angles, observable via jet energy loss 133 and large-angle energy recovery; multiple-soft and single-hard coherent scatterings off of 134 plasma constituents, observable via jet deflection or acoplanarity; and the modification of 135 jet substructure. This broad spectrum of phenomena provides unique and incisive probes of 136 the microscopic structure of the QGP. It also provides a robust experimental program, in 137 which different observables with different systematic sensitivity probe the same underlying 138 physics, providing stronger constraints on theoretical models of jet quenching than single 139 measurements. STAR has a comprehensive jet quenching program which covers the full 140 spectrum of these phenomena, using hadrons, direct photons, and reconstructed jets as 141 probes. 142

STAR has led the development of essential analysis techniques for the challenging task 143 of measuring reconstructed jet observables in heavy-ion collisions at RHIC. These include 144 a data-driven Mixed-Event technique to measure uncorrelated jet background for semi-145 inclusive observables [1], enabling unbiased jet measurements over a broad phase space in 146 heavy-ion collisions, notably low jet $p_{\rm T}$ (~ 10 GeV/c) and large jet resolution parameter 147 $(R \sim 0.5)$; and sub-jet observables that are robust to the underlying event and yet sensitive 148 to the jet splitting kinematics, applying them as a tool to access the resolution scale in 149 jet-medium interactions [2]. 150

Jet quenching measurements have traditionally utilized p+p collisions to provide an unmodified reference, and p+A collisions to measure initial state effects that may mask signals of quenching in the final state. More recently it has become evident that small systems themselves exhibit QGP-like flow signatures for event selection corresponding to high Event Activity (EA), and an urgent question in the field is whether evidence can likewise be found for jet quenching in such systems. The STAR jet quenching program therefore includes measurements in (unpolarized) p+p and p+Au collisions, as well as Au+Au collisions.

In this section we present recent highlights of the STAR jet quenching program. The STAR papers published in this area in the past year can be found in Refs. [3–7].

Inclusive and semi-inclusive jet yield suppression: Inclusive jet yield suppression 160 is a hallmark of jet quenching in heavy-ion collisions. STAR has recently reported the 161 first measurement at RHIC of inclusive charged-particle jet distributions in central and 162 peripheral Au+Au collisions at $\sqrt{s_{\rm NN}} = 200$ GeV [7], together with measurements of their 163 yield suppression, R_{AA} (normalized by the yield in p+p collisions calculated by PYTHIA 164 tuned to other STAR data [8]) and $R_{\rm CP}$. Figure 1 shows the extracted $R_{\rm CP}$ compared to a 165 similar measurement by ALICE, and to charged-hadron $R_{\rm CP}$ measured at both colliders. A 166 striking similarity is seen between the two inclusive jet measurements, and between the two 167



Figure 1: Measurement of $R_{\rm CP}$ as a function of $p_{\rm T,jet}^{\rm ch}$ for charged-particle jets (anti- $k_{\rm T}$, R = 0.2 and 0.3) measured by STAR (blue points) [7], compared to charged-jet $R_{\rm CP}$ at the LHC and to inclusive hadron $R_{\rm CP}$ at RHIC and the LHC. Note the different centrality selections.

inclusive hadron measurements. The $p_{\rm T}$ -dependence of $R_{\rm CP}$ is stronger for hadrons in the region of overlap. While there remain differences in centrality selection between the datasets, this is the most direct comparison to date of reconstructed jet measurements at RHIC and the LHC.

This paper also reported the ratio of jet yields in central and peripheral Au+Au collisions 172 for R = 0.2 and 0.4, which is a probe of jet shape and its in-medium modification. Consis-173 tency to theoretical calculations is found within uncertainties. However, the calculations 174 exhibit significant spread in the jet shape ratio, presenting an opportunity for more precise 175 measurements to discriminate between them. A measurement of the inclusive jet yield in 176 Au+Au collisions including both charged and neutral particle constituents using the much 177 larger data set recorded in 2014, corresponding to 9.9 nb^{-1} [9] is underway. STAR also has 178 full jet measurements in p+p collisions for use for the R_{AA} normalization. 179

A recent STAR measurement, likewise using the 9.9 nb^{-1} 2014 dataset, extends the semi-180 inclusive measurement of charged jets (anti- $k_{\rm T}$, R = 0.2 and 0.5) recoiling from a high- $E_{\rm T}$ 181 photon trigger to photon triggers in the range $15 < E_{\rm T}^{\rm trig} < 20$ GeV [10]. Currently, the 182 recoil jet yield suppression for 0-15% Au+Au collisions (I_{AA}) is determined by comparison to 183 the yield in p+p collisions calculated using PYTHIA-6 (STAR tune [8]) and PYTHIA-8 [11]. 184 Significant yield suppression in central Au+Au collisions is observed for R = 0.2, with less 185 suppression for R = 0.5. Theoretical calculations predict a stronger dependence of I_{AA} on 186 $p_{\rm T,iet}^{\rm ch}$ for R = 0.5 than observed. A measurement of this observable in p+p collisions is in 187 progress, to provide a data reference rather than PYTHIA calculations for I_{AA} . 188

Jet yield suppression is an indirect measurement of energy loss, because it convolutes out-of-cone energy loss with the shape of the jet spectrum – a fixed energy loss generates greater suppression for a steeper spectrum. Since the jet spectrum shape depends strongly on the choice of observable (inclusive, semi-inclusive) and collision energy, direct comparison of different jet quenching measurements requires this effect to be taken into account. Figure 2



Figure 2: Out-of-cone jet energy loss derived from jet yield suppression measurements in A+A collisions (see text) for $\gamma_{\rm dir}$ +jet, π^0 +jet, inclusive jet, and h+jet measurements at RHIC, and h+jet measurements at the LHC [9, 10]. Note the different $p_{\rm T,jet}$ ranges.

shows the $p_{T,jet}$ shift needed between jet spectra measured in a reference system (p+p or peripheral A+A collisions) and in central A+A collisions, for several jet yield suppression measurements at RHIC and the LHC [9, 10]. The absolute magnitude of medium-induced jet energy loss is similar for several different observables at RHIC, and is smaller than the LHC measurement. Note that the $p_{T,jet}^{ch}$ range is significantly higher for the LHC h+jet measurement, so that the *relative* energy loss is smaller than at RHIC.

This is a first look at comparing medium-induced out-of-cone radiation at RHIC and the LHC. Clearly, as the measured $p_{T,jet}$ range at RHIC moves up and that at the LHC moves down in upcoming measurements, more precise comparisons can be made. Nevertheless, Fig. 2 already provides significant constraints on jet quenching calculations that seek to model RHIC and LHC measurements in a unified way.

Jet-structure modifications: The Fragmentation Function (FF), normalized per jet, 205 provides information of the longitudinal momentum fraction $(z = p_{T,trk} \cos(\Delta r)/p_{T,jet})$ of 206 charged particles projected along the jet axis. While FF have been measured previously at 207 the LHC [12,13], STAR has utilized the semi-inclusive approach to measure the FF of charged 208 jets for the first time at RHIC [14]. The Mixed-Event approach developed in [1] is extended 209 for the FF measurement, and utilized for the correction of uncorrelated jet contributions. 210 The fully corrected FF are shown in Fig. 3 for jets of varying $p_{T,\text{jet}}^{\text{ch}}$ for mid-peripheral 40-60% 211 collisions compared to PYTHIA-8 predictions shown by the dashed curved. The FF shape in 212 data is reproduced by PYTHIA-8 in these peripheral collisions. Measurements are ongoing 213 to extend to central collisions where one expects a larger path length for the recoil jet and 214 enhanced medium effects. 215

Another observable of the jet transverse profile is the differential jet shape, measured in



Figure 3: Fragmentation functions for recoil charged-particle jets of varying $p_{\text{jet,T}}^{\text{ch}}$ with trigger 9.0 < E_{T} < 30.0 GeV in 40–60% peripheral events compared to PYTHIA-8 simulations in the dashed curves.

radial annuli around the jet axis $(\rho(\Delta r))$. Utilizing the hard-core jet selection [15] which 217 provides a pure sample of hard-scattered jets with a high constituent threshold, the fully 218 corrected ρ as a function of Δr (distance between the constituent tracks and the jet axis) 219 of leading jet with $20 < p_{T,jet} < 40 \text{ GeV}/c$ for central (0–10%) and mid-central (20–50%) 220 events are calculated. To probe possible in-medium modification of the jet structure and 221 its dependence on the path length in medium, this observable is also differentially measured 222 based on the jet's orientation with respect to the event plane for 20-50% mid-central colli-223 sions, as shown in Fig 4. High- $p_{T,trk}$ particles are found closer to the jet core, whilst softer 224 constituents are more evenly distributed around the jet. In comparing the soft particle pro-225 duction for in-plane vs. out-of-plane jets one finds subtle hints of path-length dependence. 226



Figure 4: Differential measurement of the leading jet $(20 < p_{T,jet} < 40 \text{ GeV}/c)$ shapes in 20–50% central Au+Au collisions shown for different jet azimuthal angles with respect to the event-plane angle. The $p_{T,trk}$ -dependence of the associated tracks are shown in the different stacked histograms. Results are corrected for event-plane resolution effects.

227

Jets in p+Au collisions: STAR has searched for jet-medium interactions in p+Au collisions by looking at potential modifications of semi-inclusive charged-particle jet yields and jet substructure observables such as the jet mass and SoftDrop groomed jet mass. p+Au collisions are classified as low or high event-activity (EA) according to the particle multiplicity in the Au-going direction as measured by the BBC-East detectors.

The charged-particle jet spectra, normalized per HT trigger (uncorrected for detector effects) are shown on the left of Fig. 5 where the open (full) makers correspond to low (high) EA. The different colored markers represent the azimuthal separation between the trigger and



Figure 5: Left: Semi-inclusive charged jet spectra in p+Au collisions for high and low eventactivity (EA) events, the ratio is shown in the bottom panel. Right: Fully corrected (groomed) jet-mass distributions in p+Au with high EA and p+p collisions.

235

the recoil jet. We see for jet with $p_{T,jet-raw} > 10 \text{ GeV}/c$, a significant suppression in high to 236 low EA events for both the trigger-side and recoil-side spectra. These suppression ratios are 237 qualitatively different from jet suppression in Au+Au collisions, where the recoil jets traverse 238 more QGP on average and are suppressed compared to the trigger-side. In investigating if 239 this suppression is a result of modification of jet structure, STAR also measured the fully 240 corrected jet-mass and groomed jet-mass distributions, normalized per jet, on the right of 241 Fig. 5. The distribution in high EA p+Au collisions is comparable to that in p+p collisions 242 within the systematic uncertainties, and this leads to the conclusion that CNM effects do not 243 significantly affect the jet substructure. The jet mass measurements in p+Au will be followed 244 in a more differential fashion by studying finer EA classes and rapidity selections which can 245 isolate jets originating from the Au vs p side. Both of these measurements from STAR point 246 to lack of jet modification from nuclear effects and also to a more fundamental selection bias 247 when identifying classes of high vs low activity events in asymmetric collisions. 248

249 1.1.2 Bulk Correlations

Over the past years, the STAR collaboration has performed a series of correlation measurements directed towards a comprehensive understanding of the QCD phase diagram and the bulk properties of the QGP phase. Here we highlight the most recent STAR results on bulk correlations, which are expected to shed light on the QCD phase diagram as well as on the transport properties of the QGP. Net-proton number fluctuations and the QCD critical point: One of the main goals of the STAR Beam Energy Scan (BES) program is to search for possible signatures of the QCD critical point (CP) by scanning the temperature (T) and the baryonic chemical potential (μ_B) plane by varying the collision energy. When the system produced in the heavy ion collisions approaches the CP, the correlation length diverges. Higher order cumulants of conserved net-particle multiplicity distributions are sensitive to such correlation lengths as the divergence of correlation length leads to enhanced fluctuations in the net-particle multiplicity distributions.



Figure 6: $\kappa \sigma^2$ as a function of collision energy for net-proton distributions measured in central (0-5%) and peripheral (70-80%) Au+Au collisions within 0.4 $< p_T$ (GeV/c) < 2.0 and |y| < 0.5. The error bars and caps show statistical and systematic uncertainties, respectively. The dashed and dash-dotted lines correspond to results from a hadron resonance gas (HRG) model. The shaded bands are the results of a transport model calculation (UrQMD). The model calculations utilize the experimental acceptance and incorporate conservation laws for strong interactions, but do not include the dynamics of phase transition or critical point. The new results are obtained after removing the spoiled events, the largest changes are seen in central Au+Au collisions at 7.7 and 62.4 GeV.

262

The ratios of the cumulants of identified net-particle multiplicity distributions, such as net-protons, have been predicted to be ideal observables sensitive to the onset of the QCD

phase transition and the location of the CP. A non-monotonic variation of these ratio of 265 cumulants, such as C_4/C_2 (= $\kappa\sigma^2$), as a function of collision energy has been proposed to be 266 an experimental signature of the CP. Taking the ratios of cumulants has advantages as it 267 cancels the volume fluctuations to first order. Further, these ratios of cumulants are related 268 to the ratio of baryon-number susceptibilities at a given T and μ_B . Near the critical point, 269 QCD-based calculations predict the net-baryon number distributions to be non-Gaussian 270 and susceptibilities to diverge, causing these ratios to have non-monotonic variation as a 271 function of collision energy. However, the finite-size and finite-time effects in heavy-ion 272 collisions limit the growth of correlation length, and hence it could restrict the values of $\kappa\sigma^2$ 273 from its divergence as a function of collision energy. 274

Figure 6 shows the collision energy variation of net-proton $\kappa\sigma^2$ for central and peripheral Au+Au collisions within the acceptance of $0.4 < p_T < 2.0$ GeV and |y|<0.5. In central collisions, a non-monotonic variation with beam energy is observed for $\kappa\sigma^2$ with a significance of 3.0 σ . In contrast, monotonic behavior with beam energy is observed for the statistical hadron gas (HRG) model, and for a nuclear transport UrQMD model without a critical point, and experimentally in peripheral collisions.

High statistics data from the ongoing BES-II program can provide precision measurements at higher μ_B region in the QCD phase diagram. In addition, due to the iTPC [16] and eTOF [17] upgrades, a differential measurement in |y| < 1.5 and $p_T > 0.15$ GeV/c will be explored. The study of acceptance dependence of net-proton $\kappa\sigma^2$ and other cumulants ratios are important to understand critical fluctuation. Furthermore, the forward Event-Plan Detector (EPDs) [18] can also be used to determine the centrality selection in heavy-ion collisions for this measurement.

Global polarization measurements at 27 GeV: In heavy-ion collisions, many theoret-288 ical models propose that the large angular momentum in the collisions of two nuclei 19–21 289 can be transferred to the microscopic constituent of the created matter. Consequently, the 290 spin of the produced quarks and gluons might be polarized along the direction of the global 291 angular momentum due to spin-orbit coupling. The direction of the global angular momen-292 tum is perpendicular to the reaction plane, as defined by the incoming beam and the impact 293 parameter vector. This direction can be determined from directed flow measurements of the 294 spectators. STAR observed significant non-zero polarization of hyperons [20] with increasing 295 strength with decreasing collision energy (from 200 to 7.7 GeV). 296

We recently report more differential measurements using our newly installed EPDs in 297 Au+Au collisions at 27 GeV as functions of the hyperon's transverse momentum, and pseudo-298 rapidity. In Fig. 7 left panel we observe that the polarization does not show a strong 299 dependence on p_T , albeit large uncertainties. There are several expectations on the p_T 300 dependence on the polarization. If global polarization is generated by the vorticity of the 301 initial state that does not have a strong p_T dependence then the result is compatible with 302 expectations. Alternatively, at lower p_T , due to the smearing effect caused by scattering at 303 later stages of the collisions, we might expect a decrease of the polarization. In addition, 304 one might expect a decrease in the polarization at higher p_T due to the expected larger 305



Figure 7: The global polarization measurements as a function of p_T and η in 15-75% central Au+Au collisions at $\sqrt{s_{\rm NN}} = 27$ GeV. The figure is taken from Ref [22].

contribution from jet fragmentation. Fig 7 right panel shows the pseudo-rapidity dependence 306 of the polarization measurement, no η -dependence of the polarization is observed within 307 uncertainties. The vorticity is expected to decrease at larger rapidity, but might also have a 308 local minimum at $\eta=0$ due to complex shear flow structure [21, 23, 24] however, this might 309 be difficult to observe within STAR's acceptance. This preliminary observation of no p_T or η 310 dependence of the polarization is consistent with our previous measurements at 200 GeV [19]. 311 STAR plans to perform the same measurement with an extended pseudo-rapidity coverage 312 using the iTPC detector upgrade and with higher statistics BES-II data set enabling higher 313 a precision result. 314

Global spin alignment of K^{*0} and ϕ : Unlike the self-analyzing (anti) Λ , the polarization 315 of vector mesons such as $\phi(1020)$ and $K^{*0}(892)$ cannot be directly measured since vector 316 mesons mainly decay through the strong interaction in which parity is conserved. The spin 317 alignment of vector mesons can be given by a 3×3 spin density matrix with unit trace [25]. 318 The spin density matrix diagonal elements ρ_{nn} , n=0,1 and -1, represent the probabilities 319 for the spin component along the quantization axes. When there is no spin alignment this 320 means that all three spin states (ρ_{nn}) have equal probability to be occupied meaning $\rho_{nn} =$ 321 1/3. Out of the three diagonal elements, only the n=0 case is independent of the other two. 322 Consequently, it is intriguing to experimentally investigate the ρ_{00} of vector mesons. 323

Figure 8 shows the centrality dependence of ρ_{00} for both vector meson species for Au + Au collisions at 200 GeV. The ϕ -meson results are presented for transverse momentum



Figure 8: The spin alignment ρ_{00} measurements of vector mesons K^{*0} and ϕ as a function of N_{part} for the indicated p_T range of the Au+Au collisions at 200 and 54.4 GeV. The figure is taken from Ref [26].

1.2 $< p_T < 5.4 \text{ GeV}/c$, and ρ_{00} for this species is significantly above 1/3 for mid-central collisions, indicating finite global spin alignment. The K^{*0} -meson results are presented for transverse momentum 1.0 $< p_T < 1.5 \text{ GeV}/c$, and the magnitude of ρ_{00} for this particle species is observed to be significantly less than 1/3 for mid-central collisions.

The distinction between the global spin alignment for K^{*0} and ϕ may be assigned to different in-medium interactions due to the difference in the lifetime (ϕ -meson is 10 times larger than K^{*0} -mesons), and/or a different response to the vector meson field. These global spin alignment results are expected to shed light on the possible vector meson fields [27,28]. Such investigations are extremely important since vector meson fields are a crucial part of the nuclear force that binds nucleons to atomic nuclei and are also central in describing properties of nuclear structure and nuclear matter.

Nuclear deformation measurements: Deformation is a fundamental property of atomic
nuclei that reflects the correlated nature of the dynamics of nucleons within the quantum
many-body system. The majority of atomic nuclei possess an intrinsic deformation, most of
which is an axial quadrupole, or ellipsoidal, deformation.

Prior relativistic heavy-ion collision measurements from STAR reported strong signatures of nuclear deformation using detailed comparisons between Au+Au collisions and U+U collisions [29]. These measurements suggest that U+U collisions being much more deformed in their ground state. Consequently, we can say that these detailed comparisons between Au+Au and U+U collisions enabled us to examine the geometry of the colliding nuclei.

The study of mean transverse momentum dependence of the elliptic and triangular flow harmonics in Au+Au and U+U collisions are recently proposed by theory calculations [31] that are more sensitive to the deformation of the colliding nuclei.

Figure 9 shows the scaled mean p_T dependence of v_2 and v_3 for the central Au+Au and U+U collisions. STAR preliminary data show a clear positive correlation for v_2 and v_3 in Au+Au collisions that is in agreement with the v_3 from U+U collisions. In contrast, a nontrivial negative correlation is observed in v_2 as a function of scaled mean p_T in U+U



Figure 9: The scaled mean p_T dependence of the elliptic and triangular flow harmonics for 0-0.5% central Au+Au and U+U collisions. The figure is taken from Ref [30].

collisions. Also these preliminary results are consistent with the theoretical expectation for a deformed U nuclei [31].

Flow correlations and fluctuations measurements: Flow harmonics (v_n) calculated 355 from the Fourier expansion of the particle azimuthal distributions are commonly employed 356 to quantify the azimuthal anisotropy of particle emission relative to the collision symmetry 357 planes. While the lower-order Fourier coefficients $(v_2 \text{ and } v_3)$ are more directly related to the 358 corresponding eccentricities of the initial state, the higher-order flow harmonics $(v_{n>3})$ can 359 be induced by a non-linear (mode-coupled) response to its lower-order harmonics and also 360 with a linear response to the same-order anisotropy. These higher-order flow harmonics and 361 their linear and mode-coupled contributions can be used to constrain the initial conditions 362 and the transport properties of the medium in the theoretical calculations. 363

The v_2 and v_3 harmonics are sensitive to the respective influence of the initial-state eccentricity and the final-state viscous attenuation, which have proven difficult to disentangle. The mode-coupled coefficients show characteristically different dependencies on the viscous attenuation and the initial-state eccentricity [32]. Therefore, they can be used in conjunction with measurements for the v_2 and v_3 harmonics to leverage additional unique constraints for initial-state models, as well as reliable extraction of transport coefficient.

Figure 10 shows the mode-coupled response coefficients, $\chi_{4,22}$ and $\chi_{5,23}$, with a weak 370 centrality dependence, akin to the patterns observed for similar measurements at the LHC 371 for Pb+Pb collisions at 2.76 TeV [34]. These patterns suggest that the mode-coupled response 372 coefficients are dominated by initial-state eccentricity couplings which is known from models 373 to have a weak dependence on beam energy. The correlations of the event plane angles, $\rho_{4,22}$ 374 and $\rho_{5,23}$ show a strong centrality dependence that agrees well with the LHC measurements 375 for Pb+Pb collisions at 2.76 TeV. The predictions from viscous hydrodynamic models [35, 376 36 give a good qualitative description of the mode-coupled response coefficients and the 377



Figure 10: Results as a function of centrality for Au+Au collisions at 200 GeV [33]. Panels (a) and (b) shows the mode-coupled response coefficients, and panels (c) and (d) show the correlations of event plane angles. The closed-symbols represents similar LHC measurements [34]. The shaded bands indicate hydrodynamic model predictions Hydro-1 [35], Hydro-2^{*a*} and Hydro-2^{*b*} [36].

378 correlation of event plane angles.

Small system measurements: The comparisons of theoretical models to the flow har-379 monics, v_n , continue to be an essential avenue to evaluate the transport properties of partonic 380 matter produced in large to moderate-sized collision systems [37–39]. For the small collision-381 systems formed in $p/d/^{3}$ He+Au and p+Pb collisions, collective flow might not develop due 382 to the presence of large gradients in the energy-momentum tensor that could trigger non-383 hydrodynamic modes [40, 41]. Certainly, the most important question that divided our field 384 is whether an alternative initial-state-driven mechanism [42] dominates over hydrodynamic 385 expansion for these collision systems. 386

Current measurements for $p/d/^{3}$ He+Au collisions, which supplement earlier measure-387 ments at both RHIC [43] and the LHC [44] aim to address the respective influence of collision-388 system size and its subnucleonic fluctuations, and viscous attenuation on the measured v_n . 389 Figure 11 shows the $v_2(p_T)$ and $v_3(p_T)$ values for $p/d/^3He+Au$ collisions at 200 GeV 390 before and after non-flow subtraction, compared for all three subtraction techniques. The 391 presented results show non-flow contributions which are system-dependent, but the non-392 flow subtracted v_2 (top panels) and v_3 (bottom panels) are method-independent within the 393 uncertainties. 394

These STAR measurements with non-flow subtracted show that for the comparable charged-hadron multiplicity (N_{ch}) events v_2 and v_3 , values are independent of collision sys-



Figure 11: Comparison of the $v_{2,3}(p_T)$ values for $p/d/^3$ He+Au collisions at 200 GeV, before and after non-flow subtraction. The figure is taken from Ref [45].

tem. These observations are compatible with the significant influence of the subnucleonic fluctuations-driven eccentricities, $\epsilon_{2,3}$, in a system whose size is primarily determined by N_{ch} . However, they are incompatible with the notion of shape engineering in p/d/³He+Au collisions.

⁴⁰¹ 1.1.3 Light Flavor Spectra and Ultra-peripheral Collisions

The Light Flavor Spectra and Ultra-peripheral Collisions (LFSUPC) physics working group is responsible for the measurements of calibrated production yields and spectra in inclusive ion-ion collisions, ultra-peripheral collisions, and exclusive p+p collisions.

In ion-ion collisions, analysis efforts can be grouped based on the methodology and physics issues. The general categories include light charge hadrons (π, K, p) , strange hadrons $(\phi, \Lambda, \Xi, \Omega)$, light nuclei (d, t, ³He, ⁴He), and hyper-nuclei (³_AH and ⁴_AH). Examples of recent results from light nuclei and hyper-nuclei are shown in other sections (see sections 2.2.3 and 2.2.2). Here some recent results will presented from the light charged hadron and strange hadron analyses.

Light hadron production: Light charged hadron spectra and yields are measured using 411 particle identification through dE/dx in the TPC, $1/\beta$ in the time-of-flight detectors and 412 careful study of the acceptance and efficiency of the detectors. These studies are particularly 413 useful in defining the basic thermal properties (T and μ_B) of the system. Previous studies 414 of the light charged hadrons from BES-I measured the spectra and yields at midrapidity. 415 The newest results now include rapidity dependence which allows for a better understanding 416 of baryon stopping, which is key to the dependence of μ_B with $\sqrt{s_{NN}}$. New preliminary π , 417 K, and p transverse mass spectra are shown as a function a rapidity in Fig. 12 for Au+Au418 collisions at $\sqrt{s_{\rm NN}} = 27$ GeV. Additional pre-preliminary results have been produced from 419 fast offline pre-calibration quality assurance productions from the other BES-II collider and 420

421 fixed-target energies.



Figure 12: Transverse mass spectra for pions, Kaons, and protons from Au+Au collisions at $\sqrt{s_{\text{NN}}}$ = 27 GeV as a function of rapidity.

Strange hadron production: Strange hadron spectra and yields are measured by deter-422 mining the invariant mass from the charged daughters from weak decays of neutral strange 423 hadrons. These studies define the role of the strange quark in the thermodynamic evolution 424 of the system. STAR has recently implemented a new V^0 finding routine called KF parti-425 *cle* which increases the sensitivity of our strange hadron studies. The highlights of recent 426 measurements have come from the newest fixed-target data. The fixed-target energy range 427 covers the production threshold energies for Ξ^- (3.247 GeV), Ω^- (4.09 GeV), Ξ^+, Ξ^- (4.52 428 GeV), and Ω^+ , Ω^- (5.22 GeV). Figure 13 shows the invariant mass plots for measurements 429 of A's, Ξ 's, and Ω 's for fixed-target Au+Au at $\sqrt{s_{NN}}$ of 3.0 and 7.2 GeV. Additional pre-430 preliminary measurements have been made at other collider and fixed-target energies. In 431 addition, studies of the production of the ϕ meson have been made at 3.0 and 7.2 GeV. 432

433 Central exclusive production: Central exclusive production is measured in p+p colli-434 sions using the very forward roman pot detectors to identify the the two colliding protons 435 and the TPC to measure the products. Figure 14 shows the invariant mass of pion pairs



Figure 13: Invariant mass plots for measurements of Λ 's, Ξ 's, and Ω 's for fixed-target Au+Au collisions at $\sqrt{s_{NN}}$ of 3.0 and 7.2 GeV (single beam energies of 3.85 and 26.5 GeV respectively).

in exclusive p+p events at 200 GeV. These are the first measurements at this energy and
show significant peaks in the invariant mass spectra that were not predicted by the models.
Similar results are available for kaon and proton pairs.

Electromagnetic probes: Electromagnetic radiation from high-energy heavy-ion collisions provides rich information about the properties of the produced medium. Dileptons directly probe the in-medium electromagnetic correlator of hadronic currents [46, 47]. Dynamical information on in-medium spectral functions encodes not only changes in degrees of freedom, chiral symmetry restoration [48–50], and transport properties of medium like the electrical conductivity [51, 52], but also the life time and average temperature of the interacting fireball [53], and the emission history and origin of the radiation [54–57].

STAR reported measurement of thermal dilepton radiation ranging from $\sqrt{s_{\rm NN}} = 200$ 446 GeV down to 19.6 GeV [58–61]. A significant excess in the low-mass region when compared 447 to the known hadronic sources has been observed. It was shown that the predictions of 448 hadronic manybody theory for a melting ρ meson, coupled with QGP emission utilizing 449 a modern lattice QCD-based equation of state [51, 62], yield a quantitative description of 450 dilepton spectra in heavy-ion collisions [58,61]. This is demonstrated in Fig. 15 (left panel). 451 Moreover, it has been shown that the integrated low-mass excess radiation provides a direct 452 measure of the total fireball lifetime [60]. Secondary vertex rejection employing information 453 provided by the Heavy Flavor Tracker installed for Run-14 and Run-16 will enable unique 454



Figure 14: Invariant mass spectra for pion pairs from exclusive p+p events at 200 GeV.

⁴⁵⁵ temperature measurements of the QGP.

⁴⁵⁶ The low-mass line shape will provide a critical test of the ρ -melting scenario (which is ⁴⁵⁷ consistent with expectations of chiral symmetry restoration) at vanishing baryon chemical ⁴⁵⁸ potential. A precision measurement at top RHIC energy will provide additional constraints ⁴⁵⁹ that can be directly tested against the lattice QCD predictions and will be put in focus via ⁴⁶⁰ the additional data collected in 2023-2025 (see section 2.4.3).



Figure 15: Left: Acceptance-corrected dielectron excess mass spectra, normalized by dN_{ch}/dy , for Au+Au collisions at $\sqrt{s_{\rm NN}} = 27$, 39, 62.4, 200 GeV. Right: Comparison of the P_T distribution in 60–80% central Au+Au collisions with that in UPCs [63].

Dileptons generated by the intense electromagnetic fields accompanying the relativistic heavy nuclei at large impact parameters [64], in ultra-peripheral collisions (UPC) where there is no nuclear overlap has recently become experimentally accessible, offering several opportunities. According to the equivalent photon approximation (EPA), the electromag-

netic field generated by an ultra-relativistic nucleus can be viewed as a spectrum of quasi-real 465 photons coherently emitted by the entire nucleus and the dilepton production process can be 466 represented as $\gamma + \gamma \rightarrow l^+ + l^-$. Recently, the STAR and ATLAS collaborations made mea-467 surements of dileptons at small impact parameters with nuclear overlap, and found that the 468 electromagnetic production of dileptons can also occur in hadronic collisions. Furthermore, 469 a significant P_{\perp} broadening as shown in Fig. 15 (right panel) effect for lepton pairs produced 470 by the two photon scattering process has been observed in hadronic collisions compared to 471 measurements in UPC and to EPA calculations. Precision measurements will provide an im-472 portant constraints for quantitative theoretical analyses of magnitude and duration of initial 473 magnetic fields. It was perceived that photons participating in such collisions are quasi-real 474 with transverse-momentum $k_t \simeq 1/R$ (30 MeV/c) reflecting the virtuality and uncertainty 475 principle of their origin. This led to the implementation in many EPA models that the initial 476 transverse momentum of the dilepton pairs does not depend on impact parameter and the 477 transverse space coordinates where the pair are created are randomly distributed due to the 478 same principles. Our new measurements of centrality dependence and azimuthal distribu-479 tions have shown that the photons behave like real photons in all observables and the renewed 480 models and theories have demonstrated that correction to the real photon approximation is 481 suppressed at the order of $1/\gamma^2$ even to the pair's transverse momentum distribution. The 482 discovery of the Breit-Wheeler process and the utilization of linearly polarized photons in 483 UPC are conceptually and experimentally highly nontrivial. With future high statistics data 484 with larger TPC acceptance in UPC, we can explore the phase space of photon collisions 485 in transverse momentum, rapidity and momentum-space-spin correlations in extreme QED 486 field [65, 66] (see section 2.4.3). 487

488 1.1.4 Heavy-Flavor

The production of heavy-flavor (HF) quarks proceeds predominately via the hard scatterings 489 of partons in p(A)+p(A) collisions. This fact gives rise of the utility of heavy-flavor hadron 490 measurements in heavy-ion experiments since they are produced independently of the QCD 491 medium and probe it's properties by scattering with the medium constituents. Topics of 492 medium-induced parton energy loss, QGP transport properties, hadronization mechanisms, 493 and quarkonia melting are some of the pivotal studies that have emerged within the HF 494 category. Besides the highlights discussed in detail below, the following measurements have 495 been recently published: First Measurement of Λ_c Baryon Production in Au+Au Collisions 496 at $\sqrt{s_{\rm NN}} = 200$ GeV [67]; Measurement of inclusive J/ ψ suppression in Au+Au collisions at 497 $\sqrt{s_{\rm NN}}$ =200 GeV through the dimuon channel at STAR [68]; First Observation of the Directed 498 Flow of D^0 and $\overline{D^0}$ in Au+Au Collisions at $\sqrt{s_{\rm NN}} = 200$ GeV [69]. 499

Charm coalescence: Recent measurements of D^0 [70] and D^+ meson yields (shown at Hard Probes 2020) as a function of transverse momentum (p_T) in heavy-ion collisions show a significantly suppressed spectrum with respect to p + p collisions. The two mechanisms that predominately produce suppressed meson distributions are parton energy loss in the QGP and different hadronization schemes. The latter is nicely illustrated via the measurement of

the Λ_c^+/D^0 yield ratio [67], which is significantly enhanced with respect to the expectation 505 in p + p collisions and is attributed to baryon production via coalescence hadronization. 506 Recently, STAR has measured the ratio of D_s^+/D^0 yields in heavy-ion collisions, which 507 is important as it probes charm hadronization and strangeness enhancement mechanisms. 508 Utilizing the excellent pointing resolution resolution of the Heavy Flavor Tracker (HFT), the 509 D_s is measured in 2014 and 2016 data via topological reconstruction using a multi-variate 510 analysis (MVA). The final results of D_s^+ yield with respect to D^0 were reported in the 2019 511 Quark Matter conference, and are shown in Figure 16. The ratios for 0-10% (blue points) 512 and 10-40% (red points) centrality regions are consistent within experimental uncertainties. 513 Also shown in the left panel are the ratios averaged over p + p/e + p/e + e collisions, PYTHIA. 514 and a model calculation (TAMU) including coalescence hadronization for 10-40% centrality. 515 The D_s yield is significantly enhanced in Au+Au collisions with respect to that of elementary 516 p+p/e+p/e+e collisions. Shown in the right panel are model calculations including sequential 517 (solid lines) and simultaneous (dashed lines) coalescence for both Au+Au collisions at RHIC 518 and Pb+Pb collisions at LHC energies. The ALICE data, also shown in the right panel, 519 are consistent within uncertainties with the STAR data. The model including sequential 520 coalescence is able to best capture the trends in the data. 521



Figure 16: Left: STAR measurement of the D_s/D^0 ratio in 0-10% (blue circles) and 10-40% (red circles) centrality Au+Au collisions as a function of $D_s p_T$. The yellow shaded band shows the average from p + p/e + p/e + e collisions. The purple and red shaded bands show the expectations from PYTHIA and TAMU model calculations, respectively. Right: STAR D_s/D^0 ratio measurements compared to model calculations including sequential (solid lines) and simultaneous coalescence (dotted lines) hadronization, and data from the ALICE measurements (blue and brown circles) and the respective model calculations at LHC energies.

Mass dependence of partonic energy loss: The mass dependence of parton energy loss has been probed in heavy-ion collisions with the measurements of light- and heavy-flavor hadron nuclear modifications factors (R_{AA}) . At high p_T , where mass effects are predicted to significantly modify the quark energy loss from gluon radiation in the QGP, the values of light-flavor and charm hadron R_{AA} are measured to be degenerate, and can be explained

by mechanisms that are not related to parton energy loss (e.g., see [71]). In that respect 527 systematic comparisons of both bottom and charm hadron nuclear modification factors are 528 predicted to be a clean probe of the mass dependence of parton energy loss by several model 529 calculations [72–75]. However, from an experimental point of view measuring bottom hadrons 530 have been difficult at RHIC due to the low bottom quark production cross-section, and have 531 only been accessible via the measurement of displaced electrons or charmed hadrons. STAR 532 has now reported at the 2019 Quark Matter conference an updated measurement of single 533 electrons from bottom semileptonic decays utilizing both 2014 and 2016 data sets. The 534 contribution of bottom- and charm-decayed electrons, and backgrounds, are topologically 535 separated using the three-dimensional distance-of-closest approach (DCA) utilizing the HFT 536 detector. In contrast to previous measurements utilizing the transverse dimension DCA 537 (DCA_{xy}) , the 3D DCA is able to separate charm- and bottom-decay electrons with greater 538 significance since the longitudinal and transverse DCA have similar resolution. The updated 539 STAR measurement also includes an improved electron identification selection, which is based 540 off a projective likelihood MVA. The improvement in the mis-identified hadron fraction is a 541 factor of two when compared to traditional cut-based particle identification. The results of 542 bottom- and charm-decayed electron R_{AA} are shown in Figure 17 in the top panel, and their 543 ratio in the bottom panel. A constant fit to the double ratio is used to quantify the enhanced 544 $b \rightarrow e R_{AA}$ and is measured to be 1.92 ± 0.25 (stat.) ± 0.21 (syst.). Shown in the bottom panel as 545 the hashed blue curve is a null hypothesis where we assume equal values of R_{AA} for charm and 546 bottom hadrons and then fold the distributions to the decay-electron, and subsequently take 547 a double ratio. Performing this exercise shows the effects from different production spectra, 548 fragmentation, and decay phase-space of charm and bottom hadrons, and it is clearly seen 549 these effects have a small impact on the double ratio. The double ratios of $b \to e$ to $c \to e$ 550 R_{CP} are also measured and a similar constant fit as in the R_{AA} case is performed and found 551 to be 1.68 ± 0.15 (stat.) ± 0.12 (syst.) and 1.38 ± 0.08 (stat.) ± 0.03 (syst.) for the ratios of $R_{CP}(0-1)$ 552 20%/40-80%) and $R_{CP}(0-20\%/20-40\%)$, respectively. We additionally compare the data to 553 a modified Langevian transport model (DUKE) [73] which includes the mass dependence 554 of parton energy loss, and within uncertainties the data and model are consistent in both 555 the absolute R_{AA} data and the double ratios of R_{AA} and R_{CP} . Combining the agreement 556 between model and experiment, and the quality of the data, these observations represent, 557 for the first time, evidence of mass-ordering of parton energy loss in heavy-ion collisions. 558

Charm and bottom flow: Measurements of heavy-flavor flow are also essential to under-559 standing the QGP properties as particle flow and yield provide a test-bed for model calcula-560 tions to simultaneously describe the data. It has already been established by STAR [76] in 561 200 GeV Au+Au collisions that D^0 hadrons have a significant elliptic flow that is compara-562 ble to light-flavor hadrons after taking into account particle mass and number of constituent 563 quarks. Measurements of heavy-flavor hadron flow at lower collision energies have been ex-564 plored via the measurements of single electron elliptic flow in the 2017 and 2018 data sets. 565 and was reported at the 2020 Hard Probes conference. Previous STAR measurements of 566 heavy-flavor electron v_2 in 62.4 and 39 GeV Au+Au collisions [77] were statistically limited 567



Figure 17: Top: Data for bottom- (blue stars) and charm-decay (red diamonds) electron R_{AA} as a function of electron p_T . The DUKE model calculation are shown as the respectively colored dotted lines. Bottom: The double ratio of bottom to charm R_{AA} , and the null hypothesis (explained in the text) shown as the blue shaded band and DUKE calculation as the dotted line.

and within experimental uncertainties consistent with zero. The data collected during Run-568 17 and Run-18 at $\sqrt{s_{\rm NN}}$ 54.4 and 27 GeV Au+Au collisions, respectively, are more than 569 an order of magnitude larger in statistics and allow for a more precise measurement. The 570 heavy-flavor decay electron v_2 is extracted from the inclusive electron v_2 by correcting for 571 electron v_2 from hadron and photon decays. The data are shown in Figure 18 for both 54.4 572 and 27 GeV Au+Au collisions, and compared to previously published STAR data in 200 573 GeV Au+Au collisions [77]. The 54.4 GeV data show a significant v_2 that is comparable to 574 200 GeV Au+Au collisions, indicating heavy-flavor hadrons gain significant collective flow 575 in the produced medium in 54.4 GeV Au+Au collisions. The data at 27 GeV indicate a hint 576 of non-zero v_2 , but still have considerable uncertainties due to a lower signal-to-background 577 ratio. 578

STAR has also recently reported at the 2019 Quark Matter conference charm-decayed electron v_1 and v_2 , and bottom-decay electron v_2 in 200 GeV Au+Au collisions utilizing the HFT to isolate charm- or bottom-decayed electrons, respectively. The measurement of charm-decayed electron v_1 and v_2 was compared to previous STAR data of D^0 mesons, and show consistency between the two measurements. In the former case the measured slope of the charm-decayed electron v_1 versus rapidity corroborated the recently measured large negative v_1 slope by STAR [69], and with an improved significance of 5σ . The bottom-decay electron v_2 was measured to have a non-zero v_2 with a significance of about 3.4 σ , and is consistent in magnitude with expectations from the DUKE model [73]. This is the first significant measurement of bottom hadron v_2 at RHIC.



Figure 18: Heavy-flavor electron v_2 as a function of electron p_T in 54.4 (blue circles) and 27 (green squares) GeV Au+Au collisions. The STAR published data at 200 GeV are also shown as the gray stars. The shaded blue histogram shows the estimated non-flow contribution in the 54.4 GeV data.

Recent measurements of quarkonia have opened up new ways to probe production mech-589 anisms by measuring their distributions with jets. It has been observed that there is no 590 simultaneous description of models to the J/ψ spectra and polarization data. It has also 591 been shown that measurements of quarkonia fragmentation functions in jets can have good 592 discriminating power between different models. Recently reported at the 2020 Hard Probes 593 conference, was the measurement of J/ψ mesons within jets as a function of the $J/\psi p_T$ 594 fraction $(z = p_T(J/\psi)/p_T(jet))$. The results are shown in Figure 19 for a given set of jet 595 reconstruction requirements, and unfolded to account for detector smearing. The depen-596 dence on cone size was also investigated and the data showed as the cone sized is increased 597 the z distribution became more populated at lower values. Compared with the data in the 598 same plot is the expectation of PYTHIA simulations (shown as the gray shaded histogram). 599 The data show a clear discrepancy with PYTHIA, suggesting J/ψ mesons are not produced 600 mostly in isolation. This new measurement is expected to provide valuable input to the 601 theory community. 602



Figure 19: J/ψ momentum fraction in jets in 500 GeV p+p data for a given set of jet reconstruction parameters listed. Also shown is the expectation from PYTHIA simulation as the gray histogram.

⁶⁰³ 1.2 CME Search and Isobar Run

604 1.2.1 Introduction

Finding a conclusive experimental signature of the Chiral Magnetic Effect (CME) has be-605 come one of the major scientific goals of the heavy-ion physics program at the Relativistic 606 Heavy Ion Collider (RHIC). The existence of CME will be a leap towards an understanding 607 of the QCD vacuum, establishing a picture of the formation of deconfined medium where 608 chiral symmetry is restored and will also provide unique evidence of the strongest known 609 electromagnetic fields created in relativistic heavy-ion collisions [78,79]. The impact of such 610 a discovery goes beyond the community of heavy-ion collisions and will possibly be a mile-611 stone in physics. Also, as it turns out, the remaining few years of RHIC run and analysis 612 of already collected data probably provides the last chance for dedicated CME searches in 613 heavy-ion collisions in the foreseeable future. Over the past years significant efforts from 614 STAR as well as other collaborations have been dedicated towards developing new meth-615 ods and observables to isolate the possible CME-driven signal and non-CME background 616 contributions in the measurements of charge separation across the reaction plane. Many 617 clever ideas have been proposed and applied to existing data. The general consensus is 618 that measurement from the isobar collisions, Ruthenium+Ruthenium (Ru+Ru) that has 619 10 - 18% higher B-field than Zirconium+Zirconium (Zr+Zr), provides the best solution to 620 this problem. During the time when this beam user request document is being written, the 621 analysts from the STAR collaboration are about to start the final step of the (three-step) 622 blind analysis of the isobar data that we discuss at length in the following section. 623

624 1.2.2 Modality of Isobar Running at RHIC



Figure 20: Left: Cartoon of the isobar collisions, about 10 - 18% stronger B-field is expected in Ru+Ru collisions as compared to Zr+Zr collisions due to four extra protons in each Ru nucleus. Right: Summary of the data collected for isobar collisions during Run 18 – almost a factor of two more events were collected than the request 1.5 Billion events over the course of 3.5 weeks.

⁶²⁵ The idea of colliding isobar, particularly Ru+Ru and Zr+Zr to make a decisive test of



Figure 21: Cartoon showing steps of analysis consisting of the mock-data challenge and the threestep isobar blind analysis. This cartoon is based on the procedure for the blind analysis of isobar data that have been outlined in Ref [85]. During the time when this document is being written STAR collaboration has finished the mock-data challenge and two other steps and about to begin the final step of isobar-unblind analysis (shown in red).

CME was proposed by Voloshin in Ref [80], the same paper which also proposed to use 626 Uranium collisions to disentangle signal and background of CME. The possible difference in 627 the signals relies on the 10-18% higher B-field in Ru+Ru compared to Zr+Zr [81] in contrast 628 to about 4% difference in flow driven background [36]. Such estimates are sensitive to details 629 of shapes, charge distribution and neutron skin thickness of the two isobar nuclei [81–83]. 630 In the 2017-18 RHIC beam user request [84] STAR collaboration therefore proposed to 631 collect data for two 3.5 week runs in the year 2018. The projection was based on the 632 prospect of achieving five-sigma significance in a scenario where the measurement of $\Delta\gamma$ 633 has 80% non-CME background. This, however, relies on the assumption that the systematic 634 uncertainty of the measurements is only a few percent and is much smaller than the statistical 635 uncertainty. This started a large scale collaboration wide effort in synergy with the RHIC 636 collider accelerator department to plan for the isobar running in the year 2018. Based on the 637 studies of previous years of data from Au + Au and U + U collisions several major sources of 638 systematics in the measurement of $\Delta\gamma$ were identified. The major sources include: run-to-run 639 variation of detector response due to loss of acceptance, change in efficiency and variation in 640 luminosity that affects the number of reconstructed tracks in the Time Projection Chamber. 641 This eventually leads to uncorrectable systematic uncertainties in $\Delta\gamma$, the main observable 642 to measure charge separation across event plane. In order to minimize such systematics the 643 proposal were to: 1) switch species in RHIC between stores and, 2) keep long stores to level 644 the luminosity aiming for specific rates in the coincidence measurements of beam fragments 645 by the STAR zero-degree calorimeters. The aim was to maintain exact balance of run and 646 detector conditions for the two species so that observations in the two systems are equally 647 affected and can later on be largely eliminated in the ratios of observables. 648

649 1.2.3 Blinding of Data Sets and Preparation for Analyses

The procedure to blind isobar data was already in place well ahead of the actual data taking to limit the access of the data to the analysts. With the successful conclusion of

the isobar run in the year 2018 STAR experiment collected more than 3 billion events for 652 each isobar species. The next step was to develop the plans for a blind analysis, the main 653 idea behind which is to eliminate possible unconscious biases. A total of five institutional 654 groups are set up to perform the analysis of the data. The analysts from each group will 655 focus on a specific analysis described in the following section although in many cases there 656 are substantial overlap in some analyses that will help cross check the results. An important 657 part of the blind analysis is the blinding of the isobar species during the analysis. The details 658 of the blinding of the the blinding procedure and data structure is decided by members of 659 an analysis blinding committee (ABC) who are not part of the team of analysts and will 660 work in close collaboration with STAR experts who are part of the production team. The 661 idea is to provide the analysts the access to data in files where species-specific information 662 are disguised or removed before the final step of unblinding. A careful consideration is taken 663 by the ABC to make sure only the essential information to do the analysis-specific quality 664 assurance of the data is available to the analysts. Some of the quality assurance, calibration 665 and centrality determination work that require species information are done only by STAR 666 experts who are not a part of the analysis team. Above all, the main goal of the committee 667 is to make sure that under no circumstances, physics analysts can access un-blinded data 668 and jeopardize the blind analysis. For example, all the data sets are produced with pseudo-669 run-number that cannot be used by the analysts to retrieve the exact species information. 670

671 1.2.4 Methods for the Isobar Blind Analyses

The detailed procedure for the blind analyses of isobar data have been outlined in Ref [85]. Figure.21 is a cartoon that summarizes the mock-data challenge and three steps of blind analysis.

In the zeroth step shown in the extreme left of Fig.21 (by orange circle) is the mockdata challenge and not considered as a step of the isobar data analysis but a crucial step to familiarize the analysts with the technicalities of the data structures that have been specifically designed for blind analysis.

The first step shown in Fig.21 (by green circle) as the "isobar-mixed analysis" or "mixed-679 blind analysis" is truly the first step of blind analysis. This is also the most challenging 680 steps from the point of view of the analysts. In this step the analysts are provided with data 681 sample where each run comprises of events that are mixed samples from two species. In this 682 step the analysts perform the full quality assurance (QA) and physics analysis of the data, 683 document every details of steps of the procedure and freeze the codes. After the completion 684 of this step, no changes to the analysis code is permissible. Also, no changes in the analysis 685 procedure is allowed. The only permissible change in the following step is to reject bad runs 686 or pile-up events. However, in order to avoid unconscious bias in analysis, such rejection 687 cannot be done arbitrarily. Instead, an automated algorithm for bad run rejection must be 688 developed in this step and corresponding codes have also to be frozen. The stability of the 689 automated QA algorithm is tested with some of the existing data sets of Au+Au and U+U690 collisions. 691

⁶⁹² The second step shown in Fig.21 (by blue circle) is referred to as the "isobar-blind analy-

sis" or "unmixed-blind analysis". For this the analysts are provided with files each of which 693 contain data from a single species that is either Ru or Zr. From this step on-wards, the 694 analysts are allowed to run their previously frozen codes. The main purpose of this step is 695 to perform run-by-run QA of the data sample. However, there are two conditions: the files 696 contain limited number of events that cannot lead to any statistically significant result and 697 the species information is not revealed. Although a pseudo-run-number is used for each file, 698 the time ordering is preserved with a unique mapping that is unknown to the analysts. It 699 is important to maintain the time ordering to identify time-dependent changes in detectors 700 and run conditions as a part of the run-by-run quality assurance. With this limited data 701 sample, the analysts need to run the frozen automated algorithm to identify bad runs. A 702 similar automated algorithm is also used for identifying and rejecting bad runs. After this 703 step no more changes are allowed in terms of QA. 704

The final step of isobar blind analysis shown by red circle in Fig.21 is referred to as "isobar-unblind" analysis. In this step, the species information will be revealed and the physics results will be produced by the analysts using the previously frozen codes. The finding from this step will be directly submitted for publication without alteration. If a mistake is found in the analysis code, the erroneous results will also accompany the corrected results.

711 1.2.5 Observables for Isobar Blind Analyses

Isobar blind analysis will specifically focus on the following observables. The general strategy 712 is to compare two isobar species to search for a significant difference in whatever observable 713 used. The following sections describe these procedures in brief with comments on the outlook 714 for isobar blind analysis: 1) measurement of higher order harmonics of γ -correlator, 2) ex-715 ploiting the relative charge separation across participant and spectator planes, 3) differential 716 measurements of $\Delta \gamma$ to identify and quantify backgrounds, 4) the use of R-observable to mea-717 sure charge separation. The first three approaches are based on aforementioned three-particle 718 correlator and the last employ slightly different approaches to quantify charge separation. 719 There is also another analysis which will be performed using the signed balance function but 720 will not be part of the blind analysis. 721

Mixed harmonics measurements with second and third order event planes In 722 order to proceed in this section, it is better to rewrite the conventional γ -correlator by a 723 more general notation as $\gamma_{112} = \langle \cos(\phi_a^{\alpha} + \phi_b^{\beta} - 2\Psi_2) \rangle$. The idea is to measure charge sep-724 arations across the third harmonic event plane by constructing a new correlator $\Delta \gamma_{123} = \gamma_{123}(OS) - \gamma_{123}(SS)$, where $\gamma_{123} = \langle \cos(\phi_a^{\alpha} + 2\phi_b^{\beta} - 3\Psi_3) \rangle$ that was introduced by CMS 725 726 collaboration in Ref [87]. Since the Ψ_3 plane is random and not correlated to B-field di-727 rection (see Fig.22), γ_{123} is purely driven by non-CME background, the contribution of 728 which should go as v_3/N . This is very useful to contrast signal and background sce-729 nario by comparing the measurements in two isobaric collision systems. Since Ru+Ru has 730 larger B-field than Zr+Zr but have comparable background, the case for CME would be 731 as follows: $(\Delta \gamma_{112}/v_2)^{\text{Ru}+\text{Ru}}/(\Delta \gamma_{112}/v_2)^{\text{Zr}+\text{Zr}} > 1$ and $(\Delta \gamma_{112}/v_2)^{\text{Ru}+\text{Ru}}/(\Delta \gamma_{112}/v_2)^{\text{Zr}+\text{Zr}} > 1$ 732



Figure 22: (Left) Measurement of charge separation along second and third order event planes in Au+Au and U+U collisions. (Right) Fraction of possible CME signal in the measurement of $\Delta\gamma$ with respect to spectator and participant planes [86].

⁷³³ $(\Delta \gamma_{123}/v_3)^{\text{Ru+Ru}}/(\Delta \gamma_{123}/v_3)^{\text{Zr+Zr}}$. Fig.22 (left) shows the measurement of these observables ⁷³⁴ in U+U and Au+Au collisions. Within the uncertainties of the measurements, no significant ⁷³⁵ difference in the trend of $\Delta \gamma_{112}/v_2$ and $\Delta \gamma_{123}/v_3$ is observed for the two collision systems ⁷³⁶ except for the very central events. Predictions from hydrodynamic model calculations with ⁷³⁷ maximum possible strength of local charge conservation [36] is shown on the same plot. ⁷³⁸ Overall observation indicates the backgrounds dominate the measurements and a similar ⁷³⁹ analysis of the isobar data is highly anticipated.

Charge separation along participant and spectator planes This analysis makes use 740 of the fact that B-field driven signal is more correlated to spectator plane in contrast to 741 flow-driven background which is maximum along the participant plane. The idea was first 742 introduced in Ref [88] and later on followed up in Ref [89]. It requires measurement of $\Delta\gamma$ 743 with respect to the plane of produced particles, a proxy for participant plane as well as 744 with respect to the plane of spectators. In STAR, the two measurements can be done by 745 using Ψ_2 from TPC and Ψ_1 from ZDC, respectively. The approach is based on three main 746 assumptions: 1) measured $\Delta \gamma$ has contribution from signal and background, which can be 747 decomposed as $\Delta \gamma = \Delta \gamma^{\text{bkg}} + \Delta \gamma^{\text{sig}}$, 2) the background contribution to $\Delta \gamma$ should follow 748 the scaling $\Delta \gamma^{\rm bkg}({\rm TPC})/\Delta \gamma^{\rm bkg}({\rm ZDC}) = v_2({\rm TPC})/v_2({\rm ZDC})$ and, 3) the signal contribution to 749 $\Delta\gamma$ should follow the scaling $\Delta\gamma^{\rm sig}(\rm TPC)/\Delta\gamma^{\rm sig}(\rm ZDC) = v_2(\rm ZDC)/v_2(\rm TPC)$. The first two have 750 been known to be working assumptions, widely used for a long time and can be used to test 751 the case of CME [89] if $(\Delta \gamma / v_2)$ (ZDC) / $(\Delta \gamma / v_2)$ (TPC) > 1. The validity of the last one was 752 studied and demonstrated in Ref [88]. Using all three equations one can extract [86] the 753 fraction of possible CME signal $f_{\rm CME} = \Delta \gamma^{\rm sig} / \Delta \gamma$ in a fully data-driven way as shown in 754

Fig.22(right). This analysis will be done with the isobar data and the case for CME will be $f_{CME}^{\text{rs}_4-\text{Ru}} > f_{CME}^{\text{Zr}+\text{Zr}} > 0.$

Differential measurements of $\Delta \gamma$ to identify and quantify background Invariant 757 mass dependence of charge separation: Differential measurements of $\Delta \gamma$ with invariant mass 758 and relative pseudorapidity provide interesting prospects to identify and quantify the sources 759 of flow and non-flow driven backgrounds. The idea to use invariant mass is simple and was 760 first introduced in Ref [90]. Resonances are widely identified by observing structures in the 761 invariant mass spectra of the decay daughters. Consider a pair of opposite sign pions for 762 example, it is known that a large fraction of them come from the neutral resonances that 763 show up in the invariant mass spectrum of $m_{inv}(\pi^+ + \pi^-)$. If we restrict the analysis to 764 pairs of pions, differential measurements of $\Delta \gamma$ with $m_{inv}(\pi^+ + \pi^-)$ should also show similar 765 peak like structures if background from neutral resonances dominate the charge separation. 766 Indeed similar peak structures are observed and a careful analysis is performed by STAR 767 collaboration to extract the possible fraction of CME signals from measurements [91]. This 768 analysis relies on the assumption that CME signals do not show peak like structures in 769 $m_{inv}(\pi^+ + \pi^-)$ and also requires an assumption of m_{inv} dependence of the CME signal, 770 therefore calls for more theoretical inputs in this direction. 771

Relative pseudorapidity dependence: The relative pseudorapidity dependence of azimuthal 772 correlations are widely studied to identify sources of long-range components that are domi-773 nated by early time dynamics as compared to late time correlations that are prevented by 774 causality to appear as short-range correlations. The same can be extended to charge depen-775 dent correlations which provide the impetus to explore the dependence of $\Delta \gamma$ on the pseudo-776 rapidity gap between the charge carrying particles $\Delta \eta_{ab} = |\eta_a - \eta_b|$ in $\langle \cos(\phi_a^{\alpha} + \phi_b^{\beta} - 2\Psi_{RP}) \rangle$. 777 Such measurements have been performed in STAR with Au+Au and U+U data. It turns 778 out that the possible sources of short-range correlations due to photon conversion to $e^+ - e^-$, 779 HBT and Coulomb effects can be identified and described as Gaussian peaks at small $\Delta \eta_{ab}$, 780 the width and magnitude of which strongly depend on centrality and system size. Going to 781 more peripheral centrality bins, it becomes harder and harder to identify such components 782 as they overlap with sources of di-jets fragmentation that dominates both same-sign and 783 opposite sign correlations. An effort to decompose different components of $\Delta \gamma$ via study of 784 $\Delta \eta_{ab}$ can be challenging although a clear sign of different sources of correlations are visible in 785 change of shape of individual same-sign and opposite sign measurements of γ -correlator [92]. 786 In any case, these differential measurements of $\Delta \gamma$ in isobar collisions provide the prospect 787 to extract the $m_{inv}(\pi^+ + \pi^-)$ and $\Delta \eta$ dependence of CME signals that will provide much 788 deeper insights on the origin of the effect. Comparing the differential measurements in 789 Ru+Ru and Zr+Zr it will be possible to extract the invariant mass and the relative pseu-790 dorapidity distribution of the CME signal that will provide deeper insight into the origin of 791 the phenomenon. 792

⁷⁹³ Alternate measure: The novel R-observable The *R*-observable is actually a distri-⁷⁹⁴ bution, introduced in Ref [95], and defined as the ratio of two distribution functions of



Figure 23: (Left) The R-observable shown for different collision systems, concave shape is consistent with CME expectation [93]. (Right) The two main quantities r and R_B derived from signed balance function, deviation from unity is consistent with CME expectation [94].

the quantity ΔS parallel and perpendicular to B-field direction defined as $R_{\Psi_m}(\Delta S) =$ 795 $C_{\Psi_m}(\Delta S)/C_{\Psi_m}^{\perp}(\Delta S)$. Here ΔS measures the difference in the dipole moment of the positive 796 and negative charge in an event (see Ref [95] for details). The shape of $R_{\Psi_2}(\Delta S)$ will be 797 sensitive to CME as well as non-CME background, whereas $R_{\Psi_3}(\Delta S)$ is purely driven by 798 non-CME background and serves as a baseline. Model calculations have established several 799 unique features of this observable: 1) presence of CME signal will lead to a concave shape of 800 the $R_{\Psi_2}(\Delta S)$, 2) increasing strength of CME signal will increase the concavity of $R_{\Psi_2}(\Delta S)$, 801 3) in presence of CME, the concavity of $R_{\Psi_2}(\Delta S)$ will be larger than that of $R_{\Psi_3}(\Delta S)$. The 802 measurement of R_{Ψ_m} is shown in Fig.23. The quantity $\Delta S''$ shown is a slight variant of (ΔS) 803 that incorporates correction for particle number fluctuations and event plane resolution. The 804 observation of Fig.23 indicates more concave shape for R_{Ψ_2} compared to R_{Ψ_3} in Au+Au 805 whereas flat or convex shapes for p/d+Au indicates that the measurements are consistent 806 with expectations of CME [93]. For isobar collisions, the case of CME will be confirmed if: 807 1) a concave shape is observed for the ratio of the observables $R_{\Psi_2}(\Delta S)^{\mathrm{Ru}+\mathrm{Ru}}/R_{\Psi_2}(\Delta S)^{\mathrm{Zr}+\mathrm{Zr}}$ 808 and 2) the concavity should be weaker for $R_{\Psi_3}(\Delta S)^{\mathrm{Ru}+\mathrm{Ru}}/R_{\Psi_3}(\Delta S)^{\mathrm{Zr}+\mathrm{Zr}}$. 809

Alternate measure: The signed Balance function A very recently proposed observ-810 able to search for CME is the signed balance function (SBF) [96]. The idea is to account 811 for the ordering of the momentum of charged pairs measured by the width of SBF that is 812 expected to be different for out-of-plane as compared to in-plane measurement captured in 813 the ratio $r_{\rm lab}$. In addition, one can also account for the boost due to collective expansion 814 of the system that forces all pairs to move in the same direction and measure the ratio in 815 pair rest frame $r_{\rm rest}$. In presence of CME, the individual ratios as well as the double ratio 816 $R_B = r_{\rm rest}/r_{\rm lab}$ is expected to be greater than unity. The preliminary measurements shown 817 in Fig.23 (right) from STAR in Au+Au 200 GeV seem to be consistent with CME expec-818 tation. This observable will be studied with the isobar data in STAR but not as a part of 819



Figure 24: (Left) Projection plot taken from a previous beam user request document [84] indicating the anticipated significance in the measurement of charge separation as a function of the CME signal fraction prepared using 2.5 B simulated events. (Right) Estimation of the number of events required to see positive correlation between net Λ helicity with out-of-plane charge separation sensitive to local parity violation at 95% confidence level, plotted against the efficiency of $\Lambda(\bar{\Lambda})$ reconstruction (see [97] for details).

the blind analysis and the CME expectation will be: 1) $r(\mathrm{Ru} + \mathrm{Ru}) > r(\mathrm{Zr} + \mathrm{Zr})$, and 2) $R_B(\mathrm{Ru} + \mathrm{Ru}) > R_B(\mathrm{Zr} + \mathrm{Zr})$.

⁸²² 1.2.6 Prospect of CME search beyond isobar-era

It is important to discuss the strategy for CME search beyond the isobar-era. It is true that such strategy needs to be planned based on the outcome of the isobar program. We would like to get started by considering two possible scenarios at the top RHIC energy: 1) isobar program results in a significance of 3σ and below, 2) isobar program results in a significance of 3σ and above.

In the first scenario one can infer from the projection plot of fig.24(left) that the upper 828 limit of the fraction of CME signal should be less than or equal to 8%. Question is under such 829 a scenario can STAR perform a follow up measurement to achieve a decisive 5σ significance 830 and establish a conclusive evidence of CME? It turns out such a measurement is possible 831 even with a single Au+Au 200 GeV data set during the year 2023 running of STAR concur-832 rently with sPHENIX. Current analysis of aforementioned CME signal in Au+Au 200 GeV 833 extraction using elliptic flow and charge separation with respect to spectator and participant 834 planes yields 4% statistical uncertainty with 2.4 B events $(2 - 3\sigma \text{ significance})$. In order to 835 get 5 σ significance with the same analysis one needs to have statistical uncertainty of order 836 1.6% which would require about $(4/1.6)^2 \times 2.4 = 15$ Billion events. Therefore, as per the 837 previous estimates of anticipated 10 Billion events that can be collected by STAR during 838 the 2023 year, one can achieve about 4σ significance on the upper limit of possible CME 839 signal fraction in the measurement of charge separation. This estimate does not account 840 for two important facts that can lead to higher significance and a decisive measurement of 841
CME. The first one is that the magnitude of projected B-field on reaction plane is higher in Au+Au collisions as compared to isobar collisions. The second one is that iTPC upgrade enhances the charge particle multiplicity by 50% and therefore triplets ($\sim dN/d\eta^3$) (pairs $\sim dN/d\eta^2$) statistics by a factor of 3.4 (2.3). So the final conclusion is that even if isobar program results in a 3 σ measurement running STAR in the year 2023 will result in a > 4 σ measurement. This conclusion assumes that the systematic uncertainty can be controlled to be smaller than the statistical uncertainty, i.e. below 2%.

For the second scenario (> 3σ measurement from isobar program) we will also be able 849 to establish an upper limit of the fraction of CME signal. For example, in fig.24(left) we see 850 that 5σ significance will establish 13% CME signal and a discovery of the CME phenomenon 851 in heavy ion collisions. The impact of such a discovery will set a milestone in physics. 852 Running STAR in the year 2023 concurrently with sPHENIX would be essential to perform 853 dedicated precision measurements to further investigate and characterize the phenomena. 854 In this context STAR collaboration has stated a new analysis to understand the origin of 855 parity violation in hot QCD by measuring the correlation of net- Λ helicity with charge 856 separation across reaction plane [97]. The difference between the number of positive and 857 negative helicity $\Lambda(\bar{\Lambda}) N_L^{\Lambda} - N_R^{\Lambda}$ should be associated with net-chirality, i.e. the difference 858 between right and left handed quarks, in a given event. Since net chirality in the event also 859 drives out-of-plane charge separation (a_1) in the presence of B-field, one expects a correlation 860 between a_1 and $N_L^{\Lambda} - N_R^{\Lambda}$ as a results of local parity violation. Currently available data sets 861 do not allow us to perform a significant measurement for this observable. Using a toy model 862 simulation, shown in fig.24(right), we estimate the number of event required to see non-863 zero correlations between a_1 and $N_L^{\Lambda} - N_R^{\Lambda}$ at the 95% confidence level as a function of 864 the efficiency of $\Lambda(\bar{\Lambda})$ reconstruction. Different curves correspond to different magnitudes 865 of CME fraction in the measurement of γ -correlator. With about 10 B Au+Au 200 GeV 866 events in run 2023 it will be possible to perform a significant measurement to study this 867 phenomenon. 868

Regardless of the outcome of the measurements with the isobar program, that will be 869 performed at the top RHIC energy, one question will remain. What happens at lower collision 870 energy? In this context a new idea has emerged. The newly installed event-plane detector 871 (EPD) upgrade provides a new capability at STAR towards CME search at lower collision 872 energy and for the Beam Energy Scan phase-II program [18]. The idea is simple, at lower 873 energies EPD acceptance $(2.1 < |\eta| < 5.1)$ falls in the region of beam rapidity (Y_{beam}) and 874 can measure the plane of strong directed flow (Ψ_1) of spectator protons, beam fragments 875 and stopped protons, therefore strongly correlated to the B-field direction (See fig25). The 876 next step is to measure $\Delta \gamma$ with respect to Ψ_1 and compare it with the measurement of 877 $\Delta \gamma$ along Ψ_2 planes from outer regions of EPD and TPC at mid-rapidity that are weakly 878 correlated to the B-field directions. A test of CME scenario will be to see if large difference 879 is observed in the measurements. First preliminary measurements from STAR as shown in 880 Fig 25 is dominated by uncertainty but seems to show a lot of prospects for the CME search 881 at lower energies. With higher statistics data from the BES-II program (7.7-19.6 GeV) and 882 STAR fixed target run more precise measurement is possible. 883



Figure 25: Prospect of precision CME search with the BES-II data. (Left) Single simulated UrQMD event and EPD detector acceptance that covers beam rapidity and detects both forward participants and spectators in 27 GeV Au+Au collisions that have large directed flow which changes sign at $\eta = Y_{\text{bean}} = 3.4$. (Right) γ -correlators scaled by v_2 across different event-planes and double ratio of spectators/participant event planes which should be unity for no-CME scenario.

⁸⁸⁴ 1.3 Highlights from the Spin and Cold QCD Program

885 1.3.1 Introduction

The goal of the STAR Cold QCD program is to probe the spin and flavor structure of 886 the proton and understand the role of spin in Quantum Chromodynamics, exploiting the 887 unique capability of RHIC to provide longitudinally and transversely polarized p+p and 888 p+A collisions at multiple energies. Measurements with longitudinal beam polarizations 889 have given new insights into the helicity structure of the proton, while measurements with 890 transverse polarizations have provided new ways to probe polarized parton distribution func-891 tions (PDFs) in the collinear and transverse momentum dependent frameworks. Addition-892 ally, cross-section measurements in unpolarized p+p collisions provide valuable information 893 to constrain collinear and transverse momentum dependent unpolarized PDFs. This pro-894 gram is complemented by studies of polarized p+p elastic scattering and central exclusive 895 production, in which a far-forward proton is detected intact. 896

Since 2009, RHIC STAR has completed several highly successful polarized p+p runs both at $\sqrt{s} = 200$ GeV and $\sqrt{s} = 500/510$ GeV. Moreover, p+Au and p+Al datasets with a transversely polarized proton beam have been recorded in 2015 at $\sqrt{s} = 200$ to address important physics problems, including the ridge phenomenon and the possible onset of gluon saturation effects. Table 5 summarizes the STAR sampled luminosity and the luminosity averaged beam polarization as measured by the hydrogen jet (H-jet) polarimeter. **Table 5:** Summary of polarized p+p and p+A running periods at RHIC since 2009, including center-of-mass energy, STAR's integrated luminosity and the average beam polarization for blue (B) and yellow (Y) beams from the H-jet polarimeter.

Year	System	$\sqrt{s} \; (\text{GeV})$	Recorded Lumi. (pb^{-1})	Polarization	$\mathrm{B/Y}\ \langle P angle\ (\%)$
2009	pp	200	25	Longitudinal	55/55
2009	pp	500	10	Longitudinal	39/39
2011	pp	500	12	Longitudinal	48/48
2011	pp	500	25	Transverse	48/48
2012	pp	200	22	Transverse	61/56
2012	pp	510	82	Longitudinal	50/53
2013	pp	510	300	Longitudinal	51/52
2015	pp	200	52	Transverse	53/57
2015	pp	200	52	Longitudinal	53/57
2015	pAu	200	0.45	Transverse	60/-
2015	pAl	200	1	Transverse	54/-
2017	pp	510	320	Transverse	55/55

904 1.3.2 Longitudinal program

Since last year's PAC meeting, the STAR spin and cold QCD physics working group has 905 released preliminary results focused on double-spin asymmetries A_{LL} of inclusive jet [98] and 906 dijet [99] production in longitudinally polarized p+p collisions at a center-of-mass energy 907 $\sqrt{s} = 200 \,\text{GeV}$ based on the 2015 data set. These analyses are aimed at providing additional 908 constraints to the gluon helicity distribution $\Delta G(x, Q^2)$, especially for the medium gluon 909 momentum fractions in the range from $x \simeq 0.05$ to $x \simeq 0.5$. Figures 26 and 27 show the 910 preliminary results of inclusive jet A_{LL} together with the 2009 data results of Ref. [100] and 911 dijet A_{LL} together with the 2009 results from [101], respectively. Expected A_{LL} values for 912 the DSSV14 [102] and NNPDF-pol1.1 [103] parton distributions are also presented. The 913 results are in good agreement with previous measurements at $\sqrt{s} = 200 \,\text{GeV}$ and with the 914 theoretical evaluations of prior world data. They have better precision and thus provide 915 further evidence that $\Delta G(x, Q^2)$ is positive for x > 0.05. 916

The results for the inclusive jet and dijet A_{LL} based on the 2012 $\sqrt{s} = 510$ GeV longitudinally polarized p+p data, which enabled exploration of $\Delta G(x, Q^2)$ down to $x \simeq 0.015$, were discussed in the previous PAC report and have since been published in Physical Review D [104].

921



Figure 26: A_{LL} for inclusive jets with $|\eta| < 1.0$ versus x_T . The filled points show 2015 preliminary results [98], whereas the open points show the 2009 data of Ref. [100]. The bars show the size of the statistical uncertainties, whereas the boxes indicate the size of systematic uncertainties. The curves show the expected A_{LL} values for the DSSV14 [102] and NNPDFpol1.1 [103] parton distributions.



Figure 27: A_{LL} as a function of the parton-level invariant mass for dijets with the opposite-sign (top) and same-sign (bottom) event topologies. The filled points show 2015 preliminary results [99], whereas the open points show the 2009 data of Ref. [101]. The bars show the size of the statistical uncertainties, whereas the boxes indicate the size of systematic uncertainties. The curves show the expected A_{LL} values for the DSSV14 [102] and NNPDF-pol1.1 [103] parton distributions.



Figure 28: Preliminary results for the Collins asymmetry plotted for identified π^+ (blue) and π^- (red) particles as a function of jet p_T for jets that scatter forward to polarized beam ($x_F > 0$) [105]. The full range of both z and j_T are integrated over.

⁹²² 1.3.3 Transverse program

There have been several new STAR preliminary results on transverse spin physics released since the last PAC meeting. Highlights include the Collins asymmetry for charged pions inside a jet [105] and the dijet Sivers asymmetry [106] in $\sqrt{s} = 200$ GeV p+p collisions. Moreover, the final publications of the transverse single spin asymmetries (TSSA) for neutral pions produced at forward rapidity in $\sqrt{s} = 200$ GeV for p+p, p+Au and p+Al [107], and 500 GeV p+p [108] collisions are in God Parent Committees.

The Collins asymmetry in p+p collisions combines the collinear quark transversity in the 929 proton with the transverse momentum dependent Collins fragmentation function [109–111]. 930 and thus provides a cleaner probe of the Collins fragmentation function than that in semi-931 inclusive deep inelastic scattering (SIDIS) and enables tests of evolution, universality and 932 factorization breaking in the TMD formalism. Figure 28 shows the preliminary Collins 933 asymmetries for charged pions inside jets that scatter forward $(x_F > 0)$ to the polarized 934 beam from 2015. The measured asymmetries are consistent with previous measurements 935 from 2012 [112], but have 30% smaller statistical uncertainty. 936

The Sivers effect describes the correlation of the parton transverse momentum with the 937 transverse spin of the nucleon. Figure 29 shows the first observation of non-zero Sivers 938 asymmetries in dijet production of transversely polarized proton collisions using the STAR 939 2012+2015 polarized p+p data. Compared to the previous 2006 result [113], fully recon-940 structed jets are analyzed with 33 times more statistics. Charge-tagging methods are em-941 ployed in order to separate the u and d quark signals. With detailed simulation, the individual 942 parton spin-dependent $\langle k_T \rangle$ are extracted for u, d and gluon + sea quarks, and indicates that 943 $\langle k_T^u \rangle \approx 32 \text{ MeV}/c, \langle k_T^d \rangle \approx -67 \text{ MeV}/c \text{ and } \langle k_T^{g+sea} \rangle \approx 0 \text{ MeV}/c.$ 944

The transverse single spin asymmetry (TSSA) for forward neutral pions produced in 945 polarized proton collisions with protons (p+p), with aluminum nuclei (p+Al) and with gold 946 nuclei (p+Au) at $\sqrt{s} = 200$ GeV from FMS data are also measured using the data taken in 947 2015. The preliminary results for (p+p) and (p+Au) have been released [107], and the final 948 publication is soon to be submitted to Physical Review D. Measured asymmetries presented 949 in Fig. 30 are found to rise with transverse momentum at $x_F < 0.5$, while they flatten or 950 fall at larger x_F . The results are consistent with a weak nuclear A dependence. Moreover, 951 a further observation is that the TSSA is significantly larger for isolated π^0 s than for non-952



Figure 29: Preliminary results for the spindependent k_T values for u, d and gluon + seafrom the dijet Sivers measurement as a function of the sum of dijet pseudorapidities $\eta_1 + \eta_2 \sim \ln(\frac{x_1}{x_2})$ [106].

Figure 30: Transverse single spin asymmetry for forward π^0 production as a function of transverse momentum for six Feynman x_T regions. Results for three collisions systems are shown, black squares for p+p, blue circles for p+Al and red triangles for p+Au collisions. The statistical uncertainties are shown with vertical error bars and the filled boxes indicate the horizontal and vertical systematic uncertainties. Analysis status on 08/21/2020. Preliminary results available in Ref. [107].

isolated π^0 s, which are accompanied by additional jet-like fragments.

The transverse single-spin asymmetry of neutral pions at $\sqrt{s} = 200$ GeV and 500 GeV 954 from FMS data are compared in Fig. 31. The 200 GeV data are from 2015, while the 500 955 GeV data are from 2011. The theoretical calculations presented in the plot are based on 956 the Transverse Momentum Dependent (TMD) and collinear twist-3 functions from a recent 957 global analysis [114], which also includes previous forward π^0 and charged hadron TSSA data 958 from RHIC in the fit. The theoretical calculation differs from our measurement and only 959 provides a reasonable description of the non-isolated π^0 in the low- x_F region. A continu-960 ous increase of the TSSA with Feynman-x indicates the independence on the center-of-mass 961 energy. Pions with no nearby particles, which may not arise from conventional jet fragmen-962 tation, tend to have a higher TSSA than non-isolated pions, which suggests that a different 963 mechanism other than the Sivers or Collins effects is required to explain these results. 964 965



Figure 31: Preliminary results for the transverse single-spin asymmetry as function of Feynman-xfor the isolated and non-isolated π^0 in transversely polarized proton-proton collisions at 200 and 500 GeV [108]. Theory curves based on a recent global fit [114] are also shown. The average transverse momentum of the π^0 for each x_F bin is shown in the lower panel.

966 1.3.4 Unpolarized Results

The azimuthal correlation of forward di-pions produced in p+p and p+Au collisions provides 967 an essential tool to access the underlying gluon dynamics in the nonlinear evolution region. 968 π^0 measured in the FMS in the pseudorapidity region $2.5 < \eta < 4.0$ probe low momentum 969 fraction partons down to $x \approx 0.001$ at $\sqrt{s} = 200$ GeV, which are dominated by gluons. 2015 970 p+Au collisions have a unique opportunity to study this phenomenon with much higher 971 luminosities and smaller background than 2008 d+Au [115]. Figure 32 shows the status 972 of di-pion correlation measurement from Run15 p+p and p+Au collisions. The away-side 973 peak is suppressed in high activity p+Au collisions compared with p+p. This effect is more 974 significant when the more central part of the nucleus is probed (with higher multiplicity as 975 indicated by BBCE). Further analysis to characterize the p_T dependence and compare with 976 theoretical expectations is ongoing. 977



Figure 32: Coincidence probability as a function of azimuthal angle difference between two forward neutral pions in p+p, compared to low- and high-activity p+Au collisions. Analysis status on 08/21/2020.

The STAR measurement of the unpolarized cross-section ratio of the W^+ and W^- bosons



Figure 33: W^+ and W^- cross-section ratio as a function of lepton pseudorapidity for the combined 2011, 2012, and 2013 datasets. The central values correspond to the mean value of η_e distribution for that bin. The error bars represent the statistical uncertainty, whereas the rectangular boxes represent the systematic uncertainty for the respective data point. These measurements are compared to various theory frameworks, which use several different PDF inputs. Analysis status on 08/21/2020. Preliminary results available in Ref. [116].

from the STAR 2011 to 2013 data at $\sqrt{s} = 500/510$ GeV has released preliminary results [116] and is soon to be submitted to Physical Review D. Figure 33 shows the ratio plotted as a function of lepton pseudorapidity. This unique measurement is sensitive to the unpolarized \bar{d}/\bar{u} quark distribution and will provide insights into unpolarized light quark distributions $\bar{d}(x)$ and $\bar{u}(x)$ at x > 0.05. The measurement at STAR is complementary to the Drell-Yan results from NuSea [117] and SeaQuest [118], covering the overlapping x region of about 0.1 - 0.3 at higher $Q^2 = M_W^2$.

Differential cross sections of Z^0 -boson production as function of transverse momentum 986 are valuable input to global fits of TMD parton distribution functions, and STAR kinematics 987 (0.1 < x < 0.3) are complementary to LHC and Tevatron data. Figure 34 shows preliminary 988 results from 2011-2013 data with an integrated luminosity of 350 pb^{-1} [119]. Data on disk 989 from 2017 comprise about the same luminosity, and preliminary results are expected soon 990 along with transverse single-spin asymmetries. While the measurement of Z^0 -bosons is an 991 experimentally very clean observable, it requires a good understanding of the calorimeter 992 performance. These will inform the on-going background studies of the measurements of 993 Sivers asymmetries for W-bosons, which are also expected very soon. 994



Figure 34: Preliminary results for the differential cross-section of Z^0 -bosons as function of transverse momentum p_T [119] and comparison with theory predictions based on calculations developed in [120]. Results are based on data from 2011-2013.

⁹⁹⁵ 1.4 Run-20 Performance

⁹⁹⁶ In this section, we will review the BES-II collider and fixed-target performance to date. ⁹⁹⁷ Careful study of these performance metrics will be used to make projections about the ⁹⁹⁸ required time to complete the 7.7 GeV collider system in run 21.

The BES-II collider program performance is over-viewed in table 6. The 27 GeV system, 999 which was run in 2018, was not officially part of the BES-II physics program, however it is 1000 close enough in energy to help provide some performance evaluation. The most important 1001 lines in the table from the point of view of performance evaluation are the *good event rate*, 1002 which is a measure of the useful luminosity, and the *data hours per day*. In general, we had 1003 seen improvements over the luminosities recorded in 2010/2011 of a factor of three to four. 1004 For the 27 GeV system, which was run in 2018 we saw the good event rate rise to 620 Hz. 1005 which implies a luminosity increase of factor of 3.3 over the 2011 performance. 1006

The 19.6 GeV system was completed in 2019. For this system, the good event rate rose 1007 from 100 Hz in 2011 to 400 Hz in 2019 for a factor of 4.0 increase. We should note that 1008 it took 5.1 calendar weeks to complete the energy, however during the running period for 1009 the 19.6 GeV system, the facility was dedicating two twelve hour shifts per week to LEReC 1010 development. Correcting the 5.1 calendar weeks by 6/7 means that 4.4 beam weeks were 1011 used to complete this energy which should be compared to the 4.5 weeks which was requested 1012 in the STAR BUR for 2019. Historically, it has been shown that the luminosities scale with 1013 γ^2 above injection energy (9.8 GeV) and with γ^3 below injection energy. Scaling the 27 GeV 1014 performance would have predicted a good event rate of 330 Hz at 19.6 GeV. One should also 1015 note that the number of events recorded exceeded the required number significantly. Overall, 1016 the performance for the 19.6 GeV system significantly exceeded expectations. 1017

The 14.6 GeV system was completed in 2019. This energy had been run previously in 1018 2014, however the STAR good event rate was unusually low at that time so comparing the 23 1019 Hz rate from 2014 to the 170 Hz rate in 2019 is not a good metric for performance. It required 1020 8.6 calendar weeks to complete the required number of events, however during the running 1021 of the 14.6 GeV system, 40% of the beam time was used for LEReC development. Scaling 1022 the 8.6 calendar weeks by 60% yields effectively 5.1 weeks of beam time which favorably 1023 compares with the 5.5 weeks estimated in the BUR for 2019. Using the performance at 19.6 1024 GeV (good event rate of 400 Hz), and the γ^3 scaling, we would have expected a good event 1025 rate at 14.6 GeV of 165 Hz. This compares well the the 170 Hz rate which was achieved for 1026 this energy. Performance at this energy slightly exceeded expectations. 1027

The 11.5 GeV system was completed in 2020. The good event rate rose from 30 Hz in 2010 to 80 Hz in 2020 for a factor of 2.67 increase. It took 8.9 calendar weeks achieve the required event statistics. In the BUR for 2020 a range from 7.5 (optimistic) to 10 (pessimistic) was proposed. The actual time required fell in the middle of the expected range. Scaling the good event rate from 14.6 GeV by γ^3 predicted that the good event rate for 11.5 GeV would be 83 Hz, which compares favorably with the 80 Hz actually achieved for the run. Overall, performance at this energy met expectations.

The 9.2 GeV system will be completed in 2020 and is far enough along that we can project to completion. This energy was not run during BES-I, so there is not a historical ¹⁰³⁷ comparison. Scaling the good event rate from 11.5 GeV by γ^3 predicts that we should have ¹⁰³⁸ seen a good event rate of 38 Hz. Prior to the shutdown of the laboratory in March, we had ¹⁰³⁹ achieved an average good event rate of 38 Hz and we had been averaging 16 hours of data ¹⁰⁴⁰ taking per day, however the challenges of running in the summer have reduced the average ¹⁰⁴¹ number of hours of data taking to 13 and the average good event rate to 33. It is projected ¹⁰⁴² that this system will take 14.0 weeks to achieve the required event statistics, which is at the ¹⁰⁴³ high end of the range that was included in the BUR for 2020.

Quality assurance studies of the BES-II and FXT data indicate that roughly 98% of the 1044 data recorded will ultimately be used in physics analyses. The quality assurance takes place 1045 on multiple levels. At the time of data acquisition, online performance plots are reviewed 1046 as each run starts by the shift crew member. There are two levels of online plots; the first 1047 use the raw detector specific data to overview the performance of all systems; the second 1048 level does event-by-events tracking and vertex reconstruction using the High Level Trigger 1049 (HLT) computer farm to generate event level performance plots and to tag the good Au+Au 1050 collision events. The next level of quality assurance uses a FastOffline production of a small 1051 percentage of all recorded events. The plots generated by this review take place on a daily 1052 basis a provide the opportunity for corrections to any issues that might arise. A third level 1053 of quality assurance takes place in a weekly QA meeting which reviews the overlap between 1054 the events flagged as good from the HLT system, a significant fraction of which are recorded 1055 and available to preliminary offline physics analysis, and the events identified as good using 1056 the FastOffline processed data, which utilizes a more sophisticated tracking algorithm. The 1057 overlap of good events has been at the 98% level for all BES-II collider and FXT systems. 1058 The QA meeting also reviews preliminary physics working group quality assurance analysis 1059 of the FastOffline data sets. The final level of quality assurance comes from preliminary 1060 physics analyses using the FastOffline and the ExpressStream data sets. This multi-level to 1061 quality assurance guarantees that the data will meet the needs of the physics analyses for 1062 the BES-II science program. 1063

The relevant data sets recorded in 2018 have been fully calibrated and produced. These 1064 data sets are the 27 GeV collider system and the 3.0 and 7.2 GeV FXT datas sets. Preliminary 1065 results for all of the key physics analyses have been performed and highlights of these new 1066 results are reviewed in the previous section of this document. The 2019 data sets have 1067 required extensive calibrations of the new detector systems, the iTPC and the eTOF. The 1068 large volume of cosmic ray data that were recorded have been used to do the fine spatial 1069 alignment of the new iTPC modules. New methods needed to be developed to calibrate 1070 the precise start timing for each event, which is needed to get the correct reconstruction of 1071 the z-location of the hits in the TPC to account for the long bunches used to maximize the 1072 luminosity for BES-II and the FXT programs. The complete set of calibrations for the first 1073 collider energy from 2019, the 19.6 GeV system, have been completed and a test production 1074 using these calibrations has been generated and are undergoing quality control. 1075

As several of the physics opportunities discussed in the following sub-sections utilize fixedtarget systems, it is best to review the performance in this mode of operation. An overview of the performance for all fixed-target energies is shown in table 7. The first fixed-target physics

Collision Energy (GeV)	7.7	9.2	11.5	14.6	17.1	19.6	27
Performance in BES-I	2010	NA	2010	2014	NA	2011	2011
Good Events (M)	4.3	NA	11.7	12.6	NA	36	70
Days running	19	NA	10	21	NA	9	8
Data Hours per day	11	NA	12	10	NA	9	10
Fill Length (min)	10	NA	20	60	NA	30	60
Good Event Rate (Hz)	7	NA	30	23	NA	100	190
Max DAQ Rate (Hz)	80	NA	140	1000	NA	500	1200
Performance in BES-II							
(achieved)	2021	2020	2020	2019	2021	2019	2018
Required Number of Events	100	160	230	300	250	400	NA
Achieved Number of Events	2.9	160	235	324	TBD	582	560
fill length (min)	20-45	45	25	45	50	60	120
Good Event Rate (Hz)	16-24	33	80	170	265	400	620
Max DAQ rate (Hz)	400	700	550	800	1300	1800	2200
Data Hours per day	12 - 15	13	13	9	15	10	9
Projected number of weeks	11-20	8.5-14	7.6 - 10	5.5	2.5	4.5	NA
weeks to reach goals	TBD	14.0	8.9	8.6	TBD	5.1	4.0

Table 6: Achieved and projected experiment performance criteria for the BES-II collider program.

run was in 2018 using a 3.85 GeV beam. A total of three and half days was spent on this 1079 system; first developing the conduct of operation and then recording a robust data sample. 1080 The lowest energy beam was selected for this first run in 2018 because at that time the 1081 iTPC and eTOF upgrades were not yet available; the lowest beam energy means the lowest 1082 center-of-mass boost, which meant that we could still complete the physics program even 1083 without the detector upgrades. Additionally in 2018, fixed-target data were recorded with 1084 a single beam energy of 26.5 GeV. Obviously, at such a high energy the detector upgrades 1085 would be essential for the mid-rapidity physics program. However, the 26.5 GeV beam 1086 was not requested by STAR; this beam was being using by the Coherent Electron Cooling 1087 program, and STAR was simply taking these data parasitically. This parasitic data taking 1088 gave us further opportunities to refine the fixed-target conduct of operations, which gave us 1089 confidence going forward that we could average 100 M good events per day in fixed-target 1090 mode. This is limited by the STAR data acquisition system and not by RHIC. 1091

In 2019, eTOF detector upgrade system suffered damage at the start of the 14.6 GeV collider system. This meant that it would be unavailable for any fixed-target energies taken that year. It was felt that the physics program could still be achieved using the 4.59 GeV beam, but that for all higher energies the loss of the eTOF system would compromise the physics, so only modest samples at 5.75 and 31.2 GeV were taken.

¹⁰⁹⁷ The eTOF detector was repaired for 2020, and relatively early in the run it was decided ¹⁰⁹⁸ to spend one week cycling through the seven remaining fixed-target energies. Roughly one day was spent at each energy. The conclusion from this series of fixed target energies is that the collider and the experiment can quickly and efficiently set up and run fixed-target systems. STAR can efficiently trigger on good fixed-target events with roughly 80-90% of triggers passing the HLTgood test. The operators monitor the STAR event rate to keep the current on target at a level to keep the STAR DAQ system running at full capacity and minimizing the pile-up of multiple collisions in the target. Stores last for many hours (8-24 hours) and refill and realignment are fast and efficient.

Preliminary physics results from the 3.0 and 7.2 GeV data sets recorded in 2018 are available and highlights have been shown the the previous sections. Internal preliminary physics analyses of the ExpressStream and FastOffline data sets have been performed and these confirm the quality of the data taken.

Table 7: Event statistics (in millions) needed in the fixed-target part of the BES-II program for various observables, and the total number of events acquired (those events taken in 2018 did not include the iTPC or eTOF detectors; those taken in 2019 did not include the eTOF).

$\overline{ \left(\mathcal{O}_{\mathbf{z}} \mathbf{V} \right) }$	2.0	2.0	25	2.0	4 5	F 0	6.0	7.0	77
$\sqrt{s_{NN}}$ (GeV)	3.0	3.2	3.0	3.9	4.0	0.2	0.2	(.2	1.1
Beam Energy	3.85	4.59	5.75	7.3	9.8	13.5	19.5	26.5	31.2
$\mu_{\rm B} ({\rm MeV})$	721	699	666	633	589	541	487	443	420
Rapidity y_{CM}	1.06	1.13	1.25	1.37	1.52	1.68	1.87	2.02	2.10
Observables									
Elliptic Flow	300	150	80	40	20	40	60	70	80
CME	70	60	50	50	50	70	80	90	100
Directed Flow	20	30	35	45	50	60	70	80	90
Femtoscopy	60	50	40	50	65	70	80	90	100
Kurtosis	36	50	75	125	200	400	950	NA	NA
Strange hadrons	300	100	60	40	25	30	50	75	100
Hypertritons	200	100	80	50	50	60	70	85	100
Event Totals									
Good events (2018)	258							158	
Good events (2019)	3.7	200	53						50
Good events (2020)			116	117	108	103	118	TBD	112

¹¹¹⁰ 2 Proposed Program - Hot QCD in Run-21, 23, and 25

1111 2.1 Beam Request for Run-21

1112 2.1.1 Completion of the BES-II Program

The highest priority for Run-21 is the completion of the proposed BES-II program. At 1113 this time, the only system that remains to be taken is the 7.7 GeV collider data set. This 1114 energy is extremely important for several reasons. First, theoretical calculations suggest 1115 that the highest baryon density is achieved in collisions at this energy; second, several of the 1116 BES-I experimental signatures which have been put forth to be sensitive to the presence of 1117 deconfined matter either lose significance or are no longer present at this energy; third, the 1118 BES-I data showed enhanced fluctuations at this energy; finally, this energy provides the 1119 best acceptance overlap with the fixed-target program. Although the 7.7 GeV collider data 1120 set is extremely important from the point of view of the science, it is also technically the 1121 most challenging data set. The technical challenge of achieving a viable collision rate at this 1122 energy was the motivation to develop the Low Energy RHIC electron Cooling (LEReC) and 1123 is the reason that this energy has been left to the final year of the program. 1124

The specific physics goals (are required statistics) include: measurement of the elliptic 1125 flow of the phi meson for which the the constituent quark scaling was suggested to break 1126 down in the lowest energy BES-I data (80 M events required); measurement of the correlators 1127 associated with the charge separation induced by the chiral magnetic effect which were seen 1128 to collapse at the lowest BES-I energies (50 M events required); differential measurements of 1129 the directed flow of protons which was seen to show evidence of a softening of the equation of 1130 state in the lowest BES-I data (20 M events required); Azimuthal femtoscopy measurements 1131 of protons to study the tilt angle of the source (35 M events required); measurement of the 1132 net-proton kurtosis which showed significant enhanced fluctuations at 7.7 GeV in the BES-I 1133 data (70 M events required); measurements of the di-lepton invariant mass distributions to 1134 determine in the excess in the low mass region is proportional to the total baryon density 1135 (100 M events required); and the global lambda polarization to determine the magnetic field 1136 significance (50 M events required). These analyses are being pursued at all of the BES-II 1137 collider energies; for several of the physics measurements, the 7.7 GeV energy is expected to 1138 be either the most significant or the most challenging. 1139

The 7.7 GeV collider system provides the essential bridge between the collider and fixedtarget energy scans. Although in later sections we detail a request to acquire fixed-target data at higher overlap energies, there is the largest region of common coverage at this energy. This will provide critical cross checks between the different modes.

Although the 7.7 GeV collider system is the most technically challenging system of the suite of BES-II and FXT energies, one can use the performances which have already been achieved during the BES-II program to help develop projections for the 7.7 GeV collider energy. These BES-II performance trends have been detailed in 6. In 2010, STAR achieved a good event rate of 7 Hz; a factor of three improvement would result in a 21 Hz rate. Scaling the performance at 9.2 GeV by γ^3 would predict a good event rate of 19.3 Hz. We project the

good event rate to fall between 16 and 24 Hz. We project the range of hours of data taking 1150 per day to fall between 12 and 15. These numbers suggest a range in the expected number 1151 of weeks to reach the goals from 11 to 20 weeks. We should note that CAD has provided 1152 projections which suggest that it will take 28 weeks to reach the goals. Our projections are 1153 more optimistic. Although we recognize that it is likely that running the 7.7 system will 1154 require all the available beam time in 2021, the optimistic range of our predictions suggests 1155 that we should prepare for success and we have therefore considered and prioritized other 1156 programs which could be run in 2021 if time were to be available. 1157

1158 2.1.2 Au+Au Collisions in FXT Mode at $\sqrt{s_{\text{NN}}} = 3.0$ GeV - I: 300 million goal

QCD matter at high baryon chemical potential region contains a wealth of unexplored physics 1159 and is one the central focus of current and future heavy-ion collision programs in few GeV 1160 energy range around the world. RHIC has been able to deliver beams with the energy as 1161 low as 3.85 GeV per nucleon. Utilizing the gold fixed target (FXT) installed in the STAR 1162 experiment, we were able to record collision events at the center-of-mass-energy as low as 1163 $\sqrt{s_{\rm NN}} = 3.0$ GeV, which corresponds to baryon chemical potential of $\mu_B \sim 720$ MeV in 1164 central collisions. STAR detector configuration (including the iTPC and eTOF) has the 1165 full midrapidity coverage (|y| < 0.5) at this energy and enables us to carry a systematic 1166 investigation of the dynamics of the QCD matter created in these collisions at $\sqrt{s_{\rm NN}}$ from 1167 3.0 up to 200 GeV.1168

At such a high μ_B region and moderate temperatures, baryon dynamics become important 1169 or even dominant in understanding the QCD matter properties. Strange quarks, due to their 1170 heavier masses, play an important role in study the high net-baryon density QCD matter. 1171 The combination of increased sensitivity of strange quarks with the existing high baryon 1172 density in low energy heavy-ion collisions offers a unique condition to create various light 1173 hypernuclei, which enables us to study e.g. the hyperon-nucleon (Y-N) interactions, which 1174 have potential implications for the inner structure of compact stars in nuclear astrophysics. 1175 STAR has collected ~ 250 million FXT Au+Au events at $\sqrt{s_{\rm NN}} = 3.0$ GeV in 2018 1176 before iTPC and eTOF were installed. We propose to collect a minimum of 300 million 1177 events with the extended phase-space coverage enabled by iTPC and eTOF for the following 1178 measurements: 1179

- high moments of proton multiplicity distributions covering the same midrapidity acceptance $|y| < 0.5, 0.4 < p_T < 2.0 \text{ GeV}/c$, comparable to that with the BES-I and BES-II measurements in collider mode.
- precision ϕ meson production at midrapidity to test the validity of Canonical Ensemble (CE) for strangeness production at high baryon density region.
- systematic measurements of lifetime, binding energy, production yield, collective flow of light hypernuclei $({}^{3}_{\Lambda}H, {}^{4}_{\Lambda}H, {}^{5}_{\Lambda}He$ etc.).
- measurement of low- and intermediate-mass dileptons to extract fireball lifetime, its average temperature and to access the microscopic properties of matter. This would

be the first measurement of electromagnetic radiation at this energy which will guide the future high μ_B facilities at FAIR, NICA.

¹¹⁹¹ With additional beam time allowed, we would like to further collect up to 2 billion Au+Au ¹¹⁹² FXT events at $\sqrt{s_{\text{NN}}} = 3.0$ GeV which will be elaborated in the next section.

One feature we would like to point out is that the single beam energy for FXT collisions at $\sqrt{s_{\rm NN}} = 3.0$ GeV is 3.85 GeV per nucleon, the same beam energy to be used for colliding to collect the major 7.7 GeV collision dataset in year 2021. This leads to a negligible transition time for operation between $\sqrt{s_{\rm NN}} = 7.7$ GeV collider mode and $\sqrt{s_{\rm NN}} = 3.0$ GeV FXT mode.



Figure 35: (Left) The net-proton $\kappa\sigma^2$ in most central (0-5%) and peripheral (70-80%) Au+Au collisions as a function of collision energy. (Middle/Right) Proton acceptance plot p_T vs. y in the center-of-mass frame at $\sqrt{s_{\rm NN}} = 3.0$ GeV (FXT data from 2018) and 7.7 GeV (collider data from 2010), respectively. The red curve in the middle panel indicates the acceptance boundary with iTPC and eTOF.

High moments of proton multiplicity distributions A non-monotonic behavior of 1197 net-proton high moments $\kappa\sigma^2$ as a function of collision energy has been suggested to be 1198 an evidence of the existence of QCD critical point [121, 122]. Figure 35 (left panel) shows 1199 the final STAR measurement from the BES-I data as a function of energy exhibiting a 1200 suggestive non-monotonic behavior [123, 124]. A complete picture of the non-monotonic 1201 behavior requires measurements at collision energies below the lowest collider mode energy 1202 (7.7 GeV) by utilizing the FXT mode collisions. STAR detector configuration has the best 1203 midrapidity coverage for fixed target collisions at the lowest collision energy $\sqrt{s_{\rm NN}} = 3.0$ GeV. 1204 Figure 35 middle and right panels show the proton acceptance with TPC and barrel TOF 1205 in 2018 FXT data at 3.0 GeV and 2010 collider data at 7.7 GeV, respectively. In the 2018 1206 FXT data, to ensure > 95% purity of the proton sample, one needs to utilize the barrel TOF 1207 for high momentum particle identification. With this requirement, the proton acceptance in 1208 2018 covers full negative rapidity region (-0.5 $< y < 0, 0.4 < p_T < 2.0 \text{ GeV}/c$), while missing a 1209 considerable acceptance in the positive rapidity region. A new run, with eTOF and iTPC, 1210

would allow for phase space coverage comparable to the one in collider mode (indicated by the 1211 box in the middle panel). The estimated acceptance boundary for protons is indicated by the 1212 red line shown in Fig. 35 middle panel. We can therefore cover the full midrapidity |y| < 0.51213 region from $0.4 < p_T < 2.0 \text{ GeV}/c$ which will be the same as these measurements conducted 1214 in collider mode data, shown in the right panel. This would allow to perform a systematic 1215 scan of the net-proton high moments analysis within the same mid-rapidity acceptance across 1216 the collision energy from 3.0 up to 200 GeV. In the meantime, the increased rapidity coverage 1217 will also enable us to investigate the rapidity-window (Δy) dependence of these fluctuations, 1218 which will offer us deep understanding on the physics origin through the development of 1219 these fluctuations vs. Δy [125]. 1220



Figure 36: (Left) ϕ/K^- ratio as a function of collision energy from several heavy-ion experiments in comparison to thermal model calculations assuming strangeness following GCE and CE with different canonical radius. (Middle) Invariant mass distributions of K^+K^- pairs and the ϕ meson signal in 2018 FXT data at $\sqrt{s_{\rm NN}}=3.0$ GeV. (Right) Reconstructed ϕ meson candidate phase space distributions using 2018 FXT data taken at $\sqrt{s_{\rm NN}}=3.0$ GeV. The black line shows the boundary of combining the TPC and barrel TOF detector for kaon identification. The blue line indicates the anticipated boundary extended by iTPC and eTOF for kaon identification in the proposed 2021 FXT run at $\sqrt{s_{\rm NN}}=3.0$ GeV.

 ϕ meson production Yields of strange hadron produced in relativistic heavy-ion col-1221 lisions from RHIC BES-I energies up to the LHC energy ($\sqrt{s_{\rm NN}}=7.7-5500$ GeV) can be 1222 well described by thermal model with Grand Canonical Ensemble (GCE) in which strange 1223 quark number is conserved on average [126-129]. It has been argued that at low energy 1224 heavy-ion collisions when the fireball created in these collisions becomes small enough the 1225 GCE for strange quarks will break down. Strangeness needs to be conserved on the event-1226 by-event basis, therefore only Canonical Ensemble (CE) is applicable to strange hadron 1227 production [127, 129]. Strange hadrons with finite strangeness number (e.g. K, Λ etc.) will 1228 suffer from a suppression due to the strangeness number conservation, often characterized 1229 by a canonical radius (r_c) for strange quark profile in comparison to the regular radius (r)1230 for light quarks [130, 131]. The ϕ meson is the lightest bound state of s and \bar{s} quarks with 1231 zero net-strangeness number. Its production yield, on the contrary, will not suffer from the 1232

canonical suppression. Therefore CE models predict the ϕ/K^- ratio will show an enhancement in very low energy heavy-ion collisions while GCE models calculate the ϕ/K^- ratio will gradually drop to zero at the ϕ production threshold in pp collisions. ($\sqrt{s_{\rm NN}} = 2.89$ GeV).

Experimentally, the measured ϕ/K^- values stay around 0.15 at $\sqrt{s_{\rm NN}} > 5$ GeV up to the LHC energy. At collision energies below the ϕ production threshold in pp collisions, measurements from HADES and FOPI suggest an enhancement compared to those at high energies, consistent with the CE description for strange quarks at such low energies within appreciable uncertainties [132, 133]. High precision measurement of the ϕ/K^- at such low energies will be of great interest to systematic investigate the ϕ meson and strangeness production mechanism in heavy-ion collisions.

We have performed such a measurement using the FXT data at $\sqrt{s_{\rm NN}} = 3$ GeV taken 1243 in 2018. Fig. 36 middle panel shows the reconstructed K^+K^- invariant mass distributions 1244 in 0-60% centrality. The shaded histogram shows the K^+K^- pair distributions from the 1245 mixed-event technique while normalized at the mass region above the ϕ meson signal. The 1246 red data points show the mixed-event background subtracted distributions and the ϕ meson 1247 signal obtained in this data is about 60σ . The right panel shows the ϕ meson acceptance 1248 coverage in center-of-mass frame. Due to the small production yield of kaons, one needs to 1249 rely on clean particle identification using TOF detector to obtain a control background in 1250 the ϕ meson reconstruction. The black curve indicates the single track acceptance boundary 1251 from TPC and barrel TOF in 2018 year run. One can see the ϕ meson p_T acceptance at 1252 midrapidity is limited at ~0.6-0.8 GeV/c. This covers roughly only 40% of the ϕ meson yield 1253 in the full p_T region, leading to a considerable amount of systematic uncertainty due to the 1254 p_T extrapolation. The blue curve in the same panel indicates the anticipated single track 1255 boundary with iTPC and eTOF. The p_T lower limit can be extended down to ~0.2 GeV/c, 1256 yielding a p_T coverage of ~90% of total dN/dy at midrapidity. This will greatly reduce the 1257 systematic uncertainty in the total ϕ meson yield measurement. 1258

We therefore request to take the FXT data at $\sqrt{s_{\rm NN}} = 3$ GeV with iTPC and eTOF detectors in RHIC 2021 year run. A roughly similar amount of statistics (300 million) will allow us to perform the measurement of ϕ/K^- ratio with high precision both statistically and systematically.

1263 Hypernuclei production Hypernuclei are those nuclei with one or more nucleons re-1264 placed with hyperons (typically Λ s). The study of hypernuclei lifetime, binding energy and 1265 their production mechanism offer insights to the understanding of hyperon-nucleon (Y-N) 1266 interactions. The Y-N interactions could have significant implications to our understanding 1267 of the internal structure of compact stars in nuclear astrophysics.

Heavy-ion collisions have shown great potential in studying the light hypernuclei properties and their production mechanism. There have been unprecedented measurements from RHIC and LHC on both the lifetime and binding energy (anti-)hypertriton ($^{3}_{\Lambda}$ H and $^{3}_{\Lambda}$ H). At low energy heavy-ion collisions, due to the high baryon density and high strangeness population, statistical hadronization thermal model predicts a significant enhancement of various light hypernuclei production yield, shown in Fig. 37 left panel [134]. The STAR FXT energy



Figure 37: (Left) Thermal model predictions of various light nuclei and hypernuclei production yield at midrapidity in central heavy-ion collisions as a function of collision energy [134]. (Right) Invariant mass distribution of ${}^{4}\text{He}\pi^{-}$ (top) ${}^{4}\text{He}p\pi^{-}$ (bottom) from 2018 FXT data at $\sqrt{s_{\text{NN}}}=3.0$ GeV. The ${}^{4}_{\Lambda}\text{H}$ and ${}^{5}_{\Lambda}\text{He}$ hypernuclei signal is clearly visible on top of background.

region from $\sqrt{s_{\rm NN}} = 3.0 - 7.7$ GeV sits nicely in the maximum mid-rapidity production yield of various hypernuclei while STAR detector layout has the best midrapidity acceptance coverage at 3.0 GeV. Figure 37 right panel shows the reconstructed ${}^{4}_{\Lambda}$ H and ${}^{5}_{\Lambda}$ He signal from the 2018 FXT dataset at $\sqrt{s_{\rm NN}} = 3.0$ GeV. These are so far the most unprecedented statistics on these light nuclei that will allow us to systematically investigate their lifetimes, binding energies as well as their production yield and collective flow behavior in heavy-ion collisions.

1280 2.1.3 Au+Au Collisions in FXT Mode at $\sqrt{s_{\text{NN}}} = 9.2, 11.5, \text{ and } 13.7 \text{ GeV}$

The BES-II program aims to study the nature of QCD matter by varying the temperature 1281 and baryon chemical potential. High baryon chemical potentials are achieved by 'stopping' 1282 the baryons which made up the two colliding nuclei. To better understand the development 1283 of the baryon chemical potential and its profile through the interaction region, it is necessary 1284 to study the rapidity density distribution of the protons across a broad range in rapidity. It 1285 is important that the rapidity range covered includes the peak of the participant distribution 1286 which have been accelerated during the collision process. For all collider energies available 1287 at RHIC (7.7 GeV and above), the peak of the rapidity distribution of the stopped protons 1288 is outside or at the edge of the acceptance of the STAR TPC (which only extends 0.6 units 1289 beyond mid-rapidity with particle identification via dE/dx, this is extended to 1.0 units 1290 of rapidity using eTOF particle ID); for $\sqrt{s_{\rm NN}} = 9.2$, 11.5, and 13.7 GeV, the shifted 0.9, 1291 1.0, and 1.1 units away from mid-rapidity respectively. However, in fixed-target mode the 1292 STAR detector is excellent for studies of stopping as the acceptance extends 1.7 units from 1293

target rapidity (see figure 38) toward mid-rapidity; for $\sqrt{s_{\rm NN}} = 9.2$, 11.5, and 13.7 GeV, $y_{CM} = 2.28$, 2.50, and 2.68 respectively. Combining collider and fixed-target measurements at each energy will provide full coverage from target rapidity to center-of-mass rapidity. The stopping of the incident protons is the key to changing the baryon chemical potential in the interaction region and the changing baryon chemical potential is the key to mapping out the phase diagram of QCD matter.

Complete rapidity density distribution for identified particles will provide important con-1300 straints for models. It has been noted by Shen [135] that the high rapidity tails of the dN/dy1301 distributions are very important and that high rapidity data are rare. In the energy range 1302 from $\sqrt{s_{\rm NN}} = 5.0$ to 200 GeV, the only available proton rapidity density distribution mea-1303 surements are from NA49 at 8.77 and 17.3 GeV [136] and from BRAHMS at 62.4 and 200 1304 GeV [137]. Shen used these data to constrain his 3-D models of the collisions to better un-1305 derstand the elliptic flow measurements in heavy-ion collisions. In the BES-II energy range, 1306 around 10 GeV, these models can set strong constraints on the dependence of Quark-Gluon 1307 Plasma shear viscosity on temperature and net baryon chemical, however, in order to do so, 1308 it is necessary to have knowledge of the rapidity distributions of net-protons and produced 1309 particles. 1310

It has been proposed that the trend of the rapidity shift of the stopped protons with collision energy will provide a signature of the softening of the equation of state at the phase transition [138]. Specifically, the model which has a two phase equation of state shows that the increase in the rapidity shift with collision energy stalls in the $\sqrt{s_{\rm NN}} = 8$ to 12 GeV range.

We proposed to extend the studies to proton stopping through the BES-II energy regime. 1316 Specifically we propose to add three more energies to the high end of the FXT energy range. 1317 These energies are chosen to provide three more overlap energies with the collider program. 1318 Single beam energies of 44.5, 70, and 100 GeV will provide interactions at $\sqrt{s_{\rm NN}} = 9.2$, 1319 11.5, and 13.7 GeV (the top energy is not quite an overlap energy with the 14.6 GeV 1320 collider system). Combining the midrapidity coverage from the collider mode and the target 1321 rapidity coverage from the fixed-target mode will provide full rapidity coverage for inclusive 1322 observables. Since the focus for program will be inclusive observables, 50 M events will be 1323 sufficient at each energy. We propose that at each of these three energies, twelve hours be 1324 spent on beam development and twelve hours be spent taking data. 1325

¹³²⁶ 2.2 Further Opportunities in Run-21

1327 S

1328 2.2.1 Small System Run: O+O at $\sqrt{s_{\rm NN}} = 200 \text{ GeV}$

Introduction Collective long-range azimuthal correlations in A+A collisions have been successfully described as a hydrodynamic response by a fluid-like system to geometric shape fluctuations in the initial state. In recent years, observation of similar collective phenomena in small-system collisions, such as pp and p+A collisions, has attracted wide interests in



Figure 38: This figure has been modified from a figure in the introduction of the Conceptual Design Report for the RHIC facility. The black lines indicate different regions in the rapidity - center of mass energy space. The 'V' shaped region in the top center of the figure which is labeled at the central region have been predicted and demonstrate to be a low baryon chemical potential region characterized by a continuous phase transition between the QG and the hadron gas. The outer 'V' shaped region is dominated by the target fragments. Colored regions are overlaid to indicate the coverage of the STAR detector for collider (Orange) and FXT (Blue) modes. For the three higher energies currently being proposed, the FXT acceptance covers the region dominated by target fragments while the collider acceptance covers the equilibrated central region.

the community. The interpretation of a fluid-like state formed there has been challenged, 1333 as the small size and short lifetime might prevent the system from quickly thermalizing 1334 and evolving hydrodynamically. Instead, collectivity arising either from initial momentum 1335 correlations motivated by gluon saturation models [139] or via a few scatterings among 1336 partons (without hydrodynamization) [140–142] has been proposed as alternative source of 1337 collectivity that may be dominant in small systems. Lots of experimental and theoretical 1338 efforts have been devoted to the study of collectivity in small-system collisions, with the 1339 goal of understanding the time-scale for the emergence of collectivity and the mechanism for 1340 early-time hydrodynamization in large collision systems. 1341

One key feature that distinguishes initial momentum correlation models (ISM) from finalstate interaction models (FSM, including hydrodynamics or a few scatterings) is the connection to the initial-state geometry [143]. In FSM, the collectivity is a geometrical response to initial shape fluctuations, i.e., v_n is approximately proportional to the n^{th} -order initial-state eccentricity ε_n . In ISM, such a geometrical response is expected to be absent [144]. It was proposed that a geometry scan of various colliding systems with different spatial eccentricities can help distinguish between contributions of these two scenarios [145].



Figure 39: Comparison of v_2 and v_3 in p+Au, d+Au and ³He+Au collisions at $\sqrt{s_{NN}} = 200$ between STAR data and various model calculations.

Such a small system scan program has been recently carried out at RHIC for a few 1349 asymmetric small systems including p+Au, d+Au and ³He+Au, where studies of elliptic 1350 flow (v_2) and triangular flow (v_3) have been performed [43, 146, 147]. In a Glauber model 1351 that only considers the fluctuations of nucleon positions [145], ε_2 in d+Au and ³He+Au is 1352 expected to be larger than in p+Au, while ε_3 in p+Au and d+Au are expected to be smaller 1353 than in ${}^{3}\text{He}+\text{Au}$. However, once the fluctuations at subnucleonic scales are included [144], 1354 the ε_3 are expected to be similar among all three systems. Fig. 39 compares the STAR 1355 v_2 and v_3 results with three hydrodynamic models predictions with different assumptions 1356 about the initial state. Calculations [148, 149] that include initial momentum anisotropy 1357 and/or subnucleonic fluctuations indeed describe the STAR v_3 data in all three systems, but 1358 one of the model [148] overestimates the v_2 data. On the other hand, hydrodynamic model 1359 based on fluctuations only at nucleonic level [150] fails to describe the v_3 data. This implies 1360 that the initial state in these asymmetric small collision systems are not well constrained, in 1361 particular in p+Au and d+Au system (there is reasonable consensus that the flow results in 1362 ³He+Au is dominated by FSM). The relative importance of FSM vs. ISM for the v_n data in 1363 small systems is an area of intense ongoing debate [151]. 1364

Physics case for a small A+A scan So far, both RHIC and the LHC carried out collisions for either relatively large (Pb+Pb, Au+Au, Xe+Xe, Cu+Cu, ...), which are well described by hydrodynamic models, or small asymmetric systems (p+Pb, p+Au, d+Au, and ³He+Au), whose initial state are poorly constrained as discussed above. To quantitatively understand the initial momentum anisotropy and the role of subnucleonic fluctuations, collisions of small but symmetric systems, such as O+O, Al+Al and Ar+Ar will be necessary.
They will also full the gap between *pp* and Cu+Cu systems is a crucial unexplored frontier¹, where a transition from ISM to FSM dominated collectivity may be observable. The list of key open questions related to collectivity in small systems includes:

- How much do initial-state correlations vs. geometry-driven final-state interactions contribute to the observed collectivity? Can we unambiguously establish experimental evidence of initial-state correlations?
- For final-state scenarios, to what extent does the collectivity arise from a hydrodynamic fluid-like QGP, as opposed to an off-equilibrium system with only a few scatterings per parton?
- What is the role of subnucleonic fluctuations in determining the initial-state geometry?
- Can we observe jet quenching in small systems?

A new comprehensive scan of colliding ion species at RHIC by systematically varying the 1382 system size and geometry between pp and Cu+Cu collisions, will provide a unique lever-1383 arm to dial contributions from various mechanisms and impose strong constraints on both 1384 ISM and FSM. Since the last RHIC p/d/He+Au scan, the STAR experiment has completed 1385 several detector upgrades that extend $p_{\rm T}$ and particle identification to $|\eta| < 1.5$, and provide 1386 centrality and event plane determination in $2 < |\eta| < 5$ [17, 152, 153]. An ongoing forward 1387 upgrade to instrument the 2.5 $< \eta < 4$ region with tracking detectors and calorimeters 1388 will be completed prior to 2021 run [154]. The extended detector capability will allow a 1389 full exploration of collectivity using all the observables and methods developed for large 1390 systems at RHIC/LHC. We will have better control of the non-flow systematics, leading to 1391 a better understanding of the multi-particle nature of the collectivity and the longitudinal 1392 correlations to constrain the full 3D initial conditions. As an illustration, model studies of 1393 v_2 and v_3 in a series of small systems including symmetric (C+C, O+O, Al+Al, Ar+Ar) and 1394 asymmetric $(p+Au, d+Au, ^{4}He+Au)$ collisions using the AMPT model are shown in Fig. 40. 1395 AMPT belongs to the category of final-state interaction models, where v_n is largely driven by 1396 the geometry of initial nucleon distributions. The v_2 values from asymmetric systems follow 1397 different trends: the v_2 in d/4He+Au increases with $N_{\rm ch}$, while it is relatively constant in 1398 p+Au. The v_3 values show a similar N_{ch} dependence as symmetric systems, except for d+Au1399 which deviates from the common trend at large $N_{\rm ch}$. This study demonstrates that, in a 1400 scenario driven by final-state interactions, a clear difference is expected between $d/^{4}$ He+Au 1401 and A+A for v_2 , while a relatively similar behavior should be observed for v_3 . Contributions 1402 from other sources, especially ISM, are expected to follow a drastically different behavior; 1403 as the system size increases, the ISM contribution will gradually become subdominant. 1404

¹RHIC has no limitation on small A+A systems, based on private communication with Wolfram Fischer



Figure 40: (Left) AMPT predictions for v_2 and (Right) v_3 as a function of N_{ch} in four symmetric and three asymmetric small collision systems.

Arguments for a short O+O run in 2021 In this BUR, we propose a O+O run at $\sqrt{s_{\rm NN}}$ 1405 =200 GeV towards the end of the BES-II in 2021, to be followed up with a comprehensive scan 1406 of symmetric and asymmetric small collision systems using the STAR forward upgrade after 1407 2021, possibly in collaboration with sPHENIX. The choice of O+O collisions as the starting 1408 point is motivated by the following reasons: 1) O+O has an N_{part} coverage comparable to 1409 p+Au and d+Au but with a much flatter distribution (see Fig 41), which allows much better 1410 control of initial geometry and centrality bias, 2) the Oxygen is a reasonably sized system 1411 for which the both the nucleonic and subnucleonic DOF are important, which together 1412 with p/dAu data can be used disentangle these contributions, 3) a strong synergy with the 1413 proposed higher-energy O+O run at the LHC around around 2023–2024 to enable a direct 1414 comparison of the same small-system collision species at drastically different energies. More 1415 details, including hydrodynamic model predictions, are presented and discussed below. 1416

The recent vellow report on the future LHC heavy-ion physics program discusses the 1417 possibility for smaller A+A collisions [155]. This includes a proposal of an O+O run at 1418 $\sqrt{s_{\rm NN}} = 2.76-7$ TeV in 2022², and other light-ion species such as Ar+Ar beyond 2028. As 1419 mentioned earlier, one big advantage of the O+O system is that it allows a better control 1420 of N_{part} and ε_n , compared to peripheral Pb+Pb collisions [155]. An O+Orun at RHIC 1421 right after the BES-II would provide a timely comparison of the same small system at very 1422 different collision energies (0.2 TeV vs. 2.76–7 TeV). This "RHIC-LHC energy scan" provides 1423 a unique opportunity to study systems with nearly identical initial nucleon geometry but 1424 very different subnucleon fluctuations and different saturation scales. The large lever-arm 1425 in collision energy should provide new insights on the onset behavior of collectivity, jet 1426 quenching, or any other final-state effects in small systems: any model has to describe 1427 results at both energies, which naturally leads to a better understanding of results at each 1428 energy. 1429

²According the latest schedule of the LHC run 3, O+O run will most likely be scheduled in 2023.



	pAu	dAu	¹⁶ O+ ¹⁶ O
<n<sub>part></n<sub>	5.8	8.8	9.5

Figure 41: The N_{part} distribution in O+O collisions compared with p+Au and d+Au collisions at $\sqrt{s_{\text{NN}}} = 200$ GeV estimated from Glauber model. The table to the right shows the average N_{part} values in the three systems.

Figure. 42 compares the $v_n(p_T)$ data and hydrodynamic calculations for n = 2 and 3 at 1430 two energies in a large A+A system (left) and in a p+A system (right). It is well-known that 1431 $v_n(p_{\rm T})$ for charged hadrons in large systems has very little $\sqrt{s_{\rm NN}}$ dependence from RHIC 1432 to LHC [156], as well as from 39 to 200 GeV at RHIC [157, 158]. This is confirmed by the 1433 left panel which compares Pb+Pb [159] with Au+Au [160] data at 30–40% centrality, as 1434 well as calculations from the CGC-Hydro model. However, a comparison of $v_n(p_T)$ between 1435 p+Pb [161] and p+Au [147] central data suggests a small difference in v_2 , while the v_3 data 1436 are nearly identical. In the FSM picture, this suggests that the contributions of subnucleonic 1437 fluctuations to the initial eccentricities are very different between the two collision energies. 1438 In the ISM picture, it may be the result of an energy dependence of initial momentum 1439 anisotropy. It would be exciting to see whether the $\sqrt{s_{\rm NN}}$ dependence for v_2 and v_3 in p+A1440 collisions also persists in small A+A systems such as O+O collisions between RHIC and 1441 LHC. The CGC-Hydro model calculations of v_2 and v_3 in O+O collisions at RHIC and 1442 the LHC energies are shown in Fig. 42 (middle), where a split in both v_2 and v_3 between 1443 two energies is predicted. These rather non-trivial \sqrt{s} dependence across different collision 1444 systems reflects the rich physics mechanisms behind origin of collectivity. 1445

We propose a one-week O+O program in 2021 right after BES-II. Assuming a total interaction rate of $\sim 10-15$ kHz (based on recent isobar runs), the STAR DAQ rate of 2 kHz and the RHIC uptime of 50% (12 hour/day), tentative numbers of events we expect to record for different triggers are summarized in Table 8 for one week, default run plan, and two weeks as a more optimal running scenario. Note that we do not have an estimation of minimum-bias trigger efficiency at this point, and assumed it to be $\sim 100\%$.

The event statistics listed in Table 8 should allow precision measurements of many types of two-particle correlations, including the N_{ch} dependence of integral v_n , p_T dependence of v_n in 0-5% for identified particles (π , K, p and ϕ) to test the NCQ-scaling. The non-flow effects for these observables can be studied in detail thanks to the large acceptance of iTPC and EPD. Based on a Glauber model estimation, the $\langle N_{part} \rangle$ value is 9.5 and 26 for minimum-bias



Figure 42: Comparison of measured v_2 and v_3 between Pb+Pb and Au+Au 30–40% centrality events (Left) and high-multiplicity p+Pb and p+Au data (Right) at RHIC and the LHC energies. The CGC-Hydro model calculations are also shown for Au+Au and Pb+Pb (Left), p+Au and p+Pb (Right), and O+O as a prediction (Middle) at both energies.

Table 8: Number of events (in millions) needed in an O+O run at $\sqrt{s_{\text{NN}}} = 200$ GeV for various triggers for one week (default) and two weeks (optimistic) running scenarios.

Triggers	Minimum bias	0-5% centrality
Events (1 week)	400 M	200 M
Events (2 week)	800 M	$400 \mathrm{M}$

¹⁴⁵⁷ and 0-5% central O+O collisions, respectively.

Figure 43 shows the projection of the statistical precision for the ϕ meson $v_2(p_{\rm T})$ in 0–5% 1458 centrality O+O collisions. Under the assumption that its v_2 in O+O is similar to that of 1459 a charged hadron in p+Au around $p_{\rm T} \sim 2-3$ GeV/c, the estimation scales the $\phi v_2(p_{\rm T})$ in 1460 peripheral Au+Au collisions [162] to approximately match the charged hadron v_2 in p+Au 1461 collisions in Fig. 42, accounting for differences in $\langle N_{part} \rangle$, event plane resolution, and event 1462 statistics. A decent measurement of ϕ meson v_2 can be achieved with one week of running. 1463 In fact, the statistics requirement in Table 8 is mainly driven by multi-particle correla-1464 tions, for example four-particle cumulants for single harmonics $c_2\{4\} = \langle v_n^4 \rangle - 2 \langle v_2^2 \rangle^2$, four-1465 particle symmetric cumulants $SC(2,3) = \langle v_2^2 v_3^2 \rangle - \langle v_2^2 \rangle \langle v_3^2 \rangle$ and three-particle asymmetric 1466 cumulants $AC(2,4) = \langle v_2^2 v_4 \cos 4(\Phi_2 - \Phi_4) \rangle$ (Φ_n is the event plane). These observables are 1467 sensitive to event-by-event fluctuations of collectivity, and measurements of them at LHC in 1468 pp, p+Pb and Pb+Pb collisions have led to high impact results which provide evidence for 1469 geometry response in small systems [163–166]. 1470

Figure 44 shows the projection of the statistical precision for the $c_2\{4\}$ measurement. The projected precision should allow a measurement of $c_2\{4\}$ signal, assuming a $v_2\{4\}$ value



Figure 43: Projected statistical error on ϕ meson $v_2(p_T)$ in central O+O collisions within the TPC acceptance.



Figure 44: (Left) The projected statistical error bar on c_2 {4} in 0.2-3 GeV/*c* in the TPC acceptance as a function of number of charged particles in TPC acceptance and (Right) EPD acceptance.

1473 to be between $4-6\%^{3}$.

Figure 45 shows the projection of the statistical precision for the charged hadron R_{AA} measurement for minimum bias O+O collisions (assume 400 Million). This calculation includes the state-of-art knowledge of nPDF effects and jet quenching modeling of Refs. [168, 169]. A significant suppression of $R_{AA} = 0.85 - 0.9$ is expected which should be measurable with decent statistical uncertainty out to 15 GeV.

Answer to PAC questions from last year When this proposal was presented last year, we have received the following comments: "With regards to an O+O run, the case for this could become persuasive if, between now and next year, theorists with expertise in hydrodynamics can provide some simulations that demonstrate what hydrodynamics predicts for v2 and v3 behavior in O+O collisions, and how this compares to results from p+A, Cu+Cu,

³The $p_{\rm T}$ integrated v_2 {4} in d+Au from PHENIX [167] at forward rapidity is about 4%



Figure 45: Prediction of minimum bias hadron nuclear modification factor for $\sqrt{s_{\rm NN}} = 200$ GeV O+O collisions following Refs. [168, 169]. A particular parton energy loss model predictions (blue line) is overlaid with the baseline in the absence of parton rescattering. The blue band represents model uncertainty only due to experimental uncertainties in $\sqrt{s_{\rm NN}} = 5.02$ TeV Pb+Pb collisions used to fit a free model parameter. The red band shows nPDF uncertainties reweighted with additional CMS pPb dijet data. Proton PDF (orange), leading order scale (green) and fragmentation function (yellow) uncertainties are fully correlated and cancel. Error bars illustrate statistical uncertainties for OO mock data at 100% efficiency.

and Au+Au collisions. We also suggest that these calculations should be undertaken for $\alpha + \alpha$, Be+Be, Al+Al and Ar+Ar collisions also, as well as for O+Au and other asymmetric small+large nuclear collision options, so as to be able to make the case that O+O is the optimal physics choice, most likely to yield new or substantially improved understanding of questions relating to how small droplets of QGP equilibrate and what is the smallest droplet of QGP that is possible to be formed in collisions at 200 GeV".

¹⁴⁹⁰ We have prepared the following answers to these comments:

- Why O+O? 1) O+O collisions cover similar N_{part} range as p+Au/d+Au (see Figure 41) where the collectivity debate is ongoing, 2) O+O has similar N_{part} but different nucleon/sub-nucleon fluctuations, 3) leverage similar measurement at LHC for new insight and precision.
- Are there theoretical calculations? Many model studies on O+O exist by now, which reflects the community interests: 1812.08096,1904.10415,1908.06212, 1910.09489, 2003.06747, 2005.14682. Figure 42 shows the new prediction on O+O taken from 2005.14682,
- Why not other collision systems? Analyzing power for 2k-particle cumulants $v_n\{2k\}$ scales as $N_{events} \times N_{part}^{2k}$, system smaller than O+O, such as C+C require much longer running time and also difficult to setup the high-multiplicity triggers due to steeply

falling N_{ch} distributions. Also these systems will not have compatible N_{part} coverage as p/d+Au systems. One may suggest to repeat the p/d+Au. But this will require long running time, since previous p+Au (d+Au) data was taken over 5 (1.5) weeks period in run15 (run16).

• Why not larger small systems? Larger asymmetric system we already have Cu+Au and ¹⁵⁰⁶ ³He+Au. Results from both are consistent with final-state interpretation from both ¹⁵⁰⁷ experiments and theory. Any system in between such as O+Au with $\langle N_{part} \rangle = 60$ is ¹⁵⁰⁸ expected to be dominated by final state effects.

1509 2.2.2 Au+Au Collisions at $\sqrt{s_{\rm NN}} = 17.1$ GeV

Net-proton kurtosis and light nuclei yield ratio from RHIC BES-I One of the 1510 main goals of the RHIC Beam Energy Scan (BES) program is the search for the QCD 1511 critical point (CP), which is a distinct singular feature of the QCD phase diagram. The 1512 experimental confirmation of the existence of the CP would become a landmark in the 1513 exploration of the phase structure of hot dense nuclear matter. The characteristic feature of 1514 the CP is the divergence of the correlation length and density fluctuations. These critical 1515 phenomena can be probed by measuring event-by-event fluctuations of conserved quantities, 1516 such as baryon, electric charge, and strangeness numbers. The effect of the CP could show as 1517 a non-monotonic energy dependence of higher order moments of these conserved quantities 1518 in close proximity of the critical point during a beam energy scan [122].



Figure 46: (Left) The fourth order net-proton fluctuations $\kappa \sigma^2$ in most central (0-5%) Au+Au collisions as a function of collision energy from STAR BES-I measurements [123]. (Right) The characteristic signature predicted by the theoretical model for energy dependence of the fourth order fluctuations when the system passes through the critical region [122].

1519



Figure 47: (Left) Collision energy dependence of the light nuclei yield ratio $(N_t \times N_p/N_d^2)$ in central Au+Au collisions. The open square data based on NA49 results in central Pb+Pb collisions at $\sqrt{s_{\rm NN}}=6.3$ (0-7%), 7.6 (0-7%), 8.8 (0-7%), 12.3 (0-7%), and 17.3 (0-12%) energies. (Right) Illustration of the density fluctuation as a function of collision energy in the critical region and the spinodal region [170].

In the years 2010-2017 RHIC finished the first phase of the Beam Energy Scan (BES) and 1520 took data in Au+Au collisions at $\sqrt{s_{\rm NN}} = 7.7, 11.5, 14.5, 19.6, 27, 39, 54.4, 62.4, and 200 GeV.$ 1521 With these experimental data STAR measured the higher order fluctuations of net-proton, 1522 net-charge, and net-kaon multiplicity distributions [123, 171–175]. One striking observation 1523 is the behavior of the fourth-order cumulants, or kurtosis, of the net-proton fluctuation $\kappa\sigma^2$ 1524 in most central (0-5%) Au+Au collisions as a function of beam energy. As shown on the 1525 left of Fig. 46, the fourth order net-proton fluctuation is close to unity above 39 GeV but 1526 deviates significantly below unity at 19.6 and 27 GeV, then approaches or turns above unity 1527 at lower energies. This behavior may suggest that the created system skims close by the CP, 1528 and receive positive and/or negative contributions from critical fluctuations. The right of 1529 Fig. 46 shows the characteristic signature of the critical point for energy dependence of the 1530 fourth order fluctuations when the system passes through the critical region [122]. Along 1531 this argument, a peak structure above unity for net-proton kurtosis measurement at lower 1532 energies could be the signature of the CP. However, it is worth to point out that a first 1533 order phase transition could also cause a large increase of net-proton kurtosis [176]. When 1534 entering into the spinodal region (mixed phase), the double peak structure of σ field may 1535 cause the increase of the fourth order cumulants (C_4) . 1536

In addition, STAR has measured light nuclei (deuteron and triton) production in Au+Au collisions at RHIC BES energies. The ratio of these yields are predicted to be sensitive to the neutron relative density fluctuations at kinetic freeze-out, which is expected to increase near the critical point and/or a first order phase transition [170]. The neutron density fluctuation

is defined as $\Delta n = \langle (\delta n)^2 \rangle / \langle n \rangle^2$, which can be approximated from:

$$\Delta n = \frac{1}{g} \frac{N_t \times N_p}{N_d^2} - 1$$

where N_p , N_d and N_t are the proton, deuteron and triton yields, respectively and g is a 1537 constant factor of 0.29 [170]. In the left panel of Fig. 47, we show the yield ratio $N_t \times$ 1538 N_p/N_d^2 in central Au+Au collisions as a function of collision energy. These light nuclei yield 1539 ratios are obtained by using the feed-down corrected proton yields, deuteron yield [177], and 1540 preliminary triton results [178]. The ratio as a function of energy exhibits a non-monotonic 1541 energy dependence with a peak around 19.6 GeV. The blue band showing a flat energy 1542 dependence represents the calculation of the light nuclei yield ratio in Au+Au collisions 1543 (b < 3 fm) from a transport JAM model [179]. Furthermore, the yield ratio shown in Fig. 47 1544 seems to show a drop between 14.5 and 19.6 GeV. The experimental observation of non-1545 monotonic energy dependence in yield ratio may suggest a double peak structure of the 1546 neutron density fluctuation, indicating that the system goes through the critical region and 1547 the first order spinodal region, as displayed in Fig. 47 right. 1548

In RHIC 2021 run, we propose to take one more energy point in Au+Au collisions at 17.1 GeV based on the following two observations presented in Figs. 46 and 47, aiming at the QCD critical point search with net-proton kurtosis and light nuclei yield ratio:

1. Net-p kurtosis and light nuclei yield ratio, which are both sensitive to the critical fluctuation, show dip and peak structures around 19.6 GeV. These may suggest that the system passed through the critical region around 19.6 GeV.

2. We observe sudden changes between 19.6 and 14.5 GeV in the energy dependence of net-p kurtosis and light nuclei ratio measurements in the BES-I data measured by the STAR experiment. The neutron density fluctuations at low energies below 14.5 GeV are consistent with the results from NA49 experiment [170].

These two observations indicate that the critical point may be close to 19.6 GeV. Since there are sudden changes in both observables between 19.6 (chemical freeze-out $\mu_B = 205$ MeV) and 14.5 GeV ($\mu_B = 266$ MeV), it is important to conduct a finer beam energy scan between these two energies. Therefore, we request a run with Au+Au collisions at $\sqrt{s_{\rm NN}} = 17.1$ GeV ($\mu_B = 235$ MeV), which is just between 19.6 and 14.5 GeV with equal μ_B gap, about 30 MeV, on each side.

Answer to PAC questions from last year When this proposal was presented last year, we have received the following comments: "To make the case for a $\sqrt{s_{\rm NN}} = 17.1$ GeV run, the key input will be results from measurements of fluctuation observables from Run-19 data taken at $\sqrt{s_{\rm NN}} = 19.6$ and 14.6 GeV. If these measurements, with the smaller error bars that are anticipated, show evidence for a possible two-peaked structure in the plot of net proton kurtosis or other fluctuation observables as a function of $\sqrt{s_{\rm NN}}$, this could at that time become a strong argument for a run at $\sqrt{s_{\rm NN}} = 17.1$ GeV."



Figure 48: The red solid makers are the results from RHIC BES-I and the blue square represents the results of Run19 fast-offline data. (Left) The fourth order net-proton fluctuations $\kappa\sigma^2$ in most central (0-5%) Au+Au collisions as a function of collision energy. (Right) Collision energy dependence of the light nuclei yield ratio $(N_t \times N_p/N_d^2)$ in central Au+Au collisions. The open square data based on NA49 results in central Pb+Pb collisions at $\sqrt{s_{\rm NN}}=6.3$ (0-7%), 7.6 (0-7%), 8.8 (0-7%), 12.3 (0-7%), and 17.3 (0-12%) energies.

To reply the comments from PAC last year, we have analyzed the net-proton fluctuation 1572 and light nuclei production from the Run-19 fast-offline data of Au+Au collisions at $\sqrt{s_{\rm NN}}$ 1573 = 14.6 and 19.6 GeV. The statistics of the Run-19 fast-offline data of 0-5% Au+Au collisions 1574 shown in Fig. 48 are about 580k and 750k events for 14.6 and 19.6 GeV, respectively, which 1575 are roughly about 5% of the full min.-bias statistics of these two data sets. It is found 1576 that both net-proton fluctuation and light nuclei yield ratios in 0-5% most central Au+Au 1577 collisions from BES-I are consistent with the results from Run 19 fast-offline data of 14.6 and 1578 19.6 GeV. For clarity in Fig. 48, the X-axis positions of Run 19 fast-offline data are slightly 1579 shifted. 1580

Table 9: Event statistics (in millions) needed in a Au+Au run at $\sqrt{s_{\text{NN}}} = 17.1$ GeV for fourth order net-proton fluctuations ($\kappa\sigma^2$) and light nuclei yield ratio ($N_t \times N_p/N_d^2$) measurements.

Triggers	Minimum Bias	net-p $\kappa\sigma^2$ (0-5%)	$N_t \times N_p / N_d^2 \ (0-10\%)$
Number of events	$250 \mathrm{M}$	6% error level	3.6% error level

Required number of minimum bias events and statistical uncertainty level According to the previous estimation of the required event statistics for BES-II energies presented in Table 6, we need about 250 million minimum-bias events for the net-proton kurtosis
measurement at 17.1 GeV, which require 2.5 weeks data taking. It gives us about 12.5 million



Figure 49: Monte Carlo simulation for the relative statistical errors of net-proton $\kappa\sigma^2$ in 0-5% most central Au+Au collisions at 17.1 GeV. A Skellam distribution for net-proton is assumed; the mean value for protons and anti-protons are 17 and 1, respectively. The average efficiencies for proton and anti-proton are 0.66 and 0.62, respectively.

events (250/20) in 0-5% most central collisions. This will ensure that the relative statistical 1585 error of net-proton $\kappa\sigma^2$ in 0-5% most central Au+Au collisions will reach the 6% level (shown 1586 in Fig. 49). This event statistics will also ensure that the relative statistical error of light nu-1587 clei ratio will reach about 3.6% level in 0-10% central Au+Au collisions. In additional to the 1588 improved statistics, utilizing the iTPC will enable the measurement of lower p_T light nuclei 1589 and will reduce the systematic uncertainties associated with the low p_T yield extrapolation. 1590 If nature puts the critical point in the QCD phase diagram between 14.5 and 19.6 GeV 1591 (with μ_B around 200–270 MeV), RHIC has the best chance to discover it ! 1592

1593 2.2.3 Au+Au Collisions in FXT Mode at $\sqrt{s_{NN}} = 3.0$ GeV - II: 2 Billion Goal

In the previous section, we have discussed the great physics interests for low energy heavy-ion collisions utilizing the FXT setup at the STAR experiment. We have made our arguments of taking a minimum of 300 million Au+Au FXT events at $\sqrt{s_{\rm NN}} = 3.0$ GeV. With further available beam time, we would like to request to collect up to 2 billion events with the same setup for the following physics measurements.

Proton correlations higher than 4-th order are useful to study the possible contributions of protons from hadronic phase or QGP phase [176]. The requested 2 billion events statistics will enable us to perform the analyses of proton moments and cumulants up to 5-th and 6-th orders. The measurements of 5-th and 6-th order moments and cumulants has been proposed to be sensitive to the search for the phase boundary in the high baryon density region [176]. A much larger data sample (2 billion events) will enable us to further investigate the centrality dependence of ϕ meson production. The 2018 data analysis in 40-60% centrality bin yields ~ 13% relative uncertainty in the ϕ production yield. A two-billion dataset will reduce the statistical uncertainty to be < 5%. This will allow us to study system size dependence of ϕ meson production to quantitatively understand the canonical suppression for strangeness. The large statistics will also offer the opportunity to further measure ϕ meson directed and elliptic flow behavior in these collisions.

¹⁶¹² While there have been tens of hypernuclei measured so far, there are only very few double-¹⁶¹³ Λ hypernuclei candidates reported from emulsion experiments [180–184]. Their properties ¹⁶¹⁴ are directly related to the $\Lambda\Lambda$ interaction. Low energy heavy-ion collisions can be a unique ¹⁶¹⁵ environment to copiously produce these light double- Λ hypernuclei. For instance, according ¹⁶¹⁶ to the thermal model prediction, the ${}^{5}_{\Lambda\Lambda}$ H production yield increases by more than 3 orders ¹⁶¹⁷ of magnitude at the low energies compared to that at top RHIC and LHC energies [134].

We performed a Monte Carlo simulation study for the decay chain ${}^{5}_{\Lambda\Lambda}H \rightarrow^{5}_{\Lambda}He + \pi^{-}$, and ${}^{5}_{\Lambda}He \rightarrow^{4}He + p + \pi^{-}$ within the STAR detector acceptance. Assuming the production yield based on the thermal model prediction [134], with 2 billion Au+Au FXT data at $\sqrt{s_{NN}} =$ ${}^{3.0}$ GeV and with the iTPC and eTOF detector, we will have a chance to observe ~27 signal counts. This will be an unprecedented sample that allows us to study double- Λ hypernuclei properties and their production mechanism, therefore to offer new insights towards the understanding of the $\Lambda\Lambda$ interaction.

¹⁶²⁵ 2.3 Future Possibilities

¹⁶²⁶ 2.3.1 Exploring the Nuclear Equation-of-State (EoS) with Heavy Ion Collisions

In the interior of the fireball created in HI collisions, nuclear densities of up to 10 ρ_0 can be achieved depending on the energy of the colliding nuclei [185]. Similar densities are predicted to be present in the core of neutron stars (NS). However, the composition and maximal mass of NS is highly dependent on the nuclear equation-of-state (EoS) which is close related to the compressability of nuclear matter. Therefor HI collisions are considered as a ideal tool to study the EoS at high nuclear densities and establish a bridge between astrophysics and nuclear physics.

Already in the early 80s several observables probing the EoS (i.e. which are sensitive 1634 on the density and pressure of the system) like particle production [186, 187], transverse 1635 momentum analysis [188], directed and elliptic flow [189,190] were proposed. At low energies 1636 and densities up to about 2.5 ρ_0 elliptic flow analysis favour a soft EoS [191] while at AGS 1637 energies (between 2.5 and 4.5 ρ_0) no clear picture could be established yet. Transverse flow 1638 measurements hint to a soft ($K \leq 210$) EoS while elliptic flow measurements indicate a stiff 1639 $(K \approx 300)$ EoS [190]. Another method to probe the equation-of-state of nuclear matter was 1640 proposed already 1985 by Aichelin and Ko [192] by measuring the sub-threshold production 1641 of strange particles which are created in the dense medium early in the reaction. Especially 1642 the multi-strange particle production at sub-threshold energies should be highly sensitive to 1643 the density of the medium since multiple-collision processes are required. So the information 1644

on the EoS is stored in the yield and slope of the excitation function of the sub-threshold produced particles which can be compared to various theoretical models. It is also clear that the abundance of produced particles is highest at heavy systems like Au + Au since the reaction volume is big. Therefor comparison measurements with light system like C + Ccan be used as a reference where the influence of the EoS is small. In addition, systematic errors both in experiment and theory cancel out to a large extent by taking the yield ratio of produced (multi-) strange particles at both systems.

Figure 50 shows the normalized yield ratio $((M_{K^+}/A)_{Au+Au}/(M_{K^+}/A)_{C+C})$ of sub-threshold produced K^+ as a function of 4 different beam energies [193]. A comparison to different models [194, 195] predict a soft EoS at low bombarding energies around $E_{beam} \approx 1.5 \, GeV$. On the other hand the finding of neutron stars with masses above 2 solar masses can only be explained by a stiff EoS [196] which should be measurable in flow observable at higher incident energies.



Figure 50: Excitation function of the ratio of K^+ multiplicity obtained in Au + Au over C + C reactions [193] together with model calculations [194, 195] indicating a soft EoS at this bombarding energies.

1657

An independent observable at these higher energies are the production rates of multi-1658 strange baryons, of which several have their NN production threshold in the reach of BES II: 1659 Ξ^- (production threshold $\sqrt{s_{\rm NN}}=3.247\,{\rm GeV}$), Ω^- (production threshold $\sqrt{s_{\rm NN}}=4.092\,{\rm GeV}$), 1660 Ξ^+ (over $\Xi^+\Xi^-$ -channel, production threshold $\sqrt{s_{\rm NN}}=4.520$ GeV) and Ω^+ (over $\Omega^+\Omega^-$ -channel, 1661 production threshold $\sqrt{s_{\rm NN}} = 5.222 \,{\rm GeV}$). A beam energy scan in small steps (with 3 to 4 1662 points with distance of about 200 to 300 MeV) below the production threshold energy for 1663 the various particles species for Au + Au collisions could give access to the properties of the 1664 EoS as function of colliding energy and density. In fact a big fraction of the measurements 1665 were already finished during the BESII campaign and only a few additional fixed target 1666

points have to be performed which depends on the number of investigated particles used as 1667 probes. The measurement of the pressure as function of energy in small steps could even 1668 allow for the discovery of a 1^{st} order phase transition in the covered energy range [197]. The 1669 measurements at the heavy system could be accompanied by a reference measurement of a 1670 light system (for example C + C) for at least one particle species and extrapolated to the 1671 others. However, since the production yield scales with the number of participants the time 1672 needed for the light system is significantly longer (few weeks) as for the heavy system which 1673 needs typically btw. 12 h and a day. 1674

¹⁶⁷⁵ Nevertheless the proposed measurements have a high discovery potential and could be ¹⁶⁷⁶ an opportunity for a future fixed target HI program at STAR.

$_{1677}$ 2.4 Exploring the Microstructure of the QGP (Run-23 and Run-25 Au+Au)

The completion of the RHIC's scientific mission involves the two central goals [198] of (i) 1679 mapping out the phase diagram of the QCD, and (ii) probing the inner workings of the QGP 1680 by resolving its properties at short length scales. The complementarity of the RHIC and LHC 1681 facilities to study the latter is scientifically as essential as having more than one experiment 1682 independently study the microstructure of the QGP. With several years of operating the 1683 recently installed iTPC upgrade and the soon-to-be installation and operation of STAR's 1684 forward detectors, the STAR collaboration will be in an excellent position to take advantage 1685 of its vastly improved detection capabilities. Combine this with the prospect of a substantial 1686 increase in beam luminosities and RHIC will be uniquely positioned to fully engage in a 1687 detailed exploration of the QGP's microstructure. Through careful discussions in its physics 1688 working groups, the STAR collaboration has identified a number of topics that together 1689 make a compelling case to take data during Runs 23-25 alongside sPHENIX, and successfully 1690 complete RHIC's scientific mission. In this section, we present a selection of those topics 1691 that will take full advantage of both STAR and RHIC's unique capabilities and address the 1692 following important questions about the inner workings of the QGP. 1693

• What is the precise temperature dependence of the shear η/s , and bulk ζ/s viscosity?

• What is the nature of the 3-dimensional initial state at RHIC energies? How does a twist of the event shape break longitudinal boost invariance and decorrelate the direction of an event plane?

- How is global vorticity transferred to the spin angular momentum of particles on such short time scales? And, how can the global polarization of hyperons be reconciled with the spin alignment of vector mesons?
- What is the precise nature of the transition near $\mu_B = 0$, and where does the signchange of the susceptibility ratio χ_6^B/χ_2^B take place?
- What is the electrical conductivity, and what are the chiral properties of the medium?
• What can we learn about confinement and thermalization in a QGP from charmonium measurements?

• What are the underlying mechanisms of jet quenching at RHIC energies? What do jet probes tell us about the microscopic structure of the QGP as a function of resolution scale?

The event statistics projections that are used in this section will rely on the CAD's re-1709 cently update 2023E and 2025E Au+Au luminosities [199] and are listed in Table 10. For 1710 each year we presume 24 weeks of RHIC operations, and based on past run operations an 1711 overall average of $85\% \times 60\%$ (STAR×RHIC) uptime, respectively. The minimum-bias rates 1712 assume a conservative 1.5 kHz DAQ rates which will allow sufficient bandwidth for spe-1713 cialized triggers which are listed as integral luminosities. In order to achieve the projected 1714 luminosities, the collaboration will look into optimizing the interaction rates at STAR by al-1715 locating low and high luminosities periods within fills. Such periods, in which low interaction 1716 rates are sampled in the early part of a fill and high interaction rates typically in the later 1717 part, will allow us to collect clean, low pile-up, minimum bias events, while at the same time 1718 not burn beam luminosities that could affect interaction rates for sPHENIX. Clean mini-1719 mum bias events will improve tracking efficiencies which in turn is expected to benefit many 1720 of the proposed correlation analyses. Optimization of the available bandwidth for high- p_T 1721 triggers would allow us to push for lower p_T thresholds, thus further reducing biases. The 1722 impact of such an optimization will lead to some reduction in the projected rates, while still 1723 enabling a significant improvement in the precision and kinematic reach of current STAR 1724 measurements, and making important measurements that are yet more differential possible. 1725

year	minimum bias	high- p_T int. luminosity $[nb^{-1}]$		
	$[\times 10^9 \text{ events}]$	all vz	vz < 70 cm	vz < 30 cm
2014	0	26 5	10.1	15 7
2016	Δ	20.0	19.1	15.7
2023	10	43	38	32
2025	10	58	52	43

Table 10: STAR minimum bias event statistics and high- p_T luminosity projections for the 2023 and 2025 Au+Au runs. For comparison the 2014/2016 event statistics and luminosities are listed as well.

At RHIC it is possible to build detectors that can span from mid-rapidity to beam rapidity 1726 - with the two recent upgrades STAR is able to achieve this unique capability. STAR's BES-1727 II upgrade sub-systems comprised of the inner Time Projection Chamber (iTPC, $1.0 < |\eta| <$ 1728 1.5), endcap Time Of Flight (eTOF, $1 < \eta < 1.5$) and Event Plane Detector (EPDs, $2.1 < \eta < 1.5$) 1729 $|\eta| < 5.1$), that are all commissioned and fully operational since the beginning of 2019 [16–18]. 1730 As will be discussed in Sect. 4, the STAR collaboration is constructing a forward rapidity (2.5 1731 $< \eta < 4$) upgrade that will include charged particle tracking and electromagnetic/hadronic 1732 calorimetry [200]. For charge particle tracking the aim is to construct a combination of 1733

¹⁷³⁴ silicon detectors and small strip thin gap chamber detectors. The combination of these two ¹⁷³⁵ tracking detectors will be referred to as the forward tracking system (FTS). The FTS will ¹⁷³⁶ be capable of discriminating the hadron charge sign. It should be able to measure transverse ¹⁷³⁷ momentum of charged particles in the range of $0.2 < p_T < 2 \text{ GeV}/c$ with 20-30% momentum ¹⁷³⁸ resolution. In what follows, we will refer to the combination of the existing TPC ($|\eta| < 1$) ¹⁷³⁹ and the iTPC upgrade as iTPC ($|\eta| < 1.5$) for simplicity.

The impetus for running STAR during the year of 2023-2025 in terms of bulk correlation measurements in Au+Au 200 GeV collisions comes from the gain in : i) extended acceptance and ii) enhanced statistics. In the first subsections, we briefly describe how these two opportunities can be exploited to perform correlations measurements that are unique to the physics goals of the RHIC heavy ion program.

Next, thanks to a reduced material budget between the beam and the iTPC, STAR will be 1745 uniquely positioned to perform dielectron measurements which we propose to probe degrees 1746 of freedom of the medium and its transport properties. For that we will use high precision 1747 dilepton excess yield, i.e. l^+l^- invariant mass distribution after subtraction of dilepton 1748 sources produced after freeze-out, and contributions from the initial collisions such as Drell-1749 Yan and correlated charm-anticharm pairs. Furthermore, we propose to study the virtuality, 1750 Wigner function and final-state magnetic field in QGP. For the latter the photon-photon 1751 collisions in ultra-peripheral, peripheral, and midcentral reactions and p+A (all centralities) 1752 in both channels e^+e^- , $\mu^+\mu^-$ will be measured with high accuracy. 1753

¹⁷⁵⁴ In the last subsections, we address our proposed charmonium measurements and motivate ¹⁷⁵⁵ the importance of STAR's proposed program of precise jet measurements to explore the ¹⁷⁵⁶ micro-structure of the QGP.

1757 2.4.1 Correlation Measurements Utilizing Extended Acceptance

Figure 51 demonstrates how STAR with the BES-II and forward upgrades will extend the 1758 two-particle phase-space (in terms of η_1 and η_2 with respect to beam rapidity) many times 1759 enabling us to perform correlation measurements over a wide window of relative pseudorapid-1760 ity. Since many of the important correlation measures are based on two-particle correlations, 1761 this enhanced phase-space will provide STAR with many advantages: 1) increase the number 1762 of pairs to bring better precision, 2) reduction in different sources of the non-flow background 1763 by increasing pseudorapidity separation. Many multi-particle correlations will also get bene-1764 fited due to increase in triplets, quadruplets and so on due to overall increase in acceptance. 1765 With this unique extended pseudorapidity reach offered by the BES-II and forward upgrade 1766 of the STAR detector, our goal is to perform correlation measurements aimed towards a 1767 deeper understanding of the largely unexplored three-dimensional structure of the initial 1768 state and temperature dependent transport properties of the subsequent fluid-like medium 1769 produced in heavy ion and small system collisions at RHIC. 1770

Two key sets of measurements are of interests: 1) pseudorapidity dependence of azimuthal correlations, 2) pseudorapidity dependence of global hyperon polarization.



Figure 51: A visual representation of two-particle phase space in pseudorapidity covered by STAR detectors with respect to the region allowed by maximum beam rapidity (Y_{beam} =5.36 at 200 GeV Au+Au collisions) of RHIC. Left and right panels show the capabilities before and after BES-II and forward upgrade of the STAR detector, respectively. Note that in addition to a larger pair acceptance, the EPD granularity is over an order of magnitude larger than that of the BBC, and individual EPD tiles are shown to be separable into 1, 2, 3 MIP responses.

Pseudorapidity-dependent azimuthal correlation to tightly constrain temperature dependence of viscosity

The idea of tightly constraining the temperature viscosity of the QGP was envisioned in 1775 the 2015 Long Range Plan for Nuclear Science [198]. The QCD matter formed at RHIC 1776 shows nearly perfect fluidity characterized by the smallest viscosity to entropy ratio η/s 1777 known in nature. The temperature dependence of η/s and other transport parameters has 1778 not been fully constrained. One major aim is to perform precision measurements to contain 1779 the temperature dependence of shear η/s (T) and bulk ζ/s (T) viscosity. Hydrodynamic 1780 simulations have demonstrated that since the temperature of the produced fireball in HICs 1781 vary with the rapidity, the measurement of the rapidity dependence of flow harmonics has 1782 the potential to constrain η/s (T) and ζ/s (T) [201]. For this, RHIC measurements have 1783 advantage over LHC since smaller beam rapidity at RHIC provides stronger variations of the 1784 temperature with rapidity. The beam energy scan at RHIC provides an additional handle 1785 on temperature to map η/s (T), and ζ/s (T) over a wide range of temperature. Indeed, the 1786 hydrodynamic simulation of Ref [201] indicates that η/s (T) at lower temperatures, near 1787 its possible minimum $(T = T_c)$, can be better constrained by RHIC measurements. Results 1788 from such simulations are shown in Fig. 52. In this simulation, a number of QCD-motivated 1789 parameterizations of the temperature dependence of the shear viscosity was assumed, as 1790 shown in Fig. 52 (left). Existing data from the PHOBOS collaboration suffer from large un-1791



Figure 52: (Left) Different parameterizations of temperature dependence of shear viscosity to entropy η/s (T) (at zero chemical potential) used in the hydrodynamical simulation of Ref [201]. Interestingly, it has been demonstrated in Ref [202] that the region of lowest η/s is the one that can be probed at RHIC. (Right) Effects on the elliptic flow co-efficient v_2 due to the different parameterizations of the viscosity parameter indicating better constraints on η/s (T) can only be performed by measurements at forward rapidities at RHIC. The interpretation of the existing PHOBOS data is limited by the large uncertainties. The projection for STAR measurements are shown on the same plot.

certainties, therefore only limited constrain on the temperature dependence of the transport 1792 parameters can be achieved. The BES-II and the forward upgrade of STAR will provide pre-1793 cise estimations of different azimuthal correlation observables: $v_n(\eta)$ and other higher-order 1794 (n > 2) flow coefficients $v_n(\eta)$, its fluctuations $\sigma(v_n)/v_n$ that have never been measured at 1795 forward rapidity and are essential in terms of constraining η/s (T) near its possible minimum. 1796 While transverse momentum integrated quantities can already constrain the shear viscosity, 1797 additional information of transverse momentum is essential to constrain the bulk viscosity 1798 ζ/s . With the forward tracking systems it will be possible to measure the $p_{\rm T}$ dependence of 1799 v_n – in particular the information of $\langle p_T \rangle$ is essential to constrain the bulk viscosity $\zeta/s(T)$. 1800 This can be done with a possible A + A collisions with the forward upgrade and running of 1801 STAR during the year 2023. 1802

Pseudorapidity-dependent azimuthal correlation to constrain the longitudinal structure of the initial state

Initial-state longitudinal fluctuations and fluid dynamical response of the medium formed in heavy ion collisions can lead to de-correlations of the direction of the reaction planes Ψ_n (which determines the orientation of the harmonic anisotropies) with pseudorapidity (see Fig. 53). Such effects are often referred to as torque or twist of the event shape [205–207] that eventually leads to a breaking of longitudinal/boost/rapidity invariance. The magnitude of the de-correlation is determined by the details of the dynamics of initial state, the distribution



Figure 53: (Left) Cartoon to demonstrate the de-correlation of event planes in the longitudinal direction of collision from gluon saturation based 3D-Glasma model [203] and wounded nucleon model (WNM) [204, 205]. (Right) The longitudinal de-correlation of elliptic anisotropy plane as a function of the pseudorapidity in units of beam rapidity. CMS results are compared predictions from two models in the left with STAR projection for Run 2023 (using preliminary Run 19 results) for anticipated 10 B min-bias events. The colored regions show that the current and future capabilities at STAR (with iTPC+EPD+FTS) can extend such measurements with good precision by covering a large fraction of the beam rapidity at 200 GeV – this demonstrates the unique strength to STAR to study the physics of 3D initial state.

of nucleons and partons inside the colliding nuclei. Several promising observables have been 1811 proposed to study this effect, Fig. 53 shows one which can be expressed as $r_n(\eta_a, \eta_b) =$ 1812 $V_{n\Delta}(-\eta_a,\eta_b)/V_{n\Delta}(\eta_a,\eta_b)$, where $V_{n\Delta}(\eta_a,\eta_b)$ is the Fourier coefficient calculated with pairs of 1813 particles taken from three different pseudorapidity regions $-\eta_a$, η_a and η_b . The observable 1814 $r_n(\eta_a, \eta_b)$ was originally introduced and measured by CMS collaboration in Ref [208] and also 1815 been measured by the ATLAS collaboration in [209]. An observable using three-particle 1816 correlations that is sensitive to this effect is [210] the relative pseudorapidity dependence of 1817 the three-particle correlator $C_{m,n,m+n}(\eta_a,\eta_b,\eta_c) = \langle \cos(m\phi_1(\eta_a) + n\phi_2(\eta_b) - (m+n)\phi_3(\eta_c) \rangle.$ 1818 Also, another one very similar to r_n in term of design but involves four-particle correlations 1819 is: $R_{n,n|n,n}(\eta_a,\eta_b)$ is also very useful to study this effect [211]. As shown in Fig. 53 CMS 1820 measurements of r_n show a strongest de-correlation (~ 16% for n=3, ~ 8% for n=2) in central 1821 events within the range of their acceptance. Initial state, described by gluon saturation, as 1822 simulated by the 3D-Glasma model, the breaking of boost invariance is determined by the 1823 QCD equations which predict the evolution of gluons in saturation regime with Bjorken-1824 x. At the LHC such models predict weaker de-correlation as compared to initial state 1825 described by wounded nucleon models and does a good job in explaining the r_2 data from 1826 CMS collaboration [203] but over-predicts r_3 results. One expect the nature of the initial 1827 state to change from LHC to RHIC, in particular the region of Bjorken-x probed is very 1828 different at RHIC. It is therefore extremely important to utilize the enhanced acceptance 1829 of the STAR detector with the Au+Au 200 GeV run to study this effect. In Fig.53 STAR 1830



Figure 54: (Left) Projections (along with preliminary data) for differential measurement of $\Lambda(\Lambda$ polarization over the extend range of pseudorapidity with the iTPC and FTS detectors of STAR that will help resolve tension between different theoretical model predictions (shown by curves) of polarization with η . In addition, projections for the measurements of spin-1/2 Ξ and spin-3/2 Ω particles is also shown. (Right) Spin alignment co-efficient ρ_{00} as a function of centrality, with projected errors based on ~ 10 billion events. The enhanced statistics run 2023 combined with excellent dilepton capability of STAR will enable us to measure J/ψ alignment along with increasing the significance of ϕ and K^{*0} measurements.

projection using preliminary Run 19 results for 10 B events is shown for the measurement 1831 of r_n within the acceptance $|\eta| < 1.5$. The colored regions show that the current and 1832 future capabilities at STAR (with iTPC+EPD+FTS) can extend such measurements using 1833 observables $r_n, C_{m,n,m+n}, R_{n,n|n,n}$ with good precision by covering either equal (iTPC only) or 1834 larger (iTPC+FTS+EPDs) fraction of the beam rapidity at 200 GeV compared to the LHC 1835 measurements. This unique measurement capability will help pin down the nature of the 1836 3-dimensional initial state of heavy ion collisions. It will also help constrain different models 1837 of QCD that predict the rapidity (or Bjorken-x) dependence of valance quark and gluon 1838 distribution inside colliding nuclei that has been demonstrated by theoretical calculations in 1839 Ref. [203, 212]. 1840

¹⁸⁴¹ Pseudorapidity dependence of global hyperon polarization

The global polarization of hyperons produced in Au+Au collisions has been observed by 1842 the STAR collaboration [20]. The origin of such a phenomenon has hitherto been not fully 1843 understood. Several outstanding questions remain. How exactly the global vorticity is dy-1844 namically transferred to the fluid like medium on the rapid time scales of collisions? Then, 1845 how does the local thermal vorticity of the fluid gets transferred to the spin angular momen-1846 tum of the produced particles during the process of hadronization and decays? In order to 1847 address some of these questions one may consider measurement of the polarization of differ-1848 ent particles that are produced in different spatial parts of the system, or at different times. 1849 A concrete proposal is to: 1) measure the $\Lambda(\bar{\Lambda})$ polarization with pseudorapidity and 2) mea-1850 sure it for different particles such as Ω and Ξ . Both are limited by the current acceptance and 1851

statistics available to the STAR collaboration. However, as shown in Fig.54 with the addition 1852 of iTPC, FTS and with high statistics data from run 2023 it will be possible to preform such 1853 measurements with a reasonable significance. iTPC (+TPC) has excellent PID capability to 1854 measure all these hyperons. Although FTS has no PID capability we can do combinatorial 1855 reconstruction of $\Lambda(\overline{\Lambda} \text{ candidates via displaced vertices. A similar analysis was performed$ 1856 and published by the STAR collaboration using the FTPC detector of STAR in Ref [213]. In 1857 order to make a conservative projection we assume similar momentum resolution of 10-20%1858 for single charged tracks, similar overall tracking efficiency, charge state identification capa-1859 bility for FTS and FTPC (see forward upgrade section for exact numbers). We also assume 1860 the FTS with it's novel-tracking framework will be able to measure a minimum separation 1861 of 20 cm between the all pairs of one positive and one negative track (a possible decay ver-1862 tex) from the main vertex of the event. This will give rise to about 5% efficiency of $\Lambda(\Lambda)$ 1863 reconstruction with about 15 - 20% background contribution from $K_S^0 \to \pi^+ + \pi^-$ [213] for 1864 which we can make projections for polarization measurement in Au+Au 200 GeV 40 - 80%1865 assuming 10 Billion minimum-bias events as shown in Fig. 54. Currently theoretical models 1866 predict contradictory trends for the pseudorapidity dependence Λ polarization. If the initial 1867 local orbital angular momentum driven by collision geometry [214] play dominant role it will 1868 lead to increases of polarization with pseudorapidity. On the other hand if the local thermal 1869 vorticity and hydrodynamic evolution [215] play a dominant role it will predict decreasing 1870 trend or weak dependence with pseudorapidity. Such tensions can be easily resolved with 1871 the future proposed measurement during the run 2023. 1872

1873 2.4.2 Correlation Measurements Utilizing the Enhanced Statistics

Over the past years the STAR collaboration has pursued dedicated measurements at Au+Au1874 collisions at $\sqrt{s_{\rm NN}} = 200$ GeV that have major discovery potential but are intrinsically statis-1875 tics hungry. In the past, attempts have been made to combine datasets from several years to 1876 increase the significance of such measurements. This results in additional uncorrectable sys-1877 tematic uncertainties in the measurements, mostly due to run-to-run variation of detector 1878 response and collision conditions. A single stable long run with similar detector condi-1879 tions, as anticipated during Run-23 will not only reduce the statistical uncertainty but will 1880 also bring the systematics under control. In the following section and also in section 1.2.61881 we propose correlation measurements that will utilize the enhanced statistics from Run-1882 23 and that can lead to high-impact results. To start with we can assume STAR DAQ 1883 to collect data at the rate of 1.5 kHz and a combined RHIC \times STAR uptime of 50% (12) 1884 hour/day) for 24 weeks of running during Run-23. This will lead to the accumulation of 1885 about $24 \times 7 \times 86400 \times 0.5 \times 1500 \approx 10$ billion events. 1886

1887 Global spin alignment of J/ψ

¹⁸⁸⁸ Surprisingly large signals of global spin alignment of vector mesons such as $\phi(1020)$ and ¹⁸⁸⁹ $K^{*0}(892)$ have been measured via the angular distribution of one of their decay products. ¹⁸⁹⁰ By far the experimental observation of vector meson spin alignment have not been inter-¹⁸⁹¹ preted satisfactorily by theory calculations. It has been realized that the mechanism driving



Figure 55: Projection for measurement of ratio of sixth order over second order cumulants of net-proton distribution.

the global polarization of hyperons can have its imprint on vector mesons spin alignment 1892 albeit the observed strength of signals for the two measurements cannot be reconciled. In 1893 fact the large quantitative difference between the measurements of $\phi(1020)$ and $K^{*0}(892)$ 1894 spin alignment as shown in Fig. 54 (right) cannot be simultaneously explained by conven-1895 tional mechanisms of spin-orbit coupling, driven by angular momentum, without invoking 1896 the strong force fields. It is argued that the strong force field makes a dominant contribution 1897 to the spin-alignment coefficient ρ_{00} of ϕ , while for K^{*0} , the contribution is diminished due 1898 to the mixing of quark flavors (averaging-out of different meson fields) [216,217]. Therefore, 1899 the current preliminary experimental data from STAR (Fig. 54, showing $\rho_{00}(\phi) > \rho_{00}(K^{*0})$) 1900 support the role of strong force field as a key mechanism that leads to global spin align-1901 ment. However, a stringent test of such a prediction can be performed by measuring the 1902 spin alignment of J/ψ . This is because the similar argument applies for both ϕ and J/ψ , 1903 i.e. like s and \bar{s} , the strong field component also couples to c and \bar{c} quarks leading to larger 1904 ρ_{00} for J/ψ . In Fig. 54(right) we present the projected errors for ρ_{00} of J/ψ estimated for 1905 various centralities assuming 200 million events (24 weeks running) anticipated in Run-23 1906 by implementing High Tower (HT) triggers with the Barrel Electromagnetic Calorimeter. 1907 It is worth to mention that apart from J/ψ spin alignment, such a large statistics data set 1908 will also allow addition differential study of global spin alignment of ϕ and K^{*0} and help to 1909 further elucidate the mechanism behind vector meson spin alignment. 1910

¹⁹¹¹ Sixth order cumulant of net-proton distributions

¹⁹¹² LQCD calculations [218, 219] predict a sign change of the susceptibility ratio χ_6^B/χ_2^B with ¹⁹¹³ temperature (T at $\mu_B = 0$) taking place in the range of 145-165 MeV. The observation of ¹⁹¹⁴ this ratio going from positive to negative values is considered to be a signature of crossover ¹⁹¹⁵ transition. As described in the previous section, the cumulants of net-proton distribution ¹⁹¹⁶ are sensitive to the chiral crossover transition at vanishing baryon chemical potential. Inter-¹⁹¹⁷ estingly, as reported in the last BUR and in the recent Quark Matter 2019, the preliminary ¹⁹¹⁸ results from STAR [220] observed $C_6/C_2 > 0$ in 54.4 GeV while $C_6/C_2 < 0$ in 200 GeV in

central Au+Au collisions. The observation of positive C_6/C_2 at lower energies can be further 1919 confirmed by higher statistics data sets from the BES-II program over the energy range of 1920 7.7-19.6 GeV, which also include the increased acceptance iTPC. The observation of negative 1921 C_6/C_2 is intriguing and by far only seen at 200 GeV and based on the current STAR data 1922 has less than 2.5σ significance in terms of statistical uncertainties. The currently systematic 1923 uncertainty is of similar order as statistical uncertainty mainly due to combining data sets 1924 from Runs 10 and 11. As shown in the projection plot of Fig. 55 it is possible to establish 1925 definitive observation of negative C_6/C_2 at 200 GeV with nearly 10 billion minimum-bias 1926 events collected during the Run-23 with 15% increase in the reconstruction efficiency and 1927 enhanced acceptance of the iTPC detector upgrade. A similar measurement can be per-1928 formed at the LHC however only STAR measurements can pinpoint the region of T and 1929 μ_B where this phenomenon occurs. In other words it can establish if that the sign change 1930 occurs somewhere between 54.4 GeV and 200 GeV. Such measurement has the potential to 1931 establish the first experimental observation of QCD chiral crossover transition. 1932

¹⁹³³ 2.4.3 Electromagnetic Probes

¹⁹³⁴ Probing the degrees of freedom of the medium and its transport properties

As discussed in Sect. 1.1.3, at $\mu_B \sim 0$ Lattice QCD works and can be directly tested against 1935 experimental results. This will help to disentangle the ρ melting from other explanations 1936 such as collision broadening. In case the measured in-medium spectral function merges into 1937 QGP description this would indicate a transition from hadrons into a structure-less quark-1938 antiquark continuum and thus providing the manifestation of chiral symmetry restoration. 1939 To study this, we will continue to search for a direct signature for chiral symmetry restoration 1940 via chiral ρ - a_1 mixing. The signal is predicted to be detectable in the dilepton intermediate 1941 mass range. Difficulties are related to the fact that correlated charm-anticharm and QGP 1942 saturate invariant mass region of $1.1 - 1.3 \text{ GeV}/c^2$. Therefore an accurate measurement 1943 of the excess dilepton yield, i.e. dilepton yield after subtraction of the cocktail of contribu-1944 tions from final-state decays, Drell-Yan and those from correlated heavy-flavor decays, up 1945 to invariant mass of 2.5 GeV/c^2 is required. The challenging analysis on charmed-decayed 1946 dielectron is ongoing from the data sets taken with the Heavy Flavor Tracker at STAR [221]. 1947 Thus deeper understanding of origin of thermal radiation in Au+Au collisions at $\sqrt{s_{\rm NN}}=$ 1948 200 GeV from \sim zero mass up to 2.5 GeV/ c^2 will become possible with rigorous theoretical 1949 efforts and improved dielectron measurements. Figure 56 shows the expected statistical and 1950 systematic uncertainties of dielectron excess mass spectrum with all the detector upgrades 1951 and for the anticipated total Run-23/Run-25 statistics of 20×10^9 events. 1952

Another application of dileptons is to use them to measure transport coefficients. The electrical conductivity can be directly obtained as the low-energy limit of the EM spectral function. We aim to extract such information by studying excess dielectron yield at the low-energy regime of dilepton spectra and the conductivity peak at small invariant masses, i.e. at low invariant mass and low p_T^{ee} . Low field run could be profitable, however already now dileptons with pair p_T^{ee} down to 60 MeV/c could be measured. Measurement of Drell-Yan in p+A collisions at low p_T would provide an important reference to constrain dilepton



Figure 56: The expected statistical and systematic uncertainties of dielectron excess mass spectrum with the iTPC upgrade compared to the current TPC case. The data are from our measurements in $\sqrt{s_{\rm NN}} = 200$ GeV Au+Au collisions [58]. Model comparisons are also shown. The boxes represent systematic uncertainties from data and the brackets represent the total systematic uncertainties including those from cocktails. The grey ones are for the current case while the green ones are for the expected case. The blue bands represent statistical uncertainties from 20 billion minimum-bias events with the iTPC upgrade.

1960 cocktail.

¹⁹⁶¹ To gain deeper understanding of the microscopic origin of the excess radiation, we will

- separate early from later time radiation by measuring dilepton elliptic flow (v_2) as a function of dilepton mass;
- identify the source of dilepton radiation by studying dilepton polarization versus in variant mass (helicity angle);
- measure precisely the lifetime of the interacting fireball. As an observable we will use integrated low-mass yield but also compare explicit model calculations with various $\tau_{fireball}$;
- extract an average radiating source temperature from the fit of Boltzmann distribution to the invariant mass slope in the range $1.1 - 2.5 \text{ GeV}/c^2$ spectrum. The higher the invariant mass, the stronger the QGP contribution to the spectrum, the higher the chance to measure temperature of QGP.

Last, but not least, concerning direct-photon emission, the existing difference, on the order of a factor of two, between the low momentum spectra from PHENIX and STAR in 200 GeV Au+Au collisions, has to be resolved. In order to clarify the direct photon puzzle we will measure direct virtual photon yield as well as its elliptic flow coefficient. We will particularly focus on low $p_T \eta$ measurement which might be instrumental in clarifying this long standing question.

Studying the photon Wigner function and final-state magnetic fields in the QGP 1979 The unsuccessful description of STAR data by the STARLight model led to the attribution 1980 of the broadening to the possible residual magnetic field trapped in an electrically conducting 1981 QGP, which is the key information to study the chiral magnetic effect. Similarly, the ATLAS 1982 collaboration qualified the effect via the acoplanarity of lepton pairs in contrast to the mea-1983 surements in UPC and explained the additional broadening by the multiple electromagnetic 1984 scatterings in the hot and dense medium, which is analogous to the medium P_{\perp} -broadening 1985 effects for jet quenching. These descriptions of the broadening effect in hadronic collisions 1986 are based on the assumption that there is no impact parameter dependence of the transverse 1987 momentum distribution for the electromagnetic production. Recent lowest-order QED cal-1988 culation, in which the impact parameter dependence is recovered, could reasonably describe 1989 the broadening observed by STAR and ATLAS without any in-medium effect. To solve 1990 the puzzle, we propose to precisely study the initial P_{\perp} -broadening for the dilepton pair in 1991 ultra-peripheral collisions. Different neutron emission tag serve as the centrality definition, 1992 and will allow us to explore the broadening baseline variation with impact parameter. Fur-1993 thermore, the differential spectrum as a function of pair P_{\perp} , rapidity, and mass enable us to 1994 study the Wigner function of the initial electromagnetic field, which provide the information 1995 to extract the momentum and space correlation of EM field. 1996



Figure 57: (Color online) Projections for measurements of the $\gamma\gamma \rightarrow e^+e^-$ process in peripheral and ultra-peripheral collisions. Left: The $\sqrt{\langle p_T^2 \rangle}$ of di-electron pairs within the fiducial acceptance as a function of pair mass, M_{ee} , for 60 – 80% central and ultra-peripheral Au+Au collisions at $\sqrt{s_{NN}} = 200$ GeV. Right: The projection of the cos $4\Delta\phi$ measurement for both peripheral (60–80%) and ultra-peripheral collisions.

As shown in Fig. 57, comparing with the latest QED calculation, there still exists additional broadening in peripheral collisions, although the significance is about 1σ , which still leave room for the medium effect. In Runs 23/25, as projected in the figure, we could judge the existence of additional broadening with much higher precision and further constrain the strength of final-state magnetic field in QGP.

Precision measurement of the amplitude of the recently observed $\cos 4\Delta\phi$ modulation of

the $\gamma\gamma \rightarrow e^+e^-$ process will allow precision mapping of the photon Wigner function and 2003 provide additional constraints on possible final-state effects, thereby complementing the P_{\perp} 2004 broadening measurement. Fig 57 right panel shows the projected precision for a measurement 2005 of the $\cos 4\Delta\phi$ modulation in Run 23/25. The modulation is a direct result of the mismatch 2006 in initial and final spin configuration of the $\gamma\gamma \to e^+e^-$ process. Any final-state effect that 2007 modifies the P_{\perp} will necessarily reduce the amplitude of the modulation. Assuming the same 2008 central value as previously measured, evidence for suppression of the $\cos 4\Delta\phi$ modulation 2009 will be visible at the > 3σ level (stat. & syst. uncertainty). Precision measurement of the 2010 $\cos 4\Delta\phi$ modulation in Run 23/25 may also allow a first direct experimental measurement 2011 of impact parameter dependence of this new observable (by comparing UPC and 60 - 80%). 2012 Assuming the same central values as previously measured, the improved precision will provide 2013 evidence for impact parameter dependence at the > 3σ level (stat. & syst. uncertainty). 2014 Assuming the central value predicted by QED would lead to a $> 5\sigma$ difference between the 2015 UPC case and the 60 - 80% case. 2016

²⁰¹⁷ Ultra-peripheral Au+Au collisions: probe gluon distribution inside nucleus

STAR recently observed a significant $\cos 2\Delta\phi$ azimuthal modulation in $\pi^+\pi^-$ pairs from 2018 photonuclear ρ^0 and continuum production. The structure of the observed modulation as 2019 a function of the $\pi^+\pi^-$ pair transverse momentum, P_{\perp} , appears related to the diffractive 2020 pattern. Recent theoretical calculations [222], which implemented linearly polarized pho-2021 tons interacting with the saturated gluons inside a nucleus, have successfully described the 2022 qualitative features of the observed modulation (see Fig. 58), and indicate that the detailed 2023 structure of the $\cos 2\Delta\phi$ modulation vs. P_{\perp} is sensitive to the nuclear geometry and gluon 2024 distribution. Data from Run 23/25 would allow the additional statistical reach needed to 2025 perform multi-differential analysis, proving stronger theoretical constraints. Specifically, 2026 multi-differential analysis of the $\cos 2\Delta\phi$ modulation with respect to pair rapidity and pair 2027 mass are needed. Multi-differential analysis with respect pair mass is needed to separate 2028 the ρ^0 production from the continuum Drell-Soding production. Multi-differential analysis 2029 with respect to the pair rapidity is needed to quantitatively investigate how the double-slit 2030 interference mechanism effects the structure of the observed azimuthal modulation. Addi-2031 tional statistical precision is also needed for measurement of the higher harmonics. Similar 2032 measurements with $J/\Psi \rightarrow e^+e^-$ can be performed and such measurements at higher mass 2033 provide better comparison with more reliable QCD calculation. 2034

Ultraperipheral A + A collisions, where photons generated by the Lorentz-boosted elec-2035 tromagnetic field of one nucleus interact with the gluons inside the other nucleus, can provide 2036 certain 3D gluonic tomography measurements of heavy ions, even before the operation of the 2037 future EIC. STAR has performed the experimental measurements of the photoproduction of 2038 J/ψ at low transverse momentum in non-UPC heavy-ion collisions [223], accompanying the 2039 violent hadronic collisions. A detailed study with pt distribution has shown that the |t| dis-2040 tribution in peripheral collisions is more consistent with the coherent diffractive process than 2041 the incoherent process. Although models [224, 225] incorporating different partial coherent 2042 photon and nuclear interactions could explain the yields, it remains unclear how the coherent 2043



Figure 58: Left: Measurement of the $\cos 2\Delta\phi$ modulation of $\pi^+\pi^-$ pairs from photonuclear ρ^0 and continuum production compared to theoretical predictions [222]. Projections are shown for a similar measurement of the azimuthal modulation of e^+e^- pairs from photonuclear production of the J/ψ . Center: Projection of the dN/dy of photoproduced J/ψ in non-UPC events vs. the event centrality (N_{part}) compared to various theoretical production scenarios. Right: Projection of the tspectra of photoproduced J/ψ in 40 – 80% central collisions.

²⁰⁴⁴ process happens and whether final-state effects play any role [226]. Resolving this puzzle ²⁰⁴⁵ with high statistical data and detailed |t| distributions at different centralities at RHIC as ²⁰⁴⁶ projected for 2023-2025 runs in Fig. 58 may be important for understanding what defines ²⁰⁴⁷ the coherentness of the photoproduction, how vector mesons are formed in the process and ²⁰⁴⁸ how exclusive the similar process has to be in future EIC experiment with forward neutron ²⁰⁴⁹ veto/tagging.

2050 2.4.4 Deconfinement and Thermalization With Charmonia Masurements

²⁰⁵¹ Measurements of charmonia in heavy-ion collisions provide important information about ²⁰⁵² the thermodynamic properties of the created medium. Production of J/ψ mesons in Au+Au ²⁰⁵³ collisions at RHIC was found to be suppressed compared to the production in proton+proton ²⁰⁵⁴ collisions. The suppression of charmonium states is due to a screening of the $c\bar{c}$ potential by ²⁰⁵⁵ the medium color charges. In addition, the J/ψ production can be affected by recombination ²⁰⁵⁶ of charm quarks in a later stage of the collision evolution. The regeneration mechanism is ²⁰⁵⁷ expected to contribute mostly at the low J/ψ transverse momentum range.

In particular, STAR proposes to utilize the Run-23/25 RHIC heavy-ion runs to measure: (i) low transverse momentum J/ψ elliptic flow (v_2) in order to study in more details the recombination mechanism (ii) J/ψ directed flow (v_1) that will allow us to study the initial tilt of the bulk medium (iii) suppression of the loosely bounded $\psi(2S)$ state to explore the temperature profile of the medium.

An important observable for studying the properties of the deconfined medium is the second order flow harmonic of the Fourier expansion of the azimuthal distribution of the produced hadrons, the elliptic flow coefficient v_2 . Similarly as in the case of light hadrons, a positive v_2 of *D*-mesons and electrons from heavy-flavor hadron decays was observed at RHIC energies of 54.4 and 200 GeV. Which suggests that the charm quarks may (partially) thermalize and participate in the bulk medium collective evolution. On the other hand, the

 v_2 of heavier J/ ψ reported by STAR based on the 2010 Au+Au 200 GeV data sample was 2069 found to be consistent with zero, albeit within large statistical uncertainties and systematic 2070 uncertainties due to non-flow effects. The precision of the measurement was also not enough 2071 to distinguish between theoretical model calculations that assume only primordial J/ψ pro-2072 duction and the ones that include additional J/ψ production via the recombination. This 2073 calls for a larger sample of heavy-ion data at 200 GeV, as will be provided by RHIC in 2023 2074 and 2025, in order to observe a possible non-zero $J/\psi v_2$ at RHIC energies and put more 2075 constraints on the J/ψ production models especially regarding its regeneration. Particularly 2076 important for these studies will be the STAR potential to measure low transverse momentum 2077 J/ψ with a very good precision. This excellent low- p_T performance at STAR can be achieved 2078 thanks to its low material budget and great particle identification capabilities. 2079



Figure 59: Projections for the J/ψ ($J/\psi \rightarrow e^+e^-$) directed (v_1) and elliptic (v_2) flow vs J/ψ p_T in 0-80% Au+Au collisions at 200 GeV, assuming 20B MB events and HT triggered events corresponding to an integrated luminosity of 75 nb^{-1} with $|V_Z| < 30$ cm.

Moreover, the second order Event Plane (EP) can be reconstructed using the new Event 2080 Plane Detectors (EPD) installed before the 2018 run. It is expected that using the EPD, 2081 that are forward detectors, will significantly decrease the contribution from the non-flow 2082 effects and consequently the measurement systematic uncertainties. Also, an inverse of the 2083 EP resolution enters directly the $J/\psi v_2$ uncertainty calculation. Thanks to EPD, the res-2084 olution of the EP reconstruction at forward rapidity for the $J/\psi v_2$ measurement at STAR 2085 will improve. Figure 59 presents statistical projections for the $J/\psi v_2$ measurement in 0-80% 2086 central Au+Au collisions assuming 20B MB events and HT triggered events corresponding 2087 to an integrated luminosity of 75 nb^{-1} . Both cases of the second order EP reconstruction, 2088 using forward EPD and mid-rapidity TPC detectors, are considered and shown. However, 2089 measurements for which the TPC is utilized for the EP reconstruction suffer from a sub-2090 stantial non-flow contribution which would be greatly reduced by reconstructing the second 2091

order Event Plane with the EPD. A clear significant improvement in the precision of the J/ ψ v_2 can be seen across the whole experimentally accessible J/ ψ $p_{\rm T}$ coverage of the previous measurement. In addition, the new larger dataset would allow to extend the measured $p_{\rm T}$ range beyond 10 GeV/c.

Studies of the directed flow v_1 as a function of rapidity provide crucial information to 2096 understand the initial tilt of the medium produced in heavy-ion collision. The heavy quarks 2097 are produced in the early stage of a heavy-ion collision and thus are of a particular interest 2098 for the medium initial asymmetry studies. STAR recently reported the first measurement of 2099 D-meson v_1 in Au+Au collisions at 200 GeV where the magnitude of the heavy-flavor meson 2100 v_1 is about 25 times larger than the v_1 for charged kaons. With the 2023-2025 data, STAR 2101 would have a unique opportunity to also study the v_1 of a bound $c\bar{c}$ state, the J/ψ mesons, 2102 for which even larger directed flow can be expected [227]. In addition to the STAR excellent 2103 capability to reconstruct low- $p_T J/\psi$, as discussed above, the iTPC detector completed in 2104 2018 will improve the momentum resolution and extend the STAR pseudorapidity coverage 2105 around the mid-rapidity. This will provide a better precision for the slope extraction of the 2106 v_1 vs y measurement, that quantifies the strength of directed flow. The expected precision 2107 of a $J/\psi v_1$ measurement vs p_T at STAR in 2023-2025, assuming 20B MB events and HT 2108 triggered events corresponding to an integrated luminosity of 75 nb^{-1} , in 0-80% central 2109 Au+Au collisions at 200 GeV is shown in Fig. 59. Together with the $J/\psi v_2$ measurements, 2110 v_1 would provide a more complete picture of the J/ψ production mechanism as well as the 2111 medium properties in heavy-ion collisions at RHIC. 2112



Figure 60: Projections for the J/ψ and $\psi(2S)$ signals in 60-80% Au+Au collisions at 200 GeV and the yield ratio in various centrality bins. The improvement of momentum and dE/dx resolution thanks to the STAR iTPC upgrade have not been taken into account.

 $\psi(2S)$ is the most loosely bounded quarkonium state accessible in the heavy-ion collision experiments. Its dissociation temperature is predicted to be around or below the critical temperature and is much less than that of J/ψ and Υ states. It is more likely to be dissociated in the early stage and in the core of the fireball and the $\psi(2S)$ survived may have significant contribution from the regeneration at later stage in the evolution of the fireball.

The relative suppression of $\psi(2S)$ and J/ψ is sensitive to the the temperature profile of the 2118 fireball produced in heavy-ion collisions and its space-time evolution. It is also argued that 2119 the charmonium formation process from a $c\bar{c}$ pair may be affected by the QGP or the ini-2120 tial strong external magnetic field, altering the relative yields among different charmonium 2121 states [228, 229]. The measurement of $\psi(2S)$ is much more difficult than that of J/ψ due to 2122 a much smaller production cross-section and dilepton decay branching ratio, resulting in a 2123 very low signal-to-background ratio. The ALICE Collaboration successfully measured the 2124 relative suppression of $\psi(2S)$ and J/ψ in Pb+Pb collisions at forward rapidity [230], and the 2125 ATLAS and CMS Collaboration published the relative suppression in Pb+Pb collisions at 2126 mid-rapidity and high p_T [231, 232]. The attempt of measuring $\psi(2S)$ suppression in heavy-2127 ion collisions at RHIC has no success as to date. The low material budget and excellent 2128 particle identification capability of the STAR detector together with the large data sample 2129 in 2023 and 2025 will provide a unique opportunity to measure the suppression of $\psi(2S)$ at 2130 low p_T and mid-rapidity in heavy-ion collisions. Figure 60 shows the projections of $\psi(2S)$ 2131 signal and the yield ratio of $\psi(2S)$ and J/ψ in Au+Au collisions assuming 20B MB events. 2132 The improvement of momentum and dE/dx resolution thanks to the STAR iTPC upgrade 2133 will further enhance the signal-to-background ratio and the significance of $\psi(2S)$ signal. 2134

2135 2.4.5 Jet Probes

Precise jet quenching measurements with reconstructed jets over a broad kinematic range 2136 at RHIC are essential to meet the goal of the NSAC 2015 Long Range Plan, to "probe the 2137 inner workings of the QGP" [198]. For example, the dependence of jet energy loss on the jet 2138 $p_{\rm T}$ and the resolution or angular scale tagged by jet substructure observables are key tools 2139 to discriminate jet quenching mechanisms [233–236]. In addition, the measurement of jet 2140 acoplanarity as a probe of in-medium jet scattering is most sensitive at low jet $p_{\rm T}$ to a given 2141 momentum transfer and to medium-induced radiative effects [237], and is least affected by 2142 background due to vacuum Sudakov radiation [238]. 2143

The highest-statistics STAR Au+Au collision datasets currently available were recorded in 2014 and 2016, with the integrated luminosity sampled by STAR BEMC triggers shown in Table 10. Preliminary jet analyses using the 2014 dataset are discussed in section 1.1.1 and are moving towards publication. STAR will continue to exploit these rich datasets to carry out high-precision measurements with fully reconstructed jets over the full RHIC phase space.

The 2023 and 2025 runs will generate another significant increase in sampled integrated 2150 luminosity, enabling a third generation of STAR heavy-ion jet measurements that are yet 2151 more differential and precise. STAR's open geometry near the beam pipe allows it to utilize 2152 a wide range in the vertex position along the beam direction (vz) for jet analyses, thereby 2153 utilizing the RHIC luminosity efficiently. Optimization of the vz range used in an analysis 2154 entails a balance between statistical precision and complexity of corrections, with the latter 2155 influencing the systematic uncertainty of the measurement. Recent STAR jet measurements 2156 in Au+Au collisions have employ two different z-vertex cuts: the inclusive charged-particle 2157 jet analysis [7] utilizes |vz| < 30 cm, whereas the γ_{dir} + jet analysis [10] utilizes |vz| < 702158



Figure 61: Ratio of semi-inclusive distributions of charged-particle jets (anti- $k_{\rm T}$, R = 0.5) recoiling from a direct-photon trigger with $15 < E_{\rm T} < 20$ GeV for central Au+Au collisions at $\sqrt{s_{\rm NN}} = 200$ GeV measured by STAR (numerator) and p+p collisions simulated by PYTHIA (denominator). The pink band shows the cumulative uncertainty for the current analysis based on 10 nb⁻¹ [10], while the green band shows the projected uncertainty for 110 nb⁻¹. Theory calculations are discussed in [10].

cm. With the success of the γ_{dir} + jet analysis in analyzing this broad vz range with good 2159 systematic precision, we will re-examine this cut for future jet measurements, including the 2160 inclusive jet analysis. In section 2.4 we present the sampled integrated luminosity in 2023 and 2161 2025 for both the 30 cm and 70 cm vz cuts. The following physics performance projections 2162 are based on the 70 cm cut, using the cumulative sampled integrated luminosity for Runs 2163 2014, 2016, 2023 and 2025 together. For |vz| < 70 cm, this total is 110 nb⁻¹, which is a 2164 factor 11 increase in trigger statistics relative to the current analyses based on Run 14 data. 2165 To quantify the effect of this marked increase in integrated luminosity, we utilize two 2166 mature jet measurements currently in progress and discuss their expected improvement 2167 with enhanced integrated luminosity. These analyses are the semi-inclusive distribution 2168 of charged-particle jets recoiling from a high- $E_{\rm T}$ direct-photon trigger ($\gamma_{\rm dir}$ + jet); and the 2169 differential measurement of energy loss for jet populations selected by varying a substructure 2170 metric. Since these analyses are mature, their analysis methodologies and correction schemes 2171 are optimized, so that their projections based on increased statistics are meaningful. We do 2172 not imply that these will be the only flagship measurements that STAR will make with the 2173 '23/'25 datasets; in addition we will focus for instance on fully reconstructed jets and uti-2174 lize additional substructure observables, including those not yet developed. However, these 2175 analyses are most mature at present, and therefore provide the most accurate projections of 2176 gain in precision. 2177

²¹⁷⁸ Semi-inclusive γ_{dir} + jet measurement

Figure 61 shows I_{AA} for fully-corrected semi-inclusive distributions of charged-particle jets (anti- $k_{\rm T}$, R = 0.5) recoiling from a direct-photon trigger with $15 < E_{\rm T} < 20$ GeV in central ²¹⁸¹ Au+Au collisions at $\sqrt{s_{\rm NN}} = 200$ GeV, for the current analysis based on 10 nb⁻¹ [10] within ²¹⁸² |vz| < 70 cm, and projected for 110 nb⁻¹. Significant reduction in the uncertainty band is ²¹⁸³ seen to result from the increase in integrated luminosity, together with significant increase ²¹⁸⁴ in kinematic reach.

Note that the projection to 110 nb^{-1} only takes into account the increase in statistical 2185 precision, and assumes that the systematic uncertainty remains the same. The reduction in 2186 width of the uncertainty band is therefore less than the factor $1/\sqrt{11}$ expected from statistical 2187 considerations alone, indicating the magnitude of the systematic component. Experience 2188 shows that systematic uncertainty can also be improved by an increase in statistical precision, 2189 since additional and more differential systematic studies can be carried out. However, it is 2190 not possible to project that improvement with confidence at present; thus Fig. 61 should 2191 therefore be regarded as a conservative estimate of the improvement in precision of this 2192 measurement channel with the projected integrated luminosity increase. 2193

Broadening of the back-to-back di-jet angular distribution due to jet scattering from con-2194 stituents of the QGP (medium-induced acoplanarity) was proposed over three decades ago 2195 as a diagnostic probe of the QGP [239, 240]. While the physical picture of this process is 2196 intuitive and compelling, such measurements are extremely challenging, because of both the 2197 large jet backgrounds in heavy ion collisions, and the large contribution of vacuum QCD pro-2198 cesses (Sudakov radiation) to the di-jet angular distribution [238]. In addition, minimization 2199 of these two effects nominally drives the experimentalist in opposite directions: minimization 2200 of background effects prefers larger $p_{T,iet}$, whereas minimization of Sudakov broadening and 2201 higher sensitivity to medium-induced momentum transfer prefers lower $p_{T,jet}$ [238]. These 2202 contradictory requirements were resolved only with the development of absolutely normalized 2203 semi-inclusive jet measurements in heavy-ion collisions, with statistically-based background 2204 corrections that enable measurements at low $p_{T,jet}$ and large R [1,241]. 2205

The first generation searches for medium-induced acoplanarity using this approach did 2206 not exhibit a significant signal above background [1, 241], though with limited statistical 2207 precision. Higher-precision measurements of medium-induced acoplanarity over a broad $p_{T,iet}$ 2208 range – including low $p_{T,jet}$ – are clearly of great interest at both RHIC and the LHC. Such 2209 measurements may provide a direct probe of \hat{q} [238], or evidence of large-angle jet scattering 2210 off of quasi-particles in the QGP [242]. Consideration of higher-order processes suggests that 2211 the contribution of radiative corrections to this distribution may be negative [237], thereby 2212 narrowing rather than broadening the recoil jet azimuthal distribution; a recent preliminary 2213 measurement by the ALICE Collaboration at the LHC may indeed have observed such an 2214 effect [243]. Complementary measurements of medium-induced acoplanarity over wide phase 2215 space by STAR at RHIC and ALICE at the LHC, using similar instrumentation and similar 2216 analysis techniques, promise to provide strong constraints on theoretical descriptions of this 2217 fundamental process, providing new insight into the inner workings of the QGP [198]. 2218

Figure 62 shows the semi-inclusive distribution of the azimuthal separation between a direct-photon trigger with $15 < E_{\rm T} < 20$ GeV and a charged-particle jet (anti- $k_{\rm T}$, R = 0.5) with $10 < p_{\rm T,jet}^{\rm ch} < 15$ GeV/c, in central Au+Au collisions at $\sqrt{s_{\rm NN}} = 200$ GeV with only statistical error bars, based on the analysis described in [10]. Azimuthal smearing of this



Figure 62: Semi-inclusive azimuthal distribution of charged jets (anti $-k_{\rm T}$, R=0.5) with 10 < $p_{\rm T,jet}^{\rm ch}$ < 15 GeV/c recoiling from a direct photon trigger with 15 < $E_{\rm T}^{\rm trig}$ < 20 GeV, in central Au+Au collisions at $\sqrt{s_{\rm NN}}$ = 200 GeV. Grey points: current analysis with 10 nb⁻¹ [10]; red points: projection for 110 nb⁻¹. Error bars are statistical only.



Figure 63: Two-panel figure showing statistical uncertainty for the two-subjet observables in 0-20% central Au+Au collisions for 10 nb^{-1} in blue and projection for 110 nb^{-1} in red.

²²²³ observable due to uncorrelated background is small, and such acoplanarity measurements ²²²⁴ are therefore strongly statistics-dominated [1, 241]. The grey points are from the current ²²²⁵ analysis based on 10 nb⁻¹ [10], whereas the red points correspond to 110 nb⁻¹. A marked ²²²⁶ increase in measurement precision is projected, with corresponding qualitative increase in ²²²⁷ physics impact. Similar improvements in precision for this observable are expected at the ²²²⁸ LHC in Run 3, due to detector upgrades and enhanced machine luminosity [155].

2229 Jet measurement with a varying substructure metric

An important facet of the third generation of STAR jet measurements is a systematic 2230 exploration of parton energy loss based on controlled variation of the jet shower topology. 2231 Jet evolution produces a unique pattern of radiation in both angle and momentum, and 2232 jet substructure observables are a broad class of measurements of combinations of the jet 2233 constituents' angle and/or momentum via algorithms or correlations. As the jet undergoes 2234 interactions with the medium, jet substructure modification for a given jet energy (e.g. com-2235 paring the heavy-ion results to those in p+p collisions) has been used as a way to access the 2236 microscopic properties of the medium. By selecting on jets based on their substructure, we 2237 can study how a particular class of jets interacts with the medium to determine the effects 2238 of e.g. color coherence, dead cone, etc. on parton-medium interactions. In other words, the 2239 STAR jet program for Runs 23–25 will focus on jet substructure as a jet-tagger. 2240

Recent theory calculations have shown significant differences between energy loss signa-2241 tures for jets that are perceived by the medium as a single or multiple color charges [235]. 2242 Algorithms such as SoftDrop and sub-jets [244, 245] provide observables that correspond 2243 to the splitting within jets via momentum fractions and an inherent angular scale which 2244 then serve as a proxy for the resolution scale in the medium. This is often referred to as 2245 coherent vs. de-coherent energy loss where the coherent length of the medium is related to 2246 its temperature and \hat{q} [246]. By isolating population of jets based on their substructure, 2247 one can directly probe energy loss for varying resolution scales. The integrated luminosity 2248

from Runs $\frac{23}{25}$ datasets will not only provide a substantial increase in statistics in the 2249 current measurements of jet substructure, they also make the phase space available for rare 2250 processes such as wide angle emissions from high- $p_{\rm T}$ jets. This enables STAR to extend our 2251 current measurements of differential energy loss |2| to fine binning in the opening angles and 2252 momentum fractions, as shown in Fig. 63. The current resolution of $\delta\theta_{\rm SJ} = 0.1$ [2] is predom-2253 inantly due to statistical limitations in our older dataset sample. The significant increase in 2254 integrated luminosity for Runs 23-25, coupled with excellent tracking resolution of the STAR 2255 TPC will reduce the opening angles resolution to 0.025 and have significant population of 2256 jets where we can further identify and select jet topologies in both z and θ and study energy 2257 loss in a three-dimensional fashion. By extending to high energy splittings within jets, at 2258 varied opening angles, we can probe earlier formation times whereby vacuum-like emissions 2259 and medium induced radiations are expected to occur. 2260

STAR is uniquely situated to perform high impact differential measurements of parton energy loss starting from the unbiased, semi-inclusive jet population, to a topologically special population of jets, selected via jet substructure observables.

Given the unique nature of jet-medium interactions at RHIC, with the jet and sub-jet scales sufficiently closer to the medium scale, the aforementioned measurements bolster the importance of the STAR jet program with the goal of extracting the microscopic properties of the QGP as outlined in the 2015 LRP.

²²⁶⁸ 3 Cold QCD Physics with $p^{\uparrow}p^{\uparrow}$ and $p^{\uparrow} + A$ Collisions at ²²⁶⁹ 510 and 200 GeV

The exploration of the fundamental structure of strongly interacting matter has always 2270 thrived on the complementarity of lepton scattering and purely hadronic probes. As the 2271 community eagerly anticipates the future Electron Ion Collider, an outstanding scientific 2272 opportunity remains to complete "must-do" measurements in p+p and p+A physics during 2273 the final years of RHIC. These measurements will be essential if we are fully to realize the 2274 scientific promise of the EIC, by providing a comprehensive set of measurements in hadronic 2275 collisions that, when combined with future data from the EIC, will establish the validity 2276 and limits of factorization and universality. The Run-22 and Run-24 program outlined here 2277 will, on the one hand, lay the groundwork for the EIC, both scientifically and in terms of 2278 refining the experimental requirements of the physics program at the EIC, and thus are 2279 the natural next steps on the path to the EIC. On the other hand, while much of the 2280 physics in this program is unique to proton-proton and proton-nucleus collisions and offers 2281 discovery potential on its own, when combined with data from the EIC it will provide a 2282 broad foundation to a deeper understanding of fundamental QCD. 2283

The separation between the intrinsic properties of hadrons and interaction-dependent 2284 dynamics, formalized by the concept of factorization, is a cornerstone of QCD and largely 2285 responsible for the predictive power of the theory in many contexts. While this concept 2286 and the associated notion of universality of the quantities that describe hadron structure 2287 have been successfully tested for unpolarized and, to a lesser extent, longitudinally polarized 2288 parton densities, its experimental validation remains an unfinished task for much of what the 2289 EIC is designed to study – the three-dimensional structure of the proton and the physics of 2290 dense partonic systems in heavy nuclei. To establish the validity and limits of factorization 2291 and universality, it is essential to have data from *both* lepton-ion and proton-ion collisions. 2292 with experimental accuracy that makes quantitative comparisons meaningful. 2293

Beginning in Run-22, STAR will be in a unique position to provide this essential p+p and 2294 p + A data. A full suite of forward detectors will be installed, providing excellent charged-2295 particle tracking at high pseudorapidity $(2.5 < \eta < 4)$ for the first time, coupled with both 2296 electromagnetic and hadronic calorimetry. This will enable STAR to explore the interesting 2297 regimes of high-x (largely valence quark) and low-x (primarily gluon) partonic physics with 2298 unparalleled precision. In addition, mid-rapidity detector upgrades motivated primarily by 2299 the BES-II program – the iTPC, eTOF, and EPD systems – will substantially extend STAR's 2300 already excellent kinematic reach and particle identification capabilities beyond those that 2301 existed during previous p+p and p+A runs. 2302

For the case of p+p spin physics, it's important to recognize the complementary roles that will be played by Run-22 at 510 GeV and Run-24 at 200 GeV. The combination of 510 GeV p+p collisions and the STAR Forward Upgrade will provide access to forward jet physics at perturbative scales, thereby enabling measurements at the highest and lowest xvalues. In parallel, mid-rapidity measurements at 510 and, especially, 200 GeV will interpolate between the high and low x values, with significant overlaps to probe evolution effects and provide cross-checks. Together, the two runs will allow STAR to measure fundamental proton properties, such as the Sivers and transversity distributions, over nearly the entire range 0.005 < x < 0.5.

Run-24 will also provide outstanding opportunities to probe fundamental questions regarding QCD in cold nuclear matter. The STAR Forward Upgrade will enable an extensive suite of measurements probing the quark-gluon structure of heavy nuclei and the regime of low-*x* non-linear gluon dynamics, as predicted by saturation models. STAR will also explore how a nucleus, serving as a color filter, modifies the propagation, attenuation, and hadronization of colored quarks and gluons.

For these reasons, STAR requests at least 16 weeks of polarized p+p data-taking at $\sqrt{s} =$ 2318 510 GeV in Run-22. All data taking will involve proton beams polarized transversely relative 2319 to their momentum direction in order to focus on those observables where factorization. 2320 universality, and/or evolution remain open questions, with spins aligned either vertically or 2321 radially at the STAR IR (still to be determined through more detailed simulation studies). 2322 Based on the latest guidance from CAD, and mindful of 'lessons learned' in previous p + p2323 runs at full energy, we will ask for luminosity-leveling of the collision rate to maximize the 2324 efficiency of our main tracking detectors. Assuming we will have running conditions similar to 2325 those achieved in Run-17, we expect to sample at least 400 pb^{-1} for our rare / non-prescaled 2326 triggers. 2327

STAR also requests at least 11 weeks of polarized p + p data-taking at $\sqrt{s} = 200$ GeV 2328 and 11 weeks of polarized p+Au data-taking at $\sqrt{s_{NN}} = 200$ GeV during Run-24. Similar 2329 to Run-22, all of the running will involve transversely polarized protons, with the choice 2330 between vertical or radial polarization to be determined during the coming year. Based on 2331 recent (08-21-20) C-AD guidance, we expect to sample at least 235 pb^{-1} of p+p collisions 2332 and 1.3 pb⁻¹ of p+Au collisions. These totals represent 4.5 times the luminosity that STAR 2333 sampled during transversely polarized p + p collisions in Run-15, and 3 times the luminosity 2334 that STAR sampled during transversely polarized p+Au collisions in Run-15. 2335

²³³⁶ 3.1 Run-22 Request for $p^{\uparrow}p^{\uparrow}$ Collisions at 510 GeV

2337 3.1.1 Inclusive transverse spin asymmetries at forward rapidities

The experimental study of spin phenomena in nuclear and particle physics has a long history of producing important, and often surprising, results. Attempts to understand such data have pushed the field forward, forcing the development of both new theoretical frameworks and new experimental techniques. Recent and ongoing detector upgrades at STAR, at midand forward-rapidity, coupled with the versatility of RHIC, will allow us to gain new insights into long-standing puzzles, and to probe more deeply the complexities of emergent behavior in QCD.

Results from PHENIX and STAR have shown that large transverse single-spin asymmetries (TSSA) for inclusive hadron production, first seen in p+p collisions at fixed-target energies and modest p_T , extend to the highest RHIC center-of-mass energies, $\sqrt{s} = 500$ GeV, and surprisingly large p_T . Figure 64 summarizes the world data for the inclusive pion asymmetries A_N as a function of Feynman-*x*. The asymmetries are seen to be nearly independent of \sqrt{s} over the very wide range of roughly 5 to 500 GeV.



Figure 64: Transverse single-spin asymmetry A_N measurements for charged and neutral pions at different center-of-mass energies as a function of Feynman-x.

To understand the observed TSSAs, one needs to go beyond the conventional leading-2351 twist (twist-2) collinear parton picture for the hard-scattering processes. Two theoretical 2352 formalisms have been developed to try to explain these sizable asymmetries in the QCD 2353 framework: transverse-momentum-dependent (TMD) parton distribution and fragmentation 2354 functions, such as the Sivers and Collins functions; and transverse-momentum-integrated 2355 (collinear) quark-gluon-quark correlations, which are twist-3 distributions in the initial state 2356 proton or in the fragmentation process. For many of the experimentally accessible spin 2357 asymmetries, several of these functions can contribute, and need to be disentangled in order 2358 to understand the experimental data in detail, in particular the observed p_T dependence. 2359 These functions manifest their spin dependence either in the initial state-for example, the 2360 Sivers distribution and its twist-3 analog, the Efremov-Teryaev-Qiu-Sterman (ETQS) func-2361 tion [247]—or in the final state via the fragmentation of polarized quarks, such as in the 2362 Collins function and related twist three function $H_{FU}(z, z_z)$. 2363

Incorporating the fragmentation term within the collinear twist-3 approach demonstrated 2364 the ability of this formalism to describe the large values of A_N for π^0 production observed at 2365 RHIC [248]. In this work, the relevant (non-pole) 3-parton collinear fragmentation function 2366 $H_{FU}(z, z_z)$ was fit to the RHIC data. The so-called soft-gluon pole term, involving the ETQS 2367 function $T_{q,F}(x_1, x_2)$, was also included by fixing $T_{q,F}$ through its well-known relation to the 2368 TMD Sivers function f_{1T}^{\perp} . The authors obtained a very good description of the data due to 2369 the inclusion of the non-pole fragmentation function and based on this work they were able 2370 to make predictions for π^+ and π^- production asymmetries A_N at the forward rapidities 2371 covered by the STAR upgrades, $2.5 < \eta < 4$. The results are shown in Fig. 65 for $\sqrt{s} = 200$ 2372 and 500 GeV for two rapidity ranges, $2 < \eta < 3$ and $3 < \eta < 4$. 2373

In Run-22, with the full suite of forward tracking detectors and calorimetry installed, STAR will for the first time be able to map out inclusive charged-hadron asymmetries up to



Figure 65: Predictions for A_N for π^+ and π^- production over the ranges $2 < \eta < 3$ (left) and $3 < \eta < 4$ (right) at $\sqrt{s} = 200$ GeV (solid lines) and 500 GeV (dashed lines). The $\sqrt{s} = 200$ GeV BRAHMS A_N data for charged pions cover up to x_F of 0.3.

the highest energies achievable at RHIC and at these forward rapidities. It would be very interesting to confirm that these asymmetries are indeed largely independent of center-ofmass energy. The measurements of A_N for charged hadrons, together with analogous data (from Run-22 as well as previous STAR runs) on A_N for direct photons and neutral pions, should provide the best data set in the world to constrain the evolution and flavor dependence of the twist-3 ETQS distributions and to determine if the 3-parton collinear fragmentation function H_{FU} is the main driver of the large forward inclusive asymmetries.

2383 3.1.2 Sivers and Efremov-Teryaev-Qiu-Sterman Function

There is great theoretical interest in testing the relation between the ETQS correlation functions and the Sivers function. As discussed above, both the Sivers and the ETQS functions encapsulate partonic spin correlations within the proton, but they are formally defined in different frameworks. While the Sivers function is a TMD quantity that depends explicitly on spin-dependent transverse partonic motion k_T , the ETQS function is a twist-3 collinear distribution, in which SSAs are generated through soft collinear gluon radiation

Measurements of forward jet production from the ANDY collaboration [249] indicated 2390 rather small asymmetries. This was argued to be consistent with the idea that the twist-3 2391 parton correlation functions for up and down valence quarks should cancel, because their 2392 behavior reflects the Sivers functions extracted from fits to the SIDIS data that demonstrate 2393 opposite sign, but equal magnitude, up and down quark Sivers functions. Preliminary STAR 2394 results on charge-tagged dijets at mid-rapidity (see Fig. 29) support this interpretation, with 2395 the caveat that the measured observable (a spin-dependent $\langle k_T \rangle$) is defined in the TMD, and 2396 not the twist-3, framework. 2397

To better test quantitatively the relation between the two regimes, one can measure spin asymmetries for jets which are *intentionally* biased towards up or down quark jets via detection of a high-z charged hadron within the jet. Higher-twist calculations of jet asymmetries based on the Sivers function predict sizeable effects for these flavor-enhanced jets. With the suite of new forward detectors installed at STAR, full jet reconstruction, along with identification of a high-z hadron of known charge sign, will be possible at high pseudorapidity. Using realistic jet smearing in a forward calorimeter and tracking system, and requiring a charged hadron with z > 0.5, the asymmetries can be separated and compared to the predictions for the Sivers function based on current SIDIS data. The expected uncertainties, plotted at the predicted values, can be seen in Fig. 66. Dilutions by underlying event and beam remnants were taken into account. The simulations have assumed only an integrated luminosity of 100 pb⁻¹ at $\sqrt{s} = 200$ GeV, which is significantly lower than what is currently expected for a 200 GeV polarized p+p run in 2024. The same measurement is possible at 500 GeV.



Figure 66: Left: up quark (red points), down quark (blue points) and all jet (black points) single spin asymmetries as a function of x_F as calculated by the ETQS based on the SIDIS Sivers functions. Right: Expected experimental sensitivities for jet asymmetries tagging in addition a positive hadron with z above 0.5 (red points), a negative hadron with z above 0.5 (blue points) or all jets (black) as a function of x_F . Note: these figures are for 200 GeV center-of-mass energy proton collisions – the 500 GeV results are expected to be qualitatively similar.

In a TMD framework, the Sivers effect manifests itself as a correlation (a triple product) 2412 between the transverse momentum of a parton (\vec{k}_T) with momentum fraction x, and the 2413 transverse spin (\vec{S}) of a polarized proton moving in the longitudinal (\vec{p}) direction. Thus, 2414 for transversely polarized protons, the Sivers effect probes whether the k_T of the constituent 2415 quarks is preferentially oriented in a direction perpendicular to both the proton momentum 2416 and its spin. Momentum conservation then implies that the two jets in the final state will 2417 not emerge back-to-back on average, but instead will 'tilt' in the direction of the summed 2418 k_T of the initial state partons. Moreover, the (average) tilt of interest will reverse direction 2419 under a 'flip' of the proton spin; a spin-dependent $\langle k_T \rangle$ can then be extracted by associating 2420 the azimuthal opening angle of the jet pair with this tilt. 2421

STAR carried out an earlier measurement of this transverse single-spin asymmetry using a dijet dataset with ~1 pb⁻¹ of integrated luminosity [113], and found it to be consistent with zero within 2σ . An ongoing and much improved analysis has been described in Sect. 1.3.3. Perhaps most significantly, the jets were sorted according to their net charge Q, calculated by summing the signed momentum of all particle tracks with p > 0.8 GeV, to minimize

underlying event contributions, yielding jet samples with enhanced contributions from u2427 quarks (positive Q) and d quarks (negative Q), with a large set near Q = 0 dominated by 2428 gluons. Simple kinematics allow for conversion from the spin-dependent 'tilt' of the dijet 2429 pair to a value of k_T on an event-by-event basis; these are then sorted by the Q of the jet 2430 and binned by the summed pseudorapidities of the outgoing jets, $\eta^{\text{total}} \equiv \eta_3 + \eta_4$. Because 2431 the contributions of different partons (u, d, all else) to $\langle k_T \rangle$ vary with both Q and also η^{total} , 2432 in a way that can be estimated robustly using simulation, the data can be inverted to yield 2433 values of $\langle k_T \rangle$ for the individual partons, though with coarser binning in η^{total} . 2434

With the new forward detectors in place, along with the enhanced reach in η afforded by 2435 the iTPC, this technique can be expanded in Run-22 to cover pseudorapidities at STAR from 2436 roughly -1 to +4, though with a gap at $1.5 < \eta < 2.5$. Despite this gap, values of $\langle k_T \rangle$ can be 2437 extracted for u and d quarks for η^{total} ranging from ~ -1.5 to as high as 7 with reasonable 2438 statistics. This latter regime will probe $2 \rightarrow 2$ hard scattering events in which $x_1 \gg x_2$, 2439 *i.e.*, a sample enriched in valence quarks interacting with low-x gluons. Such measurements, 2440 exploiting the full kinematic reach of STAR, will not only allow precise determinations of 2441 the average transverse partonic motion, $\langle k_T \rangle$, exhibited by individual partonic species in 2442 the initial state, but will provide important information on the x dependence of the proton 2443 Sivers functions. 2444

Collisions at $\sqrt{s} = 510$ GeV will also allow STAR to continue our successful program 2445 to study the evolution and sign change of the Sivers function. By focusing on interactions 2446 in which the final state involves only weakly interacting particles, and hence the transverse 2447 partonic motion (in a TMD framework) or the collinear gluon radiation (in twist-3) must be 2448 in the initial state, one can test for the predicted sign change in A_N relative to interactions 2449 in which these terms must appear in the final state, such as SIDIS measurements. The 2450 improved tracking capabilities provided by the iTPC upgrade will allow us to push our mid-2451 rapidity W^{\pm} and Z^0 measurements to larger rapidity $y_{W/Z}$, a regime where the asymmetries 2452 are expected to increase in magnitude and the anti-quark Sivers' functions remain largely 2453 unconstrained. Figure 67 demonstrates the expected precision of asymmetry measurements 2454 after data from the 2017 run has been fully analyzed. In addition to the noted extension 2455 of our kinematic reach, an additional 16 or more weeks of beam time at $\sqrt{s} = 510$ GeV in 2456 Run-22 would increase our data set by more than a factor of 2. This experimental accuracy 2457 would significantly enhance the quantitative reach of testing the limits of factorization and 2458 universality in lepton-proton and proton-proton collisions. 2459

²⁴⁶⁰ 3.1.3 Transversity, Collins Function and Interference Fragmentation Function

A complete picture of nucleon spin structure at leading twist must include contributions from the unpolarized and helicity distributions, as well as those involving transverse polarization, such as the transversity distribution [252-254]. The transversity distribution can be interpreted as the net transverse polarization of quarks within a transversely polarized proton. The difference between the helicity and transversity distributions for quarks and antiquarks provides a direct, x-dependent connection to nonzero orbital angular momentum components in the wave function of the proton [255]. Recently, the first lattice



Figure 67: Projected uncertainties for transverse single-spin asymmetries of W^{\pm} bosons as functions of their rapidity for a delivered integrated luminosity of 350 pb⁻¹ and an average beam polarization of 55%. The dark and light green lines are theoretical predictions from the KQ [250] and EIKV [251] groups, respectively, folding in data on the sea-quark Sivers functions.

QCD calculation of the transversity distribution has been performed [256]. In addition, 2468 the measurement of transversity has received substantial interest as a means to access the 2469 tensor charge of the nucleon, defined as the integral over the valence quark transversity: 2470 $\delta q^a = \int_0^1 [\delta q^a(x) - \delta \overline{q}^a(x)] dx$ [253, 257]. Measuring the tensor charge is very important for 2471 several reasons. First, it is an essential and fundamental quantity to our understanding of 2472 the spin structure of the nucleon. Also, the tensor charge can be calculated on the lattice 2473 with comparatively high precision, due to the valence nature of transversity, and hence is 2474 one of the few quantities that allow us to compare experimental results on the spin structure 2475 of the nucleon directly to *ab initio* QCD calculations. Finally, the tensor charge describes 2476 the sensitivity of observables in low-energy hadronic reactions to beyond the standard model 2477 physics processes with tensor couplings to hadrons. Examples are experiments with ultra-2478 cold neutrons and nuclei. 2479

Transversity is difficult to access due to its chiral-odd nature, requiring the coupling of 2480 this distribution to another chiral-odd distribution. Semi-inclusive deep-inelastic scattering 2481 (SIDIS) experiments have successfully probed transversity through two channels: asym-2482 metric distributions of single pions, convoluting the TMD transversity distribution with 2483 the TMD Collins fragmentation function, and azimuthally asymmetric distributions of di-2484 hadrons, coupling transversity to the so-called "interference fragmentation function" (IFF) 2485 in the framework of collinear factorization. Yet in spite of a wealth of lepton-scattering 2486 data, the kinematic reach of existing SIDIS experiments limits the precision with which the 2487 proton's transversity can be extracted, as the range of Bjorken-x values that can be accessed 2488 does not extend above $x \sim 0.3$ 2489

In hadronic collisions, the k_T integrated quark transversity distribution may be accessed via two channels. The first is the single spin asymmetry of the azimuthal distribution of hadrons in high energy jets [109]. In the jet+hadron channel, the collinear transversity distribution couples to the TMD Collins function [109, 110]. This makes p+p collisions a more direct probe of the Collins fragmentation function than Collins asymmetries in SIDIS [109], where a convolution with the TMD transversity distribution enters. This also makes the Collins asymmetry in p+p collisions an ideal tool to explore the fundamental QCD questions of TMD factorization, universality, and evolution. The second channel is the single spin asymmetry of pion pairs, where transversity couples to the collinear interference fragmentation function [258]. STAR mid-rapidity IFF data [259] have been included in the first extraction of transversity from SIDIS and proton-proton IFF asymmetries [260].

The universality of TMD PDFs and fragmentation functions in p+p collisions has been an 2501 open question. General arguments [261, 262] have shown that factorization can be violated 2502 in hadron-hadron collisions for TMD PDFs like the Sivers function, though very recent 2503 calculations indicate the violations might be quite small [263, 264]. In contrast, while there 2504 is no general proof that the Collins effect in p+p collisions is universal to all orders, explicit 2505 calculations [109,110,265,266] have shown that diagrams like those that violate factorization 2506 of the Sivers function make no contribution to the Collins effect at the one- or two-gluon 2507 exchange level, thereby preserving its universality at least to that level. 2508

Comparisons of the transversity distributions extracted from the Collins and IFF channels 2509 will allow STAR to study the size and nature of any factorization breaking effects for TMD 2510 observables in hadronic collisions. Likewise, comparisons with the transversity, Collins and 2511 IFF distributions extracted from SIDIS collisions will shed light on universality and constrain 2512 evolution effects. The measurement of evolution effects in TMD distributions is particularly 2513 important because, unlike the collinear case, TMD evolution contains a non-perturbative 2514 component that cannot be calculated directly. Measurements at \sqrt{s} of 200 and 510 GeV will 2515 provide additional experimental constraints on evolution effects and provide insights into the 2516 size and nature of TMD observables at the future Electron-Ion Collider. 2517



Figure 68: $x - Q^2$ coverage of RHIC measurements compared to existing Collins and Sivers effect measurements in SIDIS and the future coverage of the EIC.

²⁵¹⁸ Extending measurements of di-hadron and Collins asymmetries to the forward direction



Figure 69: Expected h^- Collins asymmetry uncertainties at $3 < \eta < 4$ (black points) from a sampled luminosity of 268 pb⁻¹ at $\sqrt{s} = 510$ GeV, compared to positive (red) and negative (blue) pion asymmetries based on the Torino extraction (full lines) and the Soffer bound (dashed lines) as a function of hadron z for bins in jet p_T . Most uncertainties are smaller than the height of the triangles.

during Run-22 will allow access to transversity in the region x > 0.3. This valence quark 2519 region is not currently probed by any experiments and is essential for the determination of 2520 the tensor charge, which receives 70% of its contributions from 0.1 < x < 1.0. In addition, 2521 probing transversity in p+p collisions also provides better access to the *d*-quark transversity 2522 than is available in SIDIS, due to the fact that there is no charge weighting in the hard 2523 scattering QCD $2 \rightarrow 2$ process in p+p collisions. This is a fundamental advantage of p+p 2524 collisions, as any SIDIS measurement of the *d*-quark transversity has to be on a bound 2525 system, e.g. He-3, which ultimately requires nuclear corrections to extract distributions. 2526 The high scale we can reach in 500 GeV collisions at RHIC has allowed STAR [267] to 2527 demonstrate, for the first time, that previous SIDIS measurements at low scales are in fact 2528 accessing the nucleon at leading twist. Figure 68 shows the $x - Q^2$ coverage spanned by 2529 the RHIC measurements compared to the future EIC, JLab-12, and the current SIDIS world 2530 data. 2531

Another fundamental advantage of p+p collisions is the ability to access gluons di-2532 rectly. While gluons cannot be transversely polarized in a transversely polarized spin 1/22533 hadron, they can be linearly polarized. Similarly, there exists an equivalent of the Collins 2534 fragmentation function for the fragmentation of linearly polarized gluons into unpolarized 2535 hadrons [268]. The linear polarization of gluons is a largely unexplored phenomenon, but it 2536 has been a focus of recent theoretical work, in particular due to the relevance of linearly po-2537 larized gluons in unpolarized hadrons for the p_T spectrum of the Higgs boson measured at the 2538 LHC. Polarized proton collisions with $\sqrt{s} = 510$ GeV at RHIC, in particular for asymmetric 2539 parton scattering if jets are detected in the backward direction, are an ideal place to study 2540 the linearly polarized gluon distribution in polarized protons. (Note that the distributions of 2541 linearly polarized gluons inside an unpolarized and a polarized proton provide independent 2542 information). A first measurement of the "Collins-like" effect for linearly polarized gluons 2543 has been done by STAR with data from Run-11 [267], providing constraints on this function 2544 for the first time. 2545

Figure 69 shows projected uncertainties for Collins asymmetries at 510 GeV with the

Forward Upgrade during Run-22. As indicated on the figure, jets with $3 < \eta < 4$ and 2547 $3 < p_T < 9 \text{ GeV}/c$ will explore transversity in the important region 0.3 < x < 0.5 that 2548 has not yet been probed in SIDIS. A realistic momentum smearing of final state hadrons as 2549 well as jets in this rapidity range was assumed and dilutions due to beam remnants (which 2550 become substantial at rapidities close to the beam) and underlying event contributions have 2551 been taken into account. As no dedicated particle identification at forward rapidities will be 2552 available for these measurements, only charged hadrons were considered. This mostly reduces 2553 the expected asymmetries due to dilution by protons (10-14%) and a moderate amount of 2554 kaons (12-13%). As anti-protons are suppressed compared to protons in the beam remnants, 2555 especially the negative hadrons can be considered a good proxy for negative pions ($\sim 78\%$ 2556 purity according to PYTHIA6). Given their sensitivity to the down quark transversity via 2557 favored fragmentation, they are particularly important since SIDIS measurements, due to 2558 their electromagnetic interaction, are naturally dominated by up-quarks. We have estimated 2559 our statistical uncertainties based on an accumulated luminosity of 268 pb^{-1} , which leaves 2560 nearly invisible uncertainties after smearing. These expected uncertainties are compared to 2561 the asymmetries obtained from the transversity extractions based on SIDIS and Belle data 2562 [269] as well as from using the Soffer positivity bound for the transversity PDF [270]. More 2563 recent global fits have slightly different central up and down quark transversity distributions. 2564 But due to the lack of any SIDIS data for x > 0.3, the upper uncertainties are compatible 2565 with the Soffer bounds. This high-x coverage will give important insights into the tensor 2566 charge, which is essential to understand the nucleon structure at leading twist. 2567

Although the studies presented here are for the Collins asymmetries, the resulting statistical uncertainties will be similar for other measurements using azimuthal correlations of hadrons in jets. One important example is the measurement of "Collins-like" asymmetries to access the distribution of linearly polarized gluons. As described earlier, the best kinematic region to access this distribution is at backward angles with respect to the polarized proton and at small jet p_T . Figure 69 shows that a high precision measurement of the distribution of linearly polarized gluons down to $x \sim 0.005$ will be performed concurrently.

It is also important to recognize that these hadron-in-jet measurements with the STAR Forward Upgrade will provide very valuable experience detecting jets close to beam rapidity that will inform the planning for future jet measurements in similar kinematics at the EIC.

While the STAR Forward Upgrade will provide sensitivity to transversity to the highest 2578 x, concurrent mid-rapidity measurements (see Fig. 68) will provide the most precise informa-2579 tion as a function of x, z, j_T , and Q^2 to probe questions of TMD factorization, universality, 2580 and evolution. The left panel of Fig. 70 shows published STAR measurements of the Collins 2581 asymmetry vs. pion z in 500 GeV p+p collisions from 2011 [267]. The results, which repre-2582 sented the first ever observation of the Collins effect in p+p collisions, are consistent at the 2583 2-sigma level with model predictions, with and without TMD evolution, derived from fits to 2584 e^+e^- and SIDIS data [109,271]. However, greater precision is clearly necessary for a detailed 2585 universality test, as well as to set the stage for the EIC. 2586

In 2017, STAR sampled about 14 times the luminosity that we recorded in 2011. In Run-2587 22, we propose to record another data set equivalent to 16 times the sampled luminosity from



Figure 70: The left panel shows STAR measurements of the Collins asymmetry vs. pion z in 500 GeV p+p collisions from Run-11, compared to several model calculations. See [267] for details. The right panel shows projected statistical uncertainties for STAR Collins asymmetry measurements at $0 < \eta < 0.9$ in p+p at $\sqrt{s} = 200$ and 510 GeV and p+Au at $\sqrt{s_{\rm NN}} = 200$ GeV. The points have arbitrarily been drawn on the solid lines, which represent simple linear fits to the STAR preliminary 200 GeV p+p Collins asymmetry measurements from 2015. (Note that only one bin is shown spanning 0.1 < z < 0.2 for 510 GeV p+p, whereas three bins are shown covering the same z range for the 200 GeV measurements.)

2011. Furthermore, during Run-22 the iTPC will improve the dE/dx particle identification 2589 compared to the previous years. Studies using the dE/dx distributions seen in our 200 GeV 2590 p+p data from 2015 and the actual dE/dx resolution improvements that have been achieved 2591 during BES-II indicate the iTPC will yield a 20 - 25% increase in the effective figure-of-2592 merit for pions with $|\eta| < 0.9$. The right-hand panel of Fig. 70 shows the projected STAR 2593 statistical uncertainties for the Collins asymmetry at $0 < \eta < 0.9$ in 510 GeV p+p collisions 2594 once the Run-17 and Run-22 data sets are fully analyzed. It's also important to recognize 2595 that the iTPC will also enable STAR to measure the Collins asymmetry over the region 2596 $0.9 < \eta < 1.3$ during Run-22, in addition to the projections that are shown in Fig. 70. 2597

Statistical improvements from 2011 data [259] to 2017+'22 data comparable to those shown for the Collins effect in Fig. 70 are also expected for mid-rapidity measurements of transversity in 510 GeV p+p collisions using IFF asymmetries.

²⁶⁰¹ 3.1.4 Spatial Imaging of the Nucleon

Diffractive and Ultra Peripheral processes at RHIC are an essential tool that can elucidate
the origin of single-spin asymmetries in polarized p+p collisions and access the orbital motion
of partons inside the proton. Also at an EIC diffractive processes have been identified as the
golden tool to study several key physics programs

• What is the spatial distribution of quarks and gluons inside the nucleon?

- What is the role of orbital motion of sea quarks and gluons in building the nucleon spin?
- Saturation in nuclei.

²⁶¹⁰ **Diffraction:** The essential characteristics of diffraction in QCD are summarized by two ²⁶¹¹ facts:

• The event is still called diffractive if there is a rapidity gap. Due to the presence 2612 of a rapidity gap, the diffractive cross-section can be thought of as arising from an 2613 exchange of several partons with zero net color between the target and the projectile. 2614 In high-energy scattering, which is dominated by gluons, this color neutral exchange 2615 (at the lowest order) consists of at least two exchanged gluons. This color singlet 2616 exchange has historically been called the pomeron, which had a specific interpretation 2617 in Regge theory. A crucial question in diffraction is the nature of the color neutral 2618 exchange between the protons. This interaction probes, in a novel fashion, the nature 2619 of confining interactions within hadrons. 2620

The proton/nuclear target is not always an opaque "black disk" obstacle of geometric optics. A projectile that interacts more weakly due to color-screening and asymptotic freedom is likely to produce a different diffractive pattern from a larger, more strongly interacting, projectile.

HERA discovered that 15% of the total ep cross-section is given by diffractive events 2625 (for details see [272] and references therein), basically independent of kinematics. At RHIC 2626 center-of-mass energies diffractive scattering events constitute $\sim 25\%$ of the total inelastic 2627 p+p cross-section [273]. As described above diffraction is defined as an interaction that 2628 is mediated by the exchange of the quantum numbers of the vacuum, as shown in Fig. 71. 2629 Experimentally these events can be characterized by the detection of a very forward scattered 2630 proton and jet (singly diffractive) or two jets (doubly diffractive) separated by a large rapidity 2631 gap. Central diffraction, where two protons, separated by rapidity gaps, are reconstructed 2632 along with a jet at mid-rapidity, is also present, but suppressed compared to singly and 2633 doubly diffractive events. To date, there have been no data in p+p collisions studying spin 2634 effects in diffractive events at high \sqrt{s} apart from measuring single spin asymmetries in 2635 elastic p+p scattering [274–277]. 2636

A discovery of large transverse single spin asymmetries in diffractive processes would open a new avenue to study the properties and understand the nature of the diffractive exchange in p+p collisions. One of the primary observables of STAR to access transverse spin phenomena has been forward neutral pion production in transversely polarized p+p collisions at both $\sqrt{s} = 200$ and 500 GeV. Figure 31 shows the isolated and non-isolated transverse single spin asymmetries A_N for π^0 detected in the STAR FMS at 2.5 < η < 4.0 as a function of x_F .

All these observations might indicate that the underlying subprocess causing a significant fraction of the large transverse single spin asymmetries in the forward direction are not



Figure 72: Estimate of the cross-section for hard diffractive processes at $\sqrt{s}=200$ GeV and 500 GeV using Pythia 8. The different points reflect different analysis cuts applied: π^0 in rapidity 2.8 $< \eta < 3.8$ (black), one proton is required to be detected in the STAR Roman Pot acceptance (red) and an isolation cut of 35 mrad around the π^0 (blue).

of $2 \rightarrow 2$ parton scattering processes but of diffractive nature. PYTHIA-8 [11] was used 2646 to evaluate the fraction of hard diffractive events [278] contributing to the inclusive π^0 2647 cross-section at forward rapidities. Figure 72 shows the hard diffractive cross-section for 2648 π^0 production at $\sqrt{s}=200$ GeV and 500 GeV for a rapidity range of 2.5 < η < 4.0 with 2649 and without applying several experimental cuts, i.e. the proton in the STAR Roman Pot 2650 acceptance. The prediction from this PYTHIA-8 simulation is that 20% of the total inclusive 2651 cross-section at forward rapidities is of diffractive nature. This result is in agreement with 2652 measurements done over a wide range of \sqrt{s} (see Fig. 12 in Ref. [272]). 2653

In 2015 STAR collected data in $\sqrt{s} = 200$ GeV transversely polarized p+p collisions, where an isolated π^0 is detected in the forward pseudorapidity range along with the forwardgoing proton p, which scatters with a near-beam forward pseudorapidity into Roman Pot detectors. The sum of the π^0 and the scattered proton energies is consistent with the incident proton energy of 100 GeV, indicating that no further particles are produced in this direction. Correlations between the π^0 and scattered proton have been presented [279], along with single-spin asymmetries which depend on the azimuthal angles of both the pion and the ²⁶⁶¹ proton. This is the first time that spin asymmetries have been explored for this process, and ²⁶⁶² a model to explain their azimuthal dependence is needed.

The STAR Forward Upgrade will be a game changer for diffractive measurements at RHIC. It will allow the reconstruction of full jets both at $\sqrt{s}=200$ GeV and 500 GeV. As at HERA we will be able to reconstruct jets produced with the scattered proton tagged in Roman Pots and/or requiring rapidity gaps. Measuring spin asymmetries for diffractive events as function of \sqrt{s} might reveal surprises, which will inspire new physics opportunities for EIC, i.e SSA in polarized eA collisions.

$_{2669}$ 3.2 Run-24 Request for Polarized p+p and p+A Collisions at 200 GeV

Run-24, with polarized p+p and p+Au collisions at $\sqrt{s_{\rm NN}} = 200$ GeV, will likely be the last 2671 RHIC spin/cold QCD run. This run will provide STAR with the unique opportunity to in-2672 vestigate these 200 GeV collision systems with the Forward Upgrade providing full tracking 2673 and calorimetry coverage over the region $2.5 < \eta < 4$ and the iTPC providing enhanced 2674 particle identification and expanded pseudorapidity coverage at mid-rapidity. These power-2675 ful detection capabilities, when combined with substantially increased sampled luminosity 2676 compared to Run-15, will enable critical measurements to probe universality and factoriza-2677 tion in transverse spin phenomena and nuclear PDFs and fragmentation functions, as well as 2678 low-x non-linear gluon dynamics characteristic of the onset of saturation. This will provide 2679 unique insights into fundamental QCD questions in the near term, and essential baseline 2680 information for precision universality tests when combined with measurements from the EIC 2681 in the future. 2682

We therefore request at least 11 weeks of polarized p+p data-taking at $\sqrt{s} = 200$ GeV 2683 and 11 weeks of polarized p+Au data-taking at $\sqrt{s_{NN}} = 200$ GeV during Run-24. All of 2684 the running will involve transversely polarized protons, with the choice between vertical 2685 or radial polarization to be determined during the coming year. Based on recent (08-21-2686 20) C-AD guidance, we expect to sample at least 235 pb^{-1} of p+p collisions and 1.3 pb^{-1} 2687 of p+Au collisions. These totals represent 4.5 times the luminosity that STAR sampled 2688 during transversely polarized p+p collisions in Run-15 and 3 times the luminosity that 2689 STAR sampled during transversely polarized p+Au collisions in Run-15. 2690

²⁶⁹¹ 3.2.1 Spin Physics with Polarized p+p and p+Au Collisions at 200 GeV

Section 1.3.3 described several very mature STAR analyses that are based on the transversely polarized p+p and p+Au data sets that we recorded during 2015. Run-24 will enable STAR to probe these questions with a far more capable detector and much larger data sets than were available during Run-15, thereby allowing us to set the stage for related future measurements at the EIC. Here we give brief descriptions of several of the opportunities presented by Run-2697 24.

Forward transverse spin asymmetries: Section 1.3.3 presents a small subset of the 2698 results that STAR will publish very soon in a pair of papers discussing forward transverse 2699 spin asymmetries in p+p, p+Al, and p+Au collisions measured with the Forward Meson 2700 Spectrometer (FMS). One paper focuses on the dynamics that underlie the large asymmetries 2701 that have been seen to date. Figure 31 shows that A_N for forward π^0 production in p+p 2702 collisions at 200 and 500 GeV is substantially larger when the π^0 is isolated than when it 2703 is accompanied by additional nearby photons. The same analysis also shows that A_N for 2704 inclusive electromagnetic jets (EM-jets) in 200 and 500 GeV collisions is substantially larger 2705 than that for EM-jets that contain three or more photons and that the Collins asymmetry 2706 for π^0 in EM-jets is very small. The other paper focuses on the nuclear dependence of A_N 2707 for π^0 in $\sqrt{s_{NN}} = 200$ GeV collisions. It presents a detailed mapping of A_N as functions of 2708 x_F and p_T for all three collision systems. Figure 30 shows the observed nuclear dependence 2709 is very weak. The same analysis shows that isolated vs. non-isolated π^0 behave similarly in 2710 p+Al and p+Au collisions as they do in p+p collisions. 2711

These two papers will provide a wealth of new data to inform the ongoing discussion 2712 regarding the origin of the large inclusive hadron transverse spin asymmetries that have 2713 been seen in p+p collisions at forward rapidity over a very broad range of collision energies. 2714 Nonetheless, the STAR Forward Upgrade will be a game changer for such investigations. It 2715 will enable measurements of A_N for $h^{+/-}$, in addition to π^0 . It will enable isolation criteria to 2716 be applied to the $h^{+/-}$ and π^0 that account for nearby charged, as well as neutral, fragments. 2717 It will enable full jet asymmetry and Collins effect measurements, again for $h^{+/-}$ in addition 2718 to π^0 , rather than just EM-jet measurements. It will permit all of these measurements to 2719 be performed at both 510 GeV, as discussed in Sects. 3.1.1 and 3.1.2, and at 200 GeV. And 2720 all of these observables can be tagged by forward protons detected in the STAR Roman 2721 pots to identify the diffractive component of the observed transverse spin asymmetries. For 2722 p+p there will be considerable overlap between the kinematics at the two energies, but the 2723 510 GeV measurements will access higher p_T , while the 200 GeV measurements will access 2724 higher x_F . Meanwhile, at 200 GeV we will also perform the full suite of measurements in 2725 p+Au to identify any nuclear effects. Figure 65 shows one set of predictions for the inclusive 2726 $\pi^{+/-}$ A_N in 200 and 500 GeV p+p collisions, while Fig. 66 shows the estimated sensitivity 2727 of one hadron-in-jet measurement that will help to isolate the Sivers effect contribution at 2728 200 GeV.2729

Sivers effect: Sections 1.3.3 and 3.1.2 describe the first ever observation of the Sivers 2730 effect in dijet production. Such measurements are crucial to explore questions regarding 2731 factorization of the Sivers function in dijet hadroproduction [261–264]. Those results were 2732 derived from 200 GeV transverse spin data that STAR recorded in 2012 and 2015 (total 2733 sampled luminosity ~ 75 pb⁻¹ for the two years combined). Nonetheless, the uncertainties 2734 remain large, as can be seen in Fig. 29. Run-24 data will reduce the uncertainties for 2735 $|\eta_3 + \eta_4| < 1$ by a factor of two. The increased acceptance from the iTPC will reduce the 2736 uncertainties at $|\eta_3 + \eta_4| \approx 2.5$ by a much larger factor, while the Forward Upgrade will 2737 enable the measurements to be extended to even larger values of $|\eta_3 + \eta_4|$. When combined 2738


Figure 73: Preliminary 2015 results for the Collins asymmetry for charged pions in 200 GeV p+p collisions as a function of z and j_T , integrated over $9.9 < p_T < 31.6 \text{ GeV}/c$ and $0 < \eta < 0.9$.

with the 510 GeV data from 2017 and 2022 (see Sect. 3.1.2), the results will provide a detailed mapping vs. x for comparison to results for Sivers functions extracted from SIDIS, Drell-Yan, and vector boson production.

Transversity and related quantities: As described in Sect. 3.1.3, measurements of the 2742 Collins asymmetry and IFF in p+p collisions at RHIC probe fundamental questions regarding 2743 TMD factorization, universality, and evolution. Data from 200 GeV p+p collisions will play 2744 an essential role toward answering these questions. Figure 68 shows that 200 GeV p+p2745 collisions interpolate between the coverage that we will achieve during Run-22 at high-x2746 with the Forward Upgrade and at low-x with the STAR mid-rapidity detectors. They will 2747 also provide a significant overlapping region of x coverage, but at Q^2 values that differ by a 2748 factor of 6. This will provide valuable information about evolution effects, as well as cross-2749 checks between the two measurements. Furthermore, for most of the overlapping x region, 2750 200 GeV p+p collisions will also provide the greatest statistical precision (see for example 2751 Fig. 70), thereby establishing the most precise benchmark for future comparisons to ep data 2752 from the EIC. 2753

The high statistical precision of the Run-24 data will enable detailed multi-dimensional binning for the Collins asymmetry results. This is particularly valuable because, as emphasized in [109, 110], hadron-in-jet measurements in p+p collisions provide a direct probe of the Collins fragmentation function since they combine it with the *collinear* transversity dis-



Figure 74: Preliminary 2015 results for the $K^{+/-}$ Collins asymmetries vs. jet p_T for $0 < \eta < 0.9$ in 200 GeV p+p collisions.

tribution. In general, the observed asymmetries are functions of jet (p_T, η) , hadron (z, j_T) , 2758 and Q^2 . However, the physics interpretations associated with these variables separate, with 2759 p_T and η primarily coupling to the incident quark x and the polarization transfer in the 2760 hard scattering, while z and j_T characterize the fragmentation kinematics. Thus, A_{UT} vs. 2761 p_T , as shown in Fig. 28 for the preliminary 2015 analysis, provides information about the 2762 transversity distribution. In parallel, the (z, j_T) dependence, integrated over a wide range of 2763 jet p_T , as shown in Fig. 73 for the preliminary 2015 results, provides a detailed look at the 2764 Collins fragmentation function. Note that STAR finds the maximum value of A_{UT} shifts to 2765 higher j_T as z increases. The statistical uncertainties in Figs. 28 and 73 will be reduced by 2766 a factor of 2.5 when Run-15 and Run-24 data are combined together. 2767

The 2015 Collins analysis has also, for the first time, measured the Collins effect for 2768 charged kaons in p+p collisions, as shown in Fig. 74. The asymmetries for K^+ , which 2769 like π^+ have a contribution from favored fragmentation of u quarks, are about 1.5-sigma 2770 larger than those for π^+ in Fig. 28, while those for K^- , which can only come from unfavored 2771 fragmentation, are consistent with zero at the 1-sigma level. These trends are similar to those 2772 found in SIDIS by HERMES [280] and COMPASS [281], and provide additional insight into 2773 the Collins fragmentation function. This same analysis with Run-24 data will yield statistical 2774 uncertainties a factor of 3 smaller than those in Fig. 74. This is a much greater improvement 2775 than would be expected from the increase in sampled luminosity thanks to the improved 2776 dE/dx resolution provided by the iTPC. In addition, the iTPC will enable the measurements 2777 in Figs. 28, 73, and 74 to be extended to an additional higher η bin $(0.9 < \eta < 1.3)$. 2778

RHIC has the unique opportunity to extend the Collins effect measurements to nuclei. 2779 This will provide an alternative look at the universality of the Collins effect in hadroproduc-2780 tion by dramatically increasing the color flow options of the sort that have been predicted 2781 to break factorization for TMD PDFs like the Sivers effect [261, 262]. This will also explore 2782 the spin dependence of the hadronization process in cold nuclear matter. STAR collected a 2783 proof-of-principle data set during the 2015 p+Au run that is currently under analysis. Those 2784 data will provide a first estimate of medium-induced effects. However, the small nuclear ef-2785 fects seen by STAR for forward inclusive $\pi^0 A_N$ (see Fig. 30) indicate that greater precision 2786 will likely be needed. Figure 70 shows the projected 2015+24 statistical uncertainties for 2787 the p+Au Collins asymmetry measurement at $\sqrt{s_{\rm NN}} = 200$ GeV, compared to those for the 2788

²⁷⁸⁹ p+p at the same energy.

Ultra-peripheral collisions: The formalism of generalized parton distributions (GPDs) 2790 provides a theoretical framework which addresses some of the above questions [282-285]. 2791 Constraints on GPDs have mainly been provided by exclusive reactions in DIS, e.g. deeply 2792 virtual Compton scattering. RHIC, with its unique capability to collide transversely polar-2793 ized protons at high energies, has the opportunity to measure A_N for exclusive J/Ψ produc-2794 tion in ultra-peripheral collisions (UPCs) [286]. In such a UPC process, a photon emitted by 2795 the opposing beam particle (p or A) collides with the polarized proton. The measurement is 2796 at a fixed $Q^2 \sim M_{J/\psi}^2 \approx 10 \text{ GeV}^2$ and $10^{-4} < x < 10^{-1}$. A nonzero asymmetry would be the first signature of a nonzero GPD E_g for gluons, which is sensitive to spin-orbit correlations 2797 2798 and is intimately connected with the orbital angular momentum carried by partons in the 2799 nucleon and thus with the proton spin puzzle. 2800



Figure 75: Mass distribution of selected e^+e^- pairs (left), and p_T distribution of the J/ψ mass peak (right). The colored histograms are the indicated processes modelled by STARlight and the sum fit to the data.

The 2015 p^+Au data allowed a proof-of-principle of such a measurement. A trigger 2801 requiring back-to-back energy deposits in the Barrel Electromagnetic Calorimeter selected 2802 J/Ψ candidates. The e^+e^- mass distribution after selection cuts is shown in the left of 2803 Fig. 75, and the pair p_T distribution of the J/ψ mass peak is shown on the right of the 2804 figure. The data are well described by the STARlight model [287] (colored histograms in 2805 the figure), including the dominant $\gamma + p \uparrow \rightarrow J/\psi$ signal process and the $\gamma + Au \rightarrow J/\psi$ and 2806 $\gamma + \gamma \rightarrow e^+ e^-$ background processes. The left of Fig. 76 shows the transverse asymmetry A_N^{γ} 2807 for the signal J/ψ , which have a mean photon-proton center-of-mass energy $W_{\gamma p} \approx 24$ GeV. 2808 The result is consistent with zero. Also shown is a prediction based on a parameterization 2809 of E_a [288]; the present data provide no discrimination of this prediction. 2810

This measurement can be greatly improved with a high statistics transversely polarized $p\uparrow+Au$ run in 2024. The integrated luminosity for the 2015 measurement was 140 nb⁻¹; the 2024 run will provide 1.3 pb⁻¹, allowing a sizeable reduction of statistical uncertainty in the



Figure 76: Left: The measured J/ψ transverse asymmetry A_N^{γ} and a prediction based on a parameterization of E_g . Right: The accepted cross section for $\gamma + p \uparrow \rightarrow J/\psi$ for various detector pseudorapidity η ranges; the black curve shows the result for the full STAR detector with the Forward Upgrade and the iTPC.

same $W_{\gamma p}$ range. However, the Forward Upgrade and iTPC will also provide a significant 2814 extension of the $W_{\gamma p}$ range of the measurement. The right panel of Fig. 76 shows the 2815 accepted cross section for $\gamma + p^{\uparrow} \rightarrow J/\psi$ for various detector pseudorapidity ranges. With the 2816 full detector, the sensitive cross section is a factor of five times the central barrel alone and 2817 the expected asymmetry is substantially larger. The statistical uncertainty on A_N^{γ} as shown 2818 in the left of Fig. 76 will be ≈ 0.02 , offering a powerful test of a non-vanishing E_q . Also, the 2819 accepted region has a lower mean $W_{\gamma p} \approx 14$ GeV. Predictions based on E_q parameterizations 2820 such as shown in the figure have a larger asymmetry at lower $W_{\gamma p}$, with increased possibility 2821 of a nonzero result. Alternatively, the increased statistics will allow a measurement of A_N^{γ} 2822 in bins of $W_{\gamma p}$. 2823

Similar measurements are also possible with future $p^{\uparrow}+p^{\uparrow}$ runs at $\sqrt{s} = 200$ and 510 GeV. However, the UPC cross section scales with Z^2 of the the nucleus emitting the photon; for protons this is $1/79^2$ relative to Au nuclei, which makes analogous measurements in p+p collisions extremely luminosity hungry.

2828 3.2.2 Physics Opportunities with Unpolarized proton-Nucleus Collisions

²⁸²⁹ Our quest to understand QCD processes in Cold Nuclear Matter (CNM) centers on the following fundamental questions:

- Can we experimentally find evidence of a novel universal regime of non-linear QCD dynamics in nuclei?
- What is the role of saturated strong gluon fields, and what are the degrees of freedom in this high gluon density regime?
- What is the fundamental quark-gluon structure of light and heavy nuclei?

• Can a nucleus, serving as a color filter, provide novel insight into the propagation, attenuation and hadronization of colored quarks and gluons?

Various aspects of these questions have been addressed by numerous experiments and facilities around the world, most of them at significantly lower center-of-mass energies and kinematic reach than RHIC. Deep inelastic scattering on nuclei addresses some of these questions with results from, for instance, HERMES at DESY [289–291], CLAS at JLab [292], and in the future at the JLab 12 GeV. This program is complemented by hadron-nucleus reactions in fixed target p+A at Fermilab (E772, E886, and E906) [293] and at the CERN-SPS.

In the following we propose a measurement program unique to RHIC to constrain the 2845 initial state effects in strong interactions in the nuclear environment. We also highlight the 2846 complementarity to the LHC p+Pb program and stress why RHIC data are essential and 2847 unique in the quest to further our understanding of nuclei. The uniqueness of the RHIC 2848 program is based on the flexibility of the RHIC accelerator to run collisions of different 2849 particle species at very different center-of-mass energies. This in combination with the 2850 enhanced STAR detector capabilities in Run-24 allows to disentangle nuclear effects in the 2851 initial and final state as well as leading twist shadowing from saturation effects in a kinematic 2852 regime where all these effects are predicted to be large. Most of the discussed measurements 2853 critically rely on the Forward Upgrade. 2854

²⁸⁵⁵ The initial state of nuclear collisions:

Nuclear parton distribution functions: A main emphasis of the 2015 and later p+A runs is to determine the initial conditions of the heavy ion nucleus before the collision to support the theoretical understanding of the A+A program both at RHIC and the LHC. In the following, the current status of nPDFs will be discussed, including where the unique contributions of RHIC lie, in comparison to the LHC and the future EIC.

Our current understanding of nuclear parton distribution functions (nPDFs) is still very 2861 limited, in particular, when compared with the rather precise knowledge of PDFs for free 2862 protons collected over the past 30 years. Figure 77 shows an extraction of nPDFs from 2863 available data, along with estimates of uncertainties. All results are shown in terms of 2864 the nuclear modification ratios, i.e., scaled by the respective PDF of the free proton. The 2865 vellow bands indicate regions in x where the fits are not constrained by data [294] and 2866 merely reflect the freedom in the functional form *assumed* in the different fits. Clearly, high 2867 precision data at small x and for various different values of Q^2 are urgently needed better to 2868 constrain the magnitude of suppression in the x region where non-linear effects in the scale 2869 evolution are expected. In addition, such data are needed for several different nuclei, as 2870 the A-dependence of nPDFs cannot be predicted from first principles in pQCD and, again, 2871 currently relies on assumptions. Note that the difference between DSSZ [295] and EPS09 2872 for the gluon modification arise from the different treatment of the PHENIX midrapidity 2873 $\pi^0 R_{dAu}$ data [296], which in the EPS09 [297] fit are included with an extra weight of 20. The 2874 $\pi^0 R_{dAu}$ data are the only data, which can probe the gluon in the nucleus directly, but these 2875

data also suffer from unknown nuclear effects in the final state (see [298]). Therefore, it is absolutely critical to have high precision data only sensitive to nuclear modification in the initial state over a wide range in x and intermediate values of Q^2 (away from the saturation regime) to establish the nuclear modification of gluons in this kinematic range.



Figure 77: Summary of the most recent sets of nPDFs. The central values and their uncertainty estimates are given for the up valence quark, up sea quark, and the gluon. The yellow bands indicate regions in x where the fits are not constrained by any data (taken from Ref. [294]).



Figure 78: The nuclear modifications at $Q^2=10$ GeV² from the EPPS-16 fit (black central line and light-blue bands) compared with the Baseline fit (green curves with hatching) which uses only the data included in the EPS09 fit.

It is important to realize that the measurements from RHIC are compelling and essential even when compared to what can be achieved in p+Pb collisions at the LHC. Due to the higher center-of-mass system energy most of the LHC data have very high Q^2 , where the nuclear effects are already reduced significantly by evolution and are therefore very difficult to constrain. Two recent articles [299,300] assessed the impact of the available LHC Run-I p+Pb data on determinations of nPDFs. The rather moderate impact of these data is illustrated



Figure 79: Projected statistical uncertainties for R_{pA} for direct photons in Run-2015 (light blue) and a run in 2024 (blue) and the sum of both (dark blue). The recorded luminosity for Run-2015 was $L_{pAu} = 450 \text{ nb}^{-1}$ and $L_{pp} = 100 \text{ pb}^{-1}$. The delivered luminosity for Run-2024 is assumed to be $L_{pAu} = 1.8 \text{ pb}^{-1}$ and $L_{pp} =$ 300 pb^{-1} .

in Figure 78. Note that the extra weight factor of 20 for the PHENIX midrapidity $\pi^0 R_{dAu}$ data [296] in the original EPS09 [297] fit was removed in all of the new fits, leading to a much smaller nuclear modification factor for gluons, especially at medium to high x.

RHIC has the *unique* capability to provide data in a kinematic regime (moderate Q^2 and medium-to-low x) where the nuclear modification of the sea quark and the gluon is expected to be sizable and currently completely unconstrained. In addition, and unlike the LHC, RHIC has the potential to vary the nucleus in p+A collisions and as such also constrain the A-dependence of nPDFs.

Extraction of this information is less ambiguous if one uses processes in which strong 2894 (QCD) final-state interactions can be neglected or reduced. Such golden channels would 2895 include: a measurement of R_{pA} for Drell-Yan production at forward pseudo-rapidities with 2896 respect to the proton direction $(2.5 < \eta < 4.)$ to constrain the nuclear modifications of sea-2897 quarks; and of R_{pA} for direct photon production in the same kinematic regime to constrain 2898 the nuclear gluon distribution. Data for the first measurement of R_{pA} for direct photon 2899 production have already been taken during the p+Au and p+Al runs in 2015, with recorded 2900 luminosities by STAR of $L_{pAu} = 0.45 \text{ pb}^{-1}$ and $L_{pAl} = 1 \text{ pb}^{-1}$, respectively. The anticipated 2901 statistical precision for pA runs in 2015 and projections for the run in 2024 are shown in 2902 Fig. 79. The Forward Upgrade with its tracking at forward rapidities will also provide the 2903 possibility to measure R_{pA} for positive and negatively charged hadrons. 2904

Figure 80(left) shows the significant impact of the Run-2015 and 2024 R_{pA} for direct 2905 photon production on the corresponding theoretical expectations and their uncertainties 2906 obtained with the EPPS-16 set of nPDFs. The uncertainty bands are obtained through a 2907 re-weighting procedure [301] by using the projected data shown in Fig. 79 and randomizing 2908 them according to their expected statistical uncertainties around the central values obtained 2909 with the current set of EPPS-16 nPDFs. Figure 80(right) shows how these measurements 2910 will help significantly in further constraining the nuclear gluon distribution in a broad range 2911 of x that is roughly correlated with accessible transverse momenta of the photon, i.e., few 2912

times $10^{-3} < x <$ few times 10^{-2} . The relevant scale Q^2 is set be $\sim p_T^2$ and ranges from 6 GeV² to about 40 GeV². Like all other inclusive probes in p+p and pA collisions, e.g., jets, no access to the exact parton kinematics can be provided event-by-event but global QCD analyses easily account for that. After the p+Au run in 2024, the statistical precision of the prompt photon data will be sufficient to contribute to a stringent test of the universality of nuclear PDFs when combined with the expected data from the EIC (see Figure 2.22 and 2.23 in Ref [302]).



Figure 80: (left) The impact of the direct photon R_{pA} data measured in Run-2015 (blue band) and for the anticipated statistics for the future p+Au run in 2024 (dark blue band) compared with the current uncertainties (cyan band) from EPPS-16. (right) The impact of the direct photon R_{pA} data measured in Run-2015 and for the anticipated statistics for the future p+Au run in 2024 on EPPS-16. The impact is shown on the nuclear suppression factor R_g of nPDF to the proton PDF, the grey bands represent the uncertainties before including the RHIC pseudo data.

Figure 81 shows the kinematic coverage in $x-Q^2$ of past, present, and future experiments 2920 capable of constraining nuclear parton distribution functions. The experiments shown pro-2921 vide measurements that access the initial state parton kinematics on an event-by event basis 2922 (in a leading order approximation) while remaining insensitive to any nuclear effects in the 2923 final state. Some of the LHC experiments cover the same x-range as DY at forward pseudo-2924 rapidities at RHIC but at a much higher scale Q^2 , where nuclear modifications are already 2925 significantly reduced [300, 303, 304]. At intermediate Q^2 , DY at RHIC will extend the low-x 2926 reach by nearly one decade compared to EIC. 2927

The biggest challenge of a DY measurement is to suppress the overwhelming hadronic background: the total DY cross-section is about 10^{-5} to 10^{-6} smaller than the corresponding hadron production cross-sections. Therefore, the probability of misidentifying a hadron track as a lepton has to be suppressed to the order of 0.1% while maintaining reasonable electron detection efficiencies. To that end, we have studied the combined electron/hadron



Figure 81: The kinematic coverage in $x \, \tilde{Q}^2$ of past, present and future experiments constraining nPDFs with access to the exact parton kinematics event-by-event and no fragmentation in the final state.

discriminating power of the Forward Upgrade. It was found that by applying multivariate analysis techniques to the features of EM/hadronic shower development and momentum measurements we can achieve hadron rejection powers of 200 to 2000 for hadrons of 15 GeV to 50 GeV with 80% electron detection efficiency.

The same procedure as for the direct photon R_{pA} was used to study the potential impact 2937 of the DY R_{pA} data for the EPPS-19 sets of nPDFs. We expect again a significant impact 2938 on the uncertainties of R_{pA} DY upon including the projected and properly randomized data. 2939 Clearly, the DY data from RHIC will be instrumental in reducing present uncertainties in 2940 nuclear modifications of sea quarks. Again, these data will prove to be essential in testing the 2941 fundamental universality property of nPDFs in the future when EIC data become available. 2942 STAR's unique detector capabilities will provide the first data on J/Ψ -production in 2943 ultra-peripheral collisions. This measurement provides access to the spatial gluon distri-2944 bution by measuring the t-dependence of $d\sigma/dt$. As follows from the optical analogy, the 2945 Fourier-transform of the square root of this distribution yields the source distribution of the 2946 object probed. To study the gluon distribution in the gold nucleus, events need to be tagged 2947 where the photon is emitted from the proton. For both observables a measurement with 2948 different nuclei is required to pin down the A-dependence of nPDFs. The J/Ψ -production 2949 in ultra-peripheral collisions requires significantly more statistics than accumulated to date. 2950

Gluon saturation: Our understanding of the proton structure and of the nuclear interactions at high energy would be advanced significantly with the definitive discovery of the saturation regime [305–311]. Saturation physics would provide an infrared cutoff for perturbative calculations, the saturation scale Q_s , which grows with the atomic number of the nucleus A and with decreasing value of x. If Q_s is large it makes the strong coupling constant small, $\alpha_s(Q_s^2) \ll 1$ allowing for perturbative QCD calculations to be under theoretical control.



Figure 82: Proton wave function evolution towards small-x

It is well known that PDFs grow at small-x. If one imagines how such a high number of small-x partons would fit in the (almost) unchanged proton radius, one arrives at the picture presented in Figure 82: the gluons and quarks are packed very tightly in the transverse plane. The typical distance between the partons decreases as the number of partons increases, and can get small at low-x (or for a large nucleus instead of the proton). One can define the saturation scale as the inverse of this typical transverse inter-parton distance. Hence Q_s indeed grows with A and decreasing x.

The actual calculations in saturation physics start with the classical gluon fields (as gluons dominate quarks at small-x) [312–318], which are then evolved using the nonlinear small-xBK/JIMWLK evolution equations [319, 320, 320–328]. The saturation region can be wellapproximated by the following formula: $Q_s^2 \sim (A/x)^{1/3}$. Note again that at small enough x the saturation scale provides an IR cutoff, justifying the use of perturbative calculations. This is important beyond saturation physics, and may help us better understand small-x evolution of the TMDs.

While the evidence in favor of saturation physics has been gleaned from the data col-2972 lected at HERA, RHIC and the LHC, the case for saturation is not sealed and alternative 2973 explanations of these data exist. The EIC is slated to provide more definitive evidence for 2974 saturation physics [329]. To help the EIC complete the case for saturation, it is mandatory to 2975 generate higher-precision measurements in p+A collisions at RHIC. These higher-precision 2976 measurements would significantly enhance the discovery potential of the EIC as they would 2977 enable a stringent test of universality of the CGC. We stress again that a lot of theoretical 2978 predictions and results in the earlier Sections of this document would greatly benefit from 2979



Figure 83: Kinematic coverage in the $x - Q^2$ plane for p+A collisions at RHIC, along with previous e+A measurements, the kinematic reach of an electronion collider, and estimates for the saturation scale Q_s in Au nuclei and protons. Lines are illustrative of the range in x and Q^2 covered with hadrons at various rapidities.

saturation physics: the small-x evolution of TMDs in a longitudinally or transversely polarized proton, or in an unpolarized proton, can all be derived in the saturation framework [330] in a theoretically better-controlled way due to the presence of Q_s . Hence saturation physics may help us understand both the quark and gluon helicity PDFs as well as the Sivers and Boer-Mulders functions.

The saturation momentum is predicted to grow approximately like a power of energy, 2985 $Q_s^2 \sim E^{\lambda/2}$ with $\lambda \sim 0.2$ -0.3, as phase space for small-x (quantum) evolution opens up. The 2986 saturation scale is also expected to grow in proportion to the valence charge density at the 2987 onset of small-x quantum evolution. Hence, the saturation scale of a large nucleus should 2988 exceed that of a nucleon by a factor of $A^{1/3} \sim 5$ (on average over impact parameters). RHIC 2989 is capable of running p+A collisions for different nuclei to check this dependence on the mass 2990 number. This avoids potential issues with dividing say p+Pb collisions in N_{part} classes [331]. 2991 Figure 83 shows the kinematic coverage in the $x - Q^2$ plane for p+A collisions at RHIC, along 2992 with previous e+A measurements and the kinematic reach of an EIC. The saturation scale for 2993 a Au nucleus and the proton is also shown. To access at RHIC a kinematic regime sensitive 2994 to saturation with $Q^2 > 1$ GeV² requires measurements at forward rapidities. For these 2995 kinematics the saturation scale is moderate, on the order of a few GeV^2 , so measurements 2996 sensitive to the saturation scale are by necessity limited to semi-hard processes. 2997

Until today the golden channel at RHIC to observe strong hints of saturation has been the angular dependence of two-particle correlations, because it is an essential tool for testing the underlying QCD dynamics [331]. In forward-forward correlations facing the p(d) beam

direction one selects a large-x parton in the p(d) interacting with a low-x parton in the 3001 nucleus. For x < 0.01 the low-x parton will be back-scattered in the direction of the large-3002 x parton. Due to the abundance of gluons at small x, the backwards-scattered partons 3003 are dominantly gluons, while the large-x partons from the p(d) are dominantly quarks. 3004 The measurements of di-hadron correlations by STAR and PHENIX [332, 333], have been 3005 compared with theoretical expectations using the CGC framework based on a fixed saturation 3006 scale Q_s and considering valence quarks in the deuteron scattering off low-x gluons in the 3007 nucleus with impact parameter b = 0 [334,335]. Alternative calculations [336] based on both 3008 initial and final state multiple scattering, which determine the strength of this transverse 3009 momentum imbalance, in which the suppression of the cross-section in d+Au collisions arises 3010 from cold nuclear matter energy loss and coherent power corrections have also been very 3011 successful to describe the data. 3012

The 2015 p+Au run at RHIC has provided unique opportunities to study this channel in 3013 more detail at STAR. The high delivered integrated luminosities allow one to vary the trigger 3014 and associated particle p_T from low to high values and thus crossing the saturation boundary 3015 as shown in Figure 83 and reinstate the correlations for central p+A collisions for forward-3016 forward π^0 's. Studying di-hadron correlations in p+A collisions instead of d+A collisions has 3017 a further advantage. In reference [337], the authors point out that the contributions from 3018 double-parton interactions to the cross-sections for $dA \to \pi^0 \pi^0 X$ are not negligible. They 3019 find that such contributions become important at large forward rapidities, and especially in 3020 the case of d+A scattering. Figure 84 shows the results for the di-hadron correlations for π^0 3021 from the 2015 p+p and p+A run. Shown is the ratio of the area, the width and the level of 3022 pedestal of the backward peak for p+Au and p+p as function of the p_T of the trigger and 3023 the associated π^0 and the activity in the collision as measured by the BBC. The results show



Figure 84: The results for the di-hadron correlations for π^0 from the 2015 p+p and p+A run. 3024

basically no change in the width of the backward peak and the background/pedestal the peak 3025 is sitting on shows only up to a 20% increase in p+Au to p+p. But the area of the of the 3026



Figure 85: Nuclear modification factor for direct photon production in p(d)A collisions at various rapidities at RHIC $\sqrt{s} = 0.2$ TeV. The curves are the results obtained from Eq. (12) in Ref. [338] and the solution to rcBK equation using different initial saturation scales for a proton Q_{op} and a nucleus Q_{oA} . The band shows our theoretical uncertainties arising from allowing a variation of the initial saturation scale of the nucleus in a range consistent with previous studies of DIS structure functions as well as particle production in minimumbias p+p, p+A and A+A collisions in the CGC formalism, see Ref. [338] for details.

backward peak shows a large suppression with increasing activity in the collision. For fixed 3027 activity the biggest suppression is observed for the smallest trigger p_T in combination with 3028 the smallest p_T for the associated π^0 . This behaviour is consistent with different calculations 3029 based on the CGC formalism. This result is the first clean observable, which cannot yet 3030 be explained in a different framework than CGC and as such a clear hint for non-linear 3031 effects. With the Forward Upgrade several other channels, i.e charged di-hadron and di-jets 3032 correlations, will also be available, which will allow a rigorous test of the calculation in the 3033 CGC formalism. It is noted that these results are crucial for the equivalent measurements at 3034 an EIC, which are planned at close to identical kinematics, because only if non-linear effects 3035 are seen with different complementary probes, i.e., ep and pA, one can claim a discovery of 3036 saturation effects and their universality. 3037

It is important to note that for the measurements to date in p(d)+A collisions both 3038 initial and final states interact strongly, leading to severe complications in the theoretical 3039 treatment (see [339, 340], and references therein). As described in detail in the Section 3040 above in p+A collisions, these complications can be ameliorated by removing the strong 3041 interaction from the final state, by using photons and Drell-Yan electrons. The Run-2015 3042 p+A run will for the first time provide data on R_{pA} for direct photons and therefore allow 3043 one to test CGC based predictions on this observable as depicted in Figure 85 (taken from 3044 Ref. [338]). The higher delivered integrated luminosity for the upcoming p+Au run in 3045 2024 together with the Forward Upgrade will enable one to study more luminosity hungry 3046 processes and/or complementary probes to the di- π^0 correlations, i.e. di-hadron correlations 3047 for charged hadrons, photon-jet, photon-hadron and di-jet correlations. 3048

We use direct photon plus jet (direct γ +jet) events as an example channel to indicate 3049 what can be done in 2024. These events are dominantly produced through the gluon Comp-3050 ton scattering process, $g+q \rightarrow \gamma+q$, and are sensitive to the gluon densities of the nucleon 3051 and nuclei in p+p and p+A collisions. Through measurements of the azimuthal correlations 3052 in p+A collisions for direct γ +jet production, one can study gluon saturation phenomena 3053 at small-x. Unlike di-jet production that is governed by both the Weizsäcker-Williams and 3054 dipole gluon densities, direct γ +jet production only accesses the dipole gluon density, which 3055 is better understood theoretically [338, 341], On the other hand, direct γ +jet production 3056 is experimentally more challenging due to its small cross-section and large background con-3057 tribution from di-jet events in which photons from fragmentation or hadron decay could be 3058 misidentified as direct photons. The feasibility to perform direct γ +jet measurements with 3059 the Forward Upgrade in unpolarized p+p and p+Au collisions at $\sqrt{s_{NN}} = 200$ GeV has been 3060 studied. PYTHIA-8.189 [342] was used to produce direct γ +jet and di-jet events. In order 3061 to suppress the di-jet background, the leading photon and jet are required to be balanced 3062 in transverse momentum, $|\phi^{\gamma} - \phi^{jet}| > 2\pi/3$ and $0.5 < \frac{p_T^{\gamma}}{p_T^{jet}} < 2$. Both the photon and jet 3063 have to be in the forward acceptance $1.3 < \eta < 4.0$ with $p_T > 3.2 \text{ GeV}/c$ in 200 GeV p+p 3064 collisions. The photon needs to be isolated from other particle activities by requiring the 3065 fraction of electromagnetic energy deposition in the cone of $\Delta R=0.1$ around the photon is 3066 more than 95% of that in the cone of $\Delta R=0.5$. Jets are reconstructed by an anti- k_T algo-3067 rithm with $\Delta R=0.5$. After applying these selection cuts, the signal-to-background ratio is 3068 around 3:1 [343]. The expected number of selected direct γ +jet events is around 1.0M/0.9M 3069 at $\sqrt{s_{NN}} = 200$ GeV in p+Au collisions for the proposed run in 2024. We conclude that a 3070 measurement of direct photon-hadron correlation from p+A collisions is feasible, which is 3071 sensitive to the gluon density in 0.001 < x < 0.005 in the Au nucleus where parton saturation 3072 is expected. 3073

³⁰⁷⁴ The final state:

Nuclear fragmentation functions: In spite of the remarkable phenomenological successes of QCD, a quantitative understanding of the hadronization process is still one of the great challenges for the theory. Hadronization describes the transition of a quark or gluon into a final state hadron. It is a poorly understood process even in elementary collisions. RHIC's unique versatility will make it possible to study hadronization in vacuum and in the nuclear medium, and additionally with polarized beams (see Sect. 3.2.1 for the latter).

It has long been recognized that the hadron distributions within jets produced in p+p3081 collisions are closely related to the fragmentation functions that have typically been measured 3082 in e^+e^- collisions and SIDIS. The key feature of this type of observable is the possibility to 3083 determine the relevant momentum fraction z experimentally as the ratio of the hadron to the 3084 jet transverse momentum. Recently [344] a quantitative relationship has been derived in a 3085 form that enables measurements of identified hadrons in jets in p+p collisions to be included 3086 in fragmentation function fits on an equal footing with e^+e^- and SIDIS data. Furthermore, 3087 hadrons in p+p jets provide unique access to the gluon fragmentation function, which is 3088



Figure 86: Anticipated precision for identified π^+ (left) and π^- (right) within jets at $|\eta| < 0.4$ in 200 GeV p+p collisions for three representative jet p_T bins. The data points are plotted on theoretical predictions based on the DSS14 pion fragmentation functions [344, 345]. Kaons and (anti)protons will also be measured, over the range from z < 0.5 at low jet p_T to z < 0.2 at high jet p_T , with uncertainties a factor of ~ 3 larger than those for pions.

³⁰⁸⁹ poorly determined in current fits [345], in part due to some tension found in the inclusive ³⁰⁹⁰ high p_T pion yields measured by the PHENIX and ALICE collaborations. Here, the proposed ³⁰⁹¹ measurements can provide valuable new insight into the nature of this discrepancy.

This development motivated STAR to initiate a program of identified particle fragmen-3092 tation function measurements using p+p jet data at 200 and 500 GeV from 2011, 2012, and 3093 2015. Figure 86 shows the precision that is anticipated for identified π^+ and π^- in 200 GeV 3094 p+p collisions for three representative jet p_T bins after the existing data from 2012 and 2015 3095 are combined with future 200 GeV p+p data from 2024. Identified kaon and (anti)proton 3096 yields will also be obtained, with somewhat less precision, over a more limited range of hadron 3097 z. Once the 2017 data are fully analyzed, the uncertainties for 510 GeV p+p collisions will 3098 be comparable to that shown in Fig. 86 at high jet p_T , and a factor of ~ 2 larger than shown 3099 in Fig. 86 at low jet p_T . Identified hadron yields will also be measured multi-dimensionally 3100 vs. j_T , z, and jet p_T , which will provide important input for unpolarized TMD fits. 3101

Data from the HERMES experiment [289, 291, 346] have shown that production rates of identified hadrons in semi-inclusive deep inelastic e+A scattering differ from those in e+pscattering. These differences cannot be explained by nuclear PDFs, as nuclear effects of strong interactions in the initial state should cancel in this observable. Only the inclusion of nuclear effects in the hadronization process allows theory to reproduce all of the dependencies $(z, x, \text{ and } Q^2)$ of R_{eA} seen in SIDIS, as shown in Fig. 87.



Figure 87: R_{eA} in SIDIS for different nuclei in bins of z as measured by HERMES [289, 291, 346]. The solid lines correspond to the results using effective nuclear FF [298] and the nDS medium modified parton densities [347]. The red dashed lines are estimates assuming the nDS medium modified PDFs but standard DSS vacuum FFs [348, 349] and indicate that nPDFs are insufficient to explain the data



Figure 88: Anticipated precision for measurements of π^+ fragmentation functions in p+A/p+p at $|\eta| < 0.4$ vs. z and j_T in 2024 for three representative jet p_T bins. Uncertainties for π^- will be similar to those shown here for π^+ , while those for kaons and (anti)protons will be a factor of ~3 larger. Note that, to be species independent, the nucleon-nucleon equivalent luminosity is specified for p+A.

It is critical to see if these hadronization effects in cold nuclear matter persist at the 3108 higher \sqrt{s} and Q^2 accessed at RHIC and EIC – both to probe the underlying mechanism, 3109 which is not understood currently, and to explore its possible universality. The combination 3110 of p+p jet data from RHIC and future SIDIS data from EIC will also provide a much clearer 3111 picture of modified gluon hadronization than will be possible with EIC data alone. Using 3112 the 200 GeV p+Au data collected in 2015, STAR will be able to make a first opportunistic 3113 measurement of these hadron-jet fragmentation functions in nuclei, but the precision will 3114 be limited. Additional data will be needed in 2024 in order to provide a sensitive test for 3115 universality, as shown in Figure 88. 3116

³¹¹⁷ 4 Detector Updates, Operations, and Opportunities

In this section we discuss the performance of the endcap Time of Flight (eTOF) in Run-20 and progress of the construction of the Forward upgrades. The iTPC and EPD were fully integrated for Run-19.

3121 4.1 Status and Performance of the eTOF

The full eTOF hardware installation was completed in Nov. 2018 followed by the first 3122 data taking started in Feb. 2019 by recording about 580 M Au+Au events at $\sqrt{s_{\rm NN}}$ = 3123 19.6 GeV with an eTOF participation of 85%. However, due to several beam loss events 3124 causing instantaneous high currents on the readout strips all eTOF preamplifier boards got 3125 damaged and no further useful operation was possible during that year. It was decided to 3126 replace all preamplifier boards with an improved version using ESD protections diodes on 3127 the input. Beside minor issues eTOF showed an excellence performance during Run-20. A 3128 reliable start-up procedure and control interface was implemented that allows the full system 3129 to be controlled via only 2 commands issued by the shift crew. For Run-20 an improved 3130 clock distribution method was installed offering a system synchronization in the order of 35 3131 ps over the full wheel. Figure 89 shows the width of the time distribution (red corresponds 3132 to the Gaussian sigma and blue to the RMS) obtained by measuring the arrival time of 3133 injected pulser signals on every TDC board. The stability of the system is demonstrated on 3134 the right plot of Fig. 89. Here the mean of time distribution width from all pulser channels 3135 is plotted vs. the run number. The range of 130 runs reflects a time period of several days. 3136



Figure 89: Left: Width of the time distribution obtained by measuring the arrival time of injected pulser signals on every GET4 board. Right: Mean of the time distribution width vs. the run number.

All fixed target runs in 2020 were successfully completed and about 100 M events with eTOF data were collected for each energy. For the $\sqrt{s_{\rm NN}} = 11.5 \,\text{GeV}$ collider run 235 M events with eTOF data were recorded. The eTOF performance remained stable also during the Run-20b after the break due to COVID-19.



Figure 90: Left: Matching efficiency of MRPC hits in respect to the extrapolated TPC tracks as function of the particle momentum. Right: $1/\beta$ as function of particle momentum. The separation of kaons from pions up to a momenta of 2.5 GeV/c demonstrates the PID capability of eTOF.

In order to demonstrate the eTOF performance fixed target data at $\sqrt{s_{\rm NN}} = 7.7 \,{\rm GeV}$ were 3141 calibrated and the matching efficiency with the TPC has been deduced as function of the 3142 particle momentum (see left Fig.90). At a momentum of 1 GeV/c a matching efficiency of 3143 70% is obtained for both MRPC types (red and blue are different MRPC types with different 3144 electrode materials). Beyond 1 GeV/c the curve levels off at 75%. The time resolution (not 3145 shown here) was determined to be in the order of 80 ps. The good time resolution is 3146 reflected in the $1/\beta$ versus the particle momenta plot shown in the right Fig. 90. The narrow 3147 particle bands allow for a kaon to pion separation of up to a momentum of 2.5 GeV/c which 3148 demonstrates the excellent PID capability of eTOF. 3149

For the upcoming period no major hardware changes for eTOF are foreseen. During Run-3150 20 one MRPC counter developed a high dark current and noise and will be replaced at the 3151 next shutdown. Due to COVID-19 travel restrictions it is planed to ship a fully assembled 3152 module (3 MRPC counters) to BNL as a replacement for the module housing the broken 3153 counter. On a different module it is planned to replace one GBTx readout card, which is 3154 currently not working. A substantial eTOF upgrade will be performed on the firmware side 3155 of the readout FPGAs which can be done remotely from outside BNL. This implies also 3156 small adaptations in the control software. With this upgrade an improved startup reliability 3157 and a more stable operation is intended. 3158

3159 4.2 Forward Upgrade

STAR is constructing a forward detector system, realized by combining tracking with electromagnetic and hadronic calorimeters for the years beyond 2021. It will have superior detection capability for neutral pions, photons, electrons, jets and leading hadrons covering

a region of $2.5 < \eta < 4$. The design of the Forward Calorimeter System (FCS) is driven by 3163 consideration of detector performance, integration into STAR and cost optimization. For 3164 the electromagnetic calorimeter the refurbished PHENIX sampling EMCal is used, and the 3165 hadronic calorimeter will be newly constructed as a sandwich iron scintillator plate sampling 3166 type, based on the extensive STAR Forward Upgrade and EIC Calorimeter Consortium R&D. 3167 The existing EPD will be used as a trigger detector especially for a 2 electron trigger. Both 3168 calorimeters share the same cost-effective readout electronics, with SiPMs as photo-sensors. 3169 This FCS system will have very good (~ $10\%/\sqrt{E}$) electromagnetic and (~ $50\%/\sqrt{E}+10\%$) 3170 hadronic energy resolutions. In addition, a Forward Tracking System (FTS) is being con-3171 The FTS will be capable of discriminating hadron charge sign for transverse structed. 3172 asymmetry and Drell-Yan measurements in p+A. In heavy ion collisions, measurements of 3173 charged particle transverse momenta of $0.2 < p_{\rm T} < 2 {\rm ~GeV}/c$ with 20-30% momentum res-3174 olution are required. To keep multiple scattering and photon conversion background under 3175 control, the material budget of the FTS must be small. Hence, the FTS design is based on 3176 three Silicon mini-strip detectors that consist of disks with a wedge-shaped design to cover 3177 the full azimuth and $2.5 < \eta < 4.0$; they are read out radially from the outside to minimize 3178 the material. The Si-disks are combined with four small-strip Thin Gap Chamber (sTGC) 3179 wheels following the ATLAS design [350, 351]. The Si mini-strip disks will be placed in the 3180 region z = 146.6 - 173.7 cm. The 4 sTGC wheels would be placed 30 cm apart starting from 3181 z = 273 cm. The Si-Disks readout is based on APV chips, which will reuse the readout chain 3182 of the IST, which was part of the STAR HFT. For the sTGC the readout will be based on 3183 the ATLAS VMM3 chip [352]. 3184

3185 4.2.1 Status

Following the successful directors review in November 2018, the project submitted a proposal for a NSF MRI for construction of EMCAL and HCAL and the associated electronics. The NSF MRI was approved in Summer 2019 and work has been ongoing on all aspects of the upgrade.

3190 4.2.2 Forward Calorimetry System

The platform that supports the HCAL and EMCAL was installed in 2019, followed by the 3191 installation of the refurbished PHENIX EMCAl blocks. The installed EMCAL blocks are 3192 depicted in Fig. 91. The HCAL absorber blocks are under production at Chapman Lake 3193 Instrumentation and Getto Industrial Plating. The first sets of blocks have arrived at BNL. 3194 The scintillating tiles have been produced and all 18200 are in hand. About 10,000 of these 3195 have been polished at ACU and Valpo and are ready for installation. Other parts are being 3196 fabricated at Rutgers, Temple and Ohio State. Front-end electronics cards with the SiPM 3197 and readout for both EMCAL and HCAL are in production and testing is underway. The 3198 front end cards will be readout by 78 DEP/ADC boards and 3 trigger processor boards 3199 housed in 5 crates. About half have been delivered and are undergoing testing at BNL. 3200 All DAQ PCs and receiver cards have been installed. The installation will commence once 3201

the Run-20b is completed by mid September, and key personnel has come to BNL. The commissioning of the FCS will be continue during Run-21, and will be ready for Run-22.



Figure 91: A view of the installed forward ECal detector halves, left and right from the beam pipe.

3204 4.2.3 Forward Silicon Tracking

The procedures for the Si-detector module fabrication has been developed and documented. Several prototype mechanical structures with hybrids mounted have been produced and two wedges were assembled with Si-sensors. Performance of two fully assembled prototype wedges have been evaluated with cosmic ray data and show that all channels can be read out, the signal-to-noise meets requirements, and the efficiency is higher than 90%. The design

of the support structures and the interface to the detector modules is nearly complete. If 3210 time allows in the upcoming shutdown a test installation of the support frame into STAR 3211 is planned. The cooling system, which was used previously for the HFT IST sub-system, 3212 has been revived and verification of its performance is on-going. An internal production 3213 readiness review with external reviewers was held on August 3, and the initial steps of the 3214 mass production have started. The review recommendations, which were useful, will be 3215 implemented. Currently there is only limited schedule float for the installation in August 3216 2021. 3217

3218 4.2.4 sTGC Tracking

A full prototype module of the sTGC was designed and produced at Shandong University 3219 and tested. This module is now at BNL to undergo testing with the n-pentane gas system 3220 that is being built at BNL. Due to space constraints around the beam pipe the final detector 3221 will have pentagon shaped modules. The design is complete and production has started 3222 of the final pre-production module; mass production is expected to start in October. The 3223 read-out electronics are based on the ATLAS VMM3 chip [352] developed for the same kind 3224 of detector. The strips of each sTGC layer can be handled by 24 Front-End Boards (FEB). 3225 In total 96 FEBs are needed for 4 sTGC layers. The FEBs are vertically inserted in the 3226 sTGC chamber. The signals are send to Readout Boards (ROD) placed in standard VME 3227 crates and interfaced to the STAR DAQ. The electronics design and fabrication is done at 3228 USTC, Hefei. The FEB prototype boards have been tested, the prototype RDO is under 3229 construction, and the VMM3 chips are being procured. The design of the installation and 3230 mounting frames need to be finished. The n-pentane gas system and Interlocks have been 3231 designed and have been approved. 3232

3233 4.2.5 Software

The trigger algorithms for the FCS have been well defined and simulated, the FPGA codes are currently under development.

The forward tracking utilizing hits from the 4 sTGC planes and 3 Si-layers has been developed and good performance has been demonstrated. As forward tracking is very different than mid-rapidity tracking new tools had to be developed. The tracking algorithm is based on modern techniques and depends on GENFIT, a general purpose tracking toolkit and in addition the iLCSoft KiTrack a Cellular Automata library are used to seed track finding.

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